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NON-LINEAR STATE SPACE MODEL AND CONTROL STRATEGY FOR PEM FUEL CELL SYSTEMS

MODELO NO LINEAL EN EL ESPACIO DE ESTADO Y UNA ESTRATEGIA DE CONTROL PARA CELDAS DE COMBUSTIBLE PEM

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ABSTRACT: This paper presents a non linear state space model and a linear control system for a Polymer Electrolyte Membrane fuel cell. The dynamics modeled are the temperature of the stack and the air flow compressor, and their main feature is the reproduction of the oxygen excess ratio behavior. The linear control system is a linear quadratic state feedback regulator and a Kalman filter, where the control objective is to avoid oxygen starvation and to minimize fuel consumption, through the tracking of an optimal load power profile. The Kalman filter is designed in order to obtain information from some non-measurable states.

KEYWORDS: PEM fuel cells, oxygen excess ratio, state space model, state space control, Kalman filter, phenomena-based model.

1. INTRODUCTION

Fuel cells are promising energy sources that produce an electrical current with almost null pollutant emissions. One of the most interesting fuel cells types is the Proton Exchange Membrane (PEM) due to its low operating temperature, high efficiency, and low electrolyte corrosion [1]. However, the operating conditions of PEM fuel cells must be regulated to achieve high efficiency in energy transformation and to protect the membrane from degradation [2]. Several works have been developed for obtaining mathematical models for PEM fuel cells: detailed non-linear models in state space [3], empirical polynomial-based approximations [4], model of efficiency based on interpolation and experimental characterization [5], control-oriented models ([6]-[8]), and also models that consider the stack with its auxiliary systems ([9]-[10]). However, those PEM fuel cell modeling approaches are not suitable for state space control purposes since they are based on complex interactions that make it difficult to analyze in a closed form. This issue has been addressed by linearizing those models around a desired operating point for applying linear, non-linear, fuzzy, and other control approaches, as recently described in [11], [12]. Another approach for fuel cell control design is to identify a linear model from process input/output data [13], [14]. Therefore, a non-linear state space model intended for control design and expressed in a classical structure, which also allows performing control synthesis, is desirable.
Considering a PEM fuel cell, the stoichiometric relation between oxygen and hydrogen supplied to the stack, as well as the consumed oxygen must be regulated to be safe; otherwise, the amount of oxygen required to supply the stack current may not be provided. This phenomenon, called oxygen starvation, causes the degradation of the fuel cell and decrements the power output, in which it frequently requires a shutdown of the fuel cell [15]. Therefore, a main concern with the PEM fuel cell is the regulation of the ratio between the oxygen flow supplied to the cathode and the oxygen consumed in the electrochemical reaction, which must be greater than one in order to avoid the oxygen starvation phenomenon [2]. As a result, a physically-based mathematical model intended to design, simulate, and evaluate control approaches in PEM fuel cells is desirable. Such a model should predict the excess oxygen ratio behavior, and also, it should consider the stack temperature effects on the stack voltage.

The authors of this paper propