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ORIGINAL ARTICLE

Alterations in pulmonary structure by elastase administration in a model of emphysema in mice is associated with functional disturbances

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Abstract Several experimental studies of pulmonary emphysema using animal models have been described in the literature. However, only a few of these studies have focused on the assessment of ergometric function as a non-invasive technique to validate the methodology used for induction of experimental emphysema. Additionally, functional assessments of emphysema are rarely correlated with morphological pulmonary abnormalities caused by induced emphysema. The present study aimed to evaluate the effects of elastase administered by tracheal puncture on pulmonary parenchyma and their corresponding functional impairment. This was evaluated by measuring exercise capacity in C57Bl/6 mice in order to establish a reproducible and safe methodology of inducing experimental emphysema. Thirty six mice underwent ergometric tests before and 28 days after elastase administration. Pancreatic porcine elastase solution was administered by tracheal puncture, which resulted in a significantly decreased exercise capacity, shown by a shorter distance run (−30.5%) and a lower mean velocity (−15%), as well as in failure to increase the elimination of carbon dioxide. The mean linear intercept increased significantly by 50% in tracheal elastase administration. In conclusion, application of elastase by tracheal function in C57Bl/6 induces emphysema, as validated by
Introduction

Emphysema is a chronic obstructive pulmonary disease (COPD), limiting air flow during expiration, and is associated with an abnormal inflammatory response of the lungs to noxious particles or gases (mainly cigarette smoke). This disease is characterized by a permanent abnormal dilatation of alveolar spaces. The destruction of pulmonary parenchyma impairs alveolar gas exchange, compromising the physical capacity of a patient since it is associated with airflow limitations that are not fully reversible. No therapy capable of reversing emphysematous tissue lesions is available to date and, in severe chronic stages, the only treatment that remains is lung transplantation, representing a procedure with high levels of morbidity and mortality. Emphysema, together with other types of COPD, are responsible for more than 2.5 million deaths every year, representing the fifth leading cause of mortality in the world.

The severity of its pathology together with the lack of any effective treatment transforms emphysema into a great medical challenge. There is a need for studies aiming to understand the pathogenic cellular mechanisms causing tissue destruction in order to elucidate the disease and to open new therapeutic avenues. Another aggravator is the fact that a considerable variation exists in the course of the disease among different smokers. Only about 15% of smokers develop COPD.1,2

Animal models represent a fundamental instrument to correlate pre-clinical research with clinical studies. The experimental model allows the detailed investigation of different factors influencing emphysema. These factors include inflammatory cell recruitment, genetic background, abnormal matrix repair, lung cell apoptosis, and misbalance between apoptosis and replenishment of structural cells in the lung. Additional factors are research of potential therapeutic agents and strategies, such as administration of stem cells or different growth factors.3

Until now there are many experimental models of COPD, each of them with advantages and disadvantages. In reality, a number of approaches have been tried because until now none of them constitutes a model that exactly reproduces all phases of development and clinical features of emphysema or any other abnormalities that make up this clinical entity, the COPD. Other factor that affects the reproducibility of data is the differences in anatomical structure with respect to development, maturation, structural organization of respiratory branches, and constituent cells and vascularization.4 On the other side, some common characteristics can be observed between animal model and human disease. For example, rodents develop the phenomenon of metaplasia induced by reaction to injury,5 which is a frequently observed response to an insult in different organs in humans, such as in respiratory tract, urinary bladder, and esophagus.

The first animal model of emphysema was developed more than 40 years ago6 and it was evoked in rats by intratracheal instillation of the plant proteinase papain. The experimental models of emphysema are continuously improving and became a research tool for...
several groups of scientists. These include enzyme airway administration model,\textsuperscript{7,8} tobacco smoking model,\textsuperscript{9,10} apoptosis induced emphysema by intratracheal instillation of activated caspase-3,\textsuperscript{11} AIA deficient animals,\textsuperscript{12} natural mutants,\textsuperscript{13} knockout mice,\textsuperscript{14} and transgenic mice.\textsuperscript{15} Despite the model chosen all of them present restrictions.\textsuperscript{16-22}

Taking these informations into account, in the present study we chose to reproduce human pulmonary emphysema in mouse model using intratracheal instillation of porcine pancreatic elastase because it is used for more than three decades and has been well characterized.\textsuperscript{29} Until now it is considered to be the most consistent and impressive model of airspace enlargement.\textsuperscript{30} This is due to the exposure of elastin fibers to porcine pancreatic elastase leading to acute lung inflammation and pulmonary parenchyma destruction. This model is very appropriate to investigate the relation between structure and function abnormalities characteristics of emphysema progression, as well as elucidated factors associated to these disturbances and collagen remodeling failure related to this pathology.\textsuperscript{31} This model was used to investigate and validate a new methodology to evaluate the dynamic lung mechanical function in experimental model of emphysema.\textsuperscript{32} The pathogenesis for emphysema includes many events such as inflammation, elastase, matrix metalloprotease imbalance, apoptosis, and oxidative stress, which are reproduced by elastase administration in animal models.\textsuperscript{33} We chose to develop the model in female C57Bl/6 mice based on clinical evidences that point out women are more susceptible to emphysema development than men\textsuperscript{34} and on pre-clinical assays that demonstrated the higher susceptibility of female A/J mice to emphysema.\textsuperscript{35} The use of an appropriate model of emphysema is essential to investigate relevant questions involved in the pathophysiology of the disease and to test the therapeutic potential of new drugs. Another essential point is the use of methods to evaluate the physiological parameters of respiratory in the emphysema model.

Evaluation of pulmonary function and measurement of physiological parameters are crucial to studies that investigate new therapeutic strategies, pathogenesis of disease and toxicology. There are invasive and noninvasive pulmonary function tests available which are sensitive in detecting abnormalities in mechanical respiratory. The invasive methodology evolution allowed the evaluation of relevant parameters transpulmonary pressure, expiratory and inspiratory flows, such as pulmonary resistance and compliance. Although these procedures give precise data, they involve invasive surgical procedures\textsuperscript{21} (orotracheal intubated animal) and anesthesia that impose non-physiological conditions to experiment that may generate significant artifacts, such as decreased respiratory frequency,\textsuperscript{28} besides the risk of death of animals due to the procedure which implies the use of a larger number of animals per experimental group. The non-invasive methodology started in the end of 70s\textsuperscript{25} and there are basically two types of plethysmograph: double-chamber and single-chamber whole-body instrument. This noninvasive serial measurements reduced the number of animal per study, but long-term examination is not allowed due to the stress imposed by of the neck collar restrained. Noninvasive techniques to evaluate respiratory parameters in conscious mice are easy, convenient, repetitive and reproducible, appropriate for quick and repeatable screening of respiratory function in a large number of conscious animals,\textsuperscript{26} however are not so accurate as the invasive method, and some important data about pulmonary function may be misleading.

This kind of evaluation is essential to reveal information about pulmonary function but does not provide data on the degree of impairment of physical capacity of the animal. Lüthje et al. demonstrated exercise intolerance by treadmill as a consequence of pulmonary emphysema and its systemic consequence in elastase-induced-emphysema model in transgenic mice.

Following this rational, we sought to evaluate a different procedure to establish experimental pulmonary emphysema in C57Bl/6 using elastase via tracheal puncture. To prove the efficacy of emphysema induction, we investigated the degree of impairment of physical capacity by the evaluation of respiratory function during exercise testing on treadmill, acquiring data about distance run, exercise time, oxygen consumption, and carbon dioxide production. To evaluate the structure alterations we measured LM, an objective parameter that represents the average distance between alveolar walls. The increase of Lm with age is relatively small and it is independent of body size. This measurement is easy to make and is independent of the observer. This measurement is considered to be suitable for detailed studies that aim to correlate structure and function disturbances in lungs.\textsuperscript{28}

By the investigation of functional disturbances and structural alterations associated with elastase-induced emphysema in mice, we aimed to establish an experimental model with high reproducibility and low mortality. We analyzed safety, feasibility and reproducibility of our model applying intratracheally an intermediary dose of elastase to produce the enlargement of alveolar space and its consequences on respiratory function.

Materials and methods

Animals

Two-month old female C57Bl/6 mice (n=36), weighing around 20 g, raised and maintained at the animal facilities at the Goncalo Moniz Research Center, FIOCRUZ, were used in the experiments, and were provided with rodent diet and water ad libitum. All animals were euthanized in a CO\textsubscript{2} chamber, and handled according the NIH guidelines for ethical use of laboratory animals.

Experimental groups

The animals were randomly divided into three groups: (A) control: non-manipulated animals (n=12); (B) intratracheal elastase: 100 \(\mu\)l (n=12); and (C) intratracheal PBS 100 \(\mu\)l (n=12).

Emphysema induction

For elastase instillation, mice were anaesthetized with ketamine (Vetbrands) and atropine (Allergan), intubated
orotracheally, and ventilated using a ventilator/respirator (Mini-Vent Small Animal Ventilators, Kent Scientific). Experimental animals received 2 U/100 g body weight porcine pancreatic elastase (Sigma, Aldrich, Taufkirchen, Germany) dissolved in 100 μl phosphate-buffered saline (PBS) solution or 100 μl PBS alone. Control animals were not manipulated.

The respective solution was administered intratracheally via tubing followed by 200 μl of air for an even distribution of the liquid throughout both lungs. The animals were submitted to anterior cervical incision in order to visualize the trachea and make the tracheal puncture to administer one of the solutions. Afterwards, mice were sutured, kept on a warm plate (30 °C) until restoration of spontaneous breathing and then were extubated.

### Treadmill

A motor-driven treadmill chamber for one animal (LE 8700, Panlab, Barcelona, Spain) was used to exercise the animals. The speed of the treadmill and the intensity in milliamps of the electric shock applied to an stainless steel grid at the rear end of the treadmill were controlled by a potentiometer (LE 8700 treadmill control, Panlab). Room air was pumped into the chamber at a controlled flow rate (700 ml/min) by a chamber air supplier (OXYLET LE 400, Panlab). Outflow was directed to an oxygen and carbon dioxide analyzer (Oxylet 00; Panlab) to measure consumption of oxygen and production of carbon dioxide. The mean room temperature was maintained at 21 ± 1 °C. After an adaptation period of 40 min in the treadmill chamber the mice were exercised at different velocities, starting at 7.2 m/min and increasing the velocity 7.2 m/min every 10 min. The inclination of the treadmill was maintained at an uphill angle of 10°. Velocities were increased until the animal could no longer sustain a given speed and remained for more than ten seconds on the electrified grid, which provided an electrical stimulus (1 milliamp) to keep the mice running. Total running distance and running time were recorded. Treadmill tests were carried out on all mice before the induction of emphysema and 28 days after experimental procedures.

### Histopathological analysis

Twenty-eight days after application of elastase or PBS, the mice were euthanized using CO₂ and the lungs were exposed. The trachea was canulated with gelco number 18 and the lungs were perfused with buffered 4% formalin applying a constant transpulmonary pressure of 20 cm H₂O for 2 h. After this procedure the trachea was sutured in order to hold the intrapulmonary pressure at 20 cm H₂O and the entire cardiopulmonary tissue block was removed and fixed in formalin (4%).

Morphologic examinations were performed following Thurlbeck method modified. Briefly, the mean linear intercept (Lm) of each lung was determined using light microscopy on 20 randomly selected fields, originating from randomly selected tissue samples covering the entire lung and containing apical as well as basal areas of the organ. Five pictures were taken of each lung. The Lm as indicator of air space size was calculated from counting lines of defined length that were randomly placed on each of the 20 lung sections of 5 μm and the number of intercepts crossing the lines counted. The Lm was calculated from the length of the lines multiplied by the number of the lines divided by the sum of all counted intercepts.

### Statistical analysis

Numeric values were expressed as mean ± SD unless stated otherwise. Treadmill performance was analysed using a paired t-test to compare groups before and after treatment and morphometric data were compared applying a One-way ANOVA followed by a Tukey test to identify groups that are significantly different. A value of P < 0.05 was considered statistically significant.

### Results

#### Safety of the procedure – mortality

Four out of 36 mice (11.1%) died during the experimental procedure. The groups manipulated by intratracheal function showed a similar mortality (two death per group), independent of the kind of solution administrated (Table 1).

### Functional analysis – ergometry

Maximum velocity and running distance reached by each group before (pre) and after (post) administration of PBS or elastase are summarized in Table 2.

Before the manipulation, all mice were capable of exercising on the treadmill. After manipulation, intratracheal elastase administration (group B) showed a significant decrease in the maximum exercise velocity being able to sustain treadmill velocities up to 15.1 m/min (Table 2). These differences resulted in a significant reduction in distance covered by tracheal elastase-treated mice when compared to tracheal saline-treated mice, reducing running distance by 30.5% in the former group (Table 2).

Concerning oxygen consumption and carbon dioxide production, all mice treated with elastase increased oxygen consumption after induction when compared at the same speed before and after administration of elastase (Fig. 1A). In relation to the production of carbon dioxide, no significant difference was observed at the same speed before and after administration of elastase (Fig. 1B).

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**Table 1** Percentage of mortality related to different methodology to induce experimental pulmonary emphysema. (A) Control: non-manipulated animals; (B) intratracheal 100 μl of elastase administration; (C) intratracheal 100 μl of saline administration.

<table>
<thead>
<tr>
<th>Group</th>
<th>Number of mice</th>
<th>Mortality</th>
<th>%</th>
</tr>
</thead>
<tbody>
<tr>
<td>A</td>
<td>12</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>B</td>
<td>12</td>
<td>2</td>
<td>16.7</td>
</tr>
<tr>
<td>C</td>
<td>12</td>
<td>2</td>
<td>16.7</td>
</tr>
<tr>
<td>Total</td>
<td>36</td>
<td>4</td>
<td>11.1</td>
</tr>
</tbody>
</table>

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Table 2  Mice performance during exercise on a motorized treadmill evaluated by maximum velocity reached and maximum distance covered before (pre) and after treatment (post) and the respective percentage change (%). Groups as in Table 1.

<table>
<thead>
<tr>
<th>Group</th>
<th>Velocity (m/min)</th>
<th>Distance (m)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Pre</td>
<td>Post</td>
</tr>
<tr>
<td>A</td>
<td>19.8 ± 3.0</td>
<td>19.2 ± 3.2</td>
</tr>
<tr>
<td>B</td>
<td>19.2 ± 2.7</td>
<td>16.2 ± 3.1*</td>
</tr>
<tr>
<td>C</td>
<td>18.0 ± 3.0</td>
<td>18.0 ± 3.6</td>
</tr>
</tbody>
</table>

* Indicates a value significantly lower after treatment (P < 0.05).

Morphometric analysis – mean linear interseptum measurement

The administration of 100 µl of elastase by the tracheal route significantly increased Lm (99.6 ± 2.9 µm) when compared to intratracheal saline-treated (33.3 ± 0.6 µm) and non-manipulated mice (25.6 ± 0.1 µm). A slight, but significant, increase in Lm was found comparing traqueal application of saline with non-manipulated animals (Fig. 2).

Discussion and conclusion

In this work we induced emphysema by intratracheal instillation of porcine pancreatic elastase, which resulted in a mean linear intercept of 99.6 µm. Ishizawa et al. applied the same concentration of elastase by intranasal instillation to induce the experimental emphysema in mice and, after three weeks, they observed pulmonary abnormalities and a mean linear intercept of 80 µm. The same group repeated the same procedure in order to test the effects of Hepatic Growth Factor in injured lungs by elastase, but the mean linear intercept was slightly smaller: 65 µm. Another study, using both C57BL/6 mice and SP-C/TNF-a transgenic mice, using similar dosage of elastase found a mean linear intercept ranging between 60 and 85 µm. Comparing these morphometric data suggests that intratracheal administration of elastase seems more efficient to induce emphysema, coupled with a relatively low mortality. The experimental procedures used in our study to induce emphysema can be considered tolerable since the mortality index in all experimental groups remained low (Table 1). Ishizawa et al. did not mention the mortality rate observed in their studies.
Recently,27 emphysematous disturbances were induced in a transgenic strain of mice (NMRI), which possesses a higher endogenous anti-protease activity. Two experimental groups were used to administer porcine pancreatic elastase by intratracheal instillation: 5 U/100 g body weight, single administration and 3.3 U/100 g body weight, repetitive administration. In both treatments the absolute mortality of animals was much higher than that in our work (six and five mice, respectively). However, the authors do not mention the total number of animals used per group, but it seems clear that the higher concentrations of elastase used in their study resulted in a greater mortality rate among experimental animals when compared to the present study.27 These results indicate that the experimental protocol used in our study is more applicable to induce emphysema in mice.

Comparing the morphological parameters of our study with the ones measured by Lüthje et al.,27 we observed that the \( L_{\text{m}} \) was greater in the latter study (260.7 \( \mu \)m) when compared to our data (99.6 \( \mu \)m). The greater destruction of lung parenchyma induced in the mice by Lüthje et al.27 can be attributed to the greater concentration of elastase used, as well as to the mouse strain used, which is more susceptible to the development of pulmonary structure abnormalities.

The physical activity is significantly impaired in many patients with COPD, significantly altering their quality of life. The pathogenesis of physical incapacity is complex and involves loss of respiratory muscle strength, changes in gas exchange, and abnormalities in lung mechanics, which reflects in exercise intolerance that is a direct consequence of impairment in respiratory mechanics. However, the measures of parameters related to respiratory mechanics involved invasive or noninvasive methodology, which it is not totally secure, requiring a large number of animals to be used or a loss of accuracy of measurements of respiratory parameters, since it does not reflect the physiological reality of an undisturbed animal. The invasive measurements require that animals are anesthetized and ventilated,23 orotracheally or endotracheally intubated, tracheotomized24 or under spontaneous breathing. The advantages of these methods include no stress for the animal and the evaluation of gold standard parameters, such as resistance and compliance. However, the anesthesia could alter some physiological parameters and depending on methodology the measurement cannot be repeated.33 Hantos et al.34 tried to establish a link between the mechanical properties of the respiratory system and absolute lung volumes in elastase-induced emphysema in order to investigate how these physiological findings are related to the pathological changes in lung volumes and the associated alterations in respiratory resistance and elastance in patients. Thus, the tissue damage did not correlate with airway dysfunction in this mouse model of emphysema.34

On the other side, noninvasive techniques are easy to perform, allow spontaneously breathing, and can be done in large numbers of conscious animal during the same period. As previously mentioned, there are two types of plethysmograph: double-chamber and single-chamber whole-body instruments. In the first one the animal is restrained at the neck. The animals are placed in the body plethysmograph, while the head protruded through a neck collar into a ventilated head exposure chamber.35,36 This apparatus which is attached to an amplifier is connected to a pneumotachograph and a differential pressure transducer, which allows airflow measurement, tidal volume, minute volume and respiratory rate.27 These noninvasive serial measurements reduced the number of animal per study, but long-term examination is not allowed due to the stress imposed by the neck collar restrained. There is also a unrestrained double-chamber instrument where the animal’s head and body are separated in a chamber by a rubber collar around the neck, which creates two environments: nasal chamber and thoracic chamber. In single-chamber whole-body plethysmograph, no restraint is required and the animals have free access to water and food. These recordings may be done all day long but physiological measurement is indirect since it is done based on pressure changes in single-chamber whole-body plethysmograph.38 The results are reproducible despite of the chamber plethysmograph employed. The single-chamber is appropriate to measure airway resistance reactivity to drug administration, but not to evaluate tidal volume. On other side, the double-chamber is to provide accurate results about tidal volume and respiratory rate.33 When this technique is performed in a double chamber with restrained animal records of inspiratory and expiratory flows, tidal volume, minute volume and respiratory rate can be done directly, but it is not possible to measure transpulmonary pressure or airway resistance. The noninvasive measurements with unrestrained animal in a single chamber allow physiological measurement based on pressure alterations inside the chamber, thus the inspiratory and expiratory flows are evaluated indirectly, and the transpulmonary pressure cannot be analyzed. The limitation is the shortage of accuracy on the evaluation of physiological parameters, such as respiratory rate and tidal volume.34

In addition to the reasons described above, that justify the use of treadmill exercise to assess the functional limitations of the respiratory system induced by emphysema,29-31 there is the fact that acute physical exercise is known to markedly increase the blood flow and oxygen uptake in the lung. The severity of pulmonary parenchyma lesions on exercise performance can be easily evaluated by ergometric tests, and in experimental models of emphysema this fact may be evaluated by testing exercise capacity in treadmill, as performed by Lüthje et al.27 which demonstrated a reduction in relative run distance in emphysematous mice. Here, not only the distance run and exercise time were measured but the consumption of oxygen and carbon dioxide were evaluated. The results obtained demonstrated that emphysema induction committed the exercise capacity probably due to an impairment in gas exchange (Fig. 1 and Table 2).

In our work, with a single intratracheal administration of elastase and a small degree of inclination in the treadmill, we observed a reduction in running distance of 30.5% when compared to pre-treatment exercise capacity of emphysematous mice. The greatest decrease in maximum velocity was also seen in the group treated with elastase by intratracheal route (Table 2). A reduction in maximum velocity during treadmill exercise was also observed in a rat model of emphysema after intratracheal administration of elastase.34 The treadmill performance of mice in the study by Lüthje and co-workers revealed that there was no difference in running distance between control and single intratracheal administration of elastase groups, whereas the reduction in...
running distance in the group with repetitive intratracheal administration of elastase was 29.7%. Therefore, although the pulmonary tissue destruction was more severe in the study by Lüthje and co-workers, as shown by linear intercept length, probably due to strain susceptibility and the number of elastase administration, our experimental procedure resulted in the same level of serious functional impairment, as revealed by the exercise performance. Different from the previous works, we analysed the dynamics of gas exchange during exercise. The augment in oxygen consumption (Fig. 1A) could reflect the ability of the heart to increase cardiac output in order to attend the metabolic demands of animal during physical effort, trying to hold the blood oxygen partial pressure in the face of blood carbon dioxide partial pressure altered. The maintenance of carbon dioxide production (Fig. 1B) could mean the impairment of gas exchange. These respiratory dysfunction is reflected in a lower exercise capacity and could be consequence of pulmonary parenchyma lesion.

Up to date, all of the studies inducing emphysema in animal models are insufficiently capable of reproducing the slow and progressive inflammatory process that leads to chronic pulmonary injury in humans. However, they helped to elucidate the pathogenic mechanisms and the development of new clinical therapeutic protocols. Different animals such as hamsters, rats, and mice were used to establish models of emphysema, which are based on genetic manipulations (in mice), exposure to cigarette smoke, or administration of proteases in the airway. Knockout or transgenic mice models for emphysema revealed different genes that could be involved in the pathogenesis of emphysema, such as platelet derived growth factor A, fibroblast growth factor receptors 3 and 4, metalloproteinase-1 transgenic mice, platelet derived growth factor B transgenic mice, macrophage elastase, and neutrophil elastase, the last ones given resistance to smoke-induced emphysema. However, it is noteworthy that these gene-targeted mice may have alterations in lung structure, such as alveolar enlargement, possibly associated to alterations in lung development instead of emphysema development due to destruction in pulmonary parenchyma, since these genes are expressed throughout the tissue development, growth, and maturation. The smoke-induced animal models of COPD include nose-only exposure or whole body exposure. This model may be developed in different animal species, such as rat, guinea-pig, or mouse. The guinea-pig reproduces the most appropriate model of emphysema, but there are many problems related to the cost, shortage of tools to investigate molecular mechanisms, and reduced number of reagent specific to this animal species. Rats are very resistant and if the time and volume of smoke exposure are extrapolated these animals developed in specific abnormalities. With regards to mice, in addition to the advantages described above, functional parameters such as compliance, can be accurately measured. However, the smoke-induced-emphysema model is not so reproducible, the time for developing the disease is long (about six months), produce only a mild disease and after the cessation of smoke the lesions do not appear to progress. Currently, mice are considered the gold standard to reproduce and study many human diseases, since the mouse genome shows a great similarity to the human genome, having about 300 genes uniquely present in one species or the other. Furthermore, more than 10,000 genetic markers have been mapped in the mouse, a large number inbred strains and transgenic mice are available, and data on the animals’ anatomy, biology, immunology, and physiology are available.

The model tested in our study provides some advantages, including the use of a lower elastase concentration when compared to other studies. Nonetheless, it induced severe structural abnormalities that may be responsible for functional disturbances characterizing pulmonary emphysema. Furthermore, the emphysema could be induced with a very low mortality rate and a high degree of reproducibility, which could be functional analyzed by non-invasive technique that reflects the physical impairment associated to structural abnormalities characteristic of pulmonary emphysema.

Conflicts of interest

The authors have no conflicts of interest to declare.

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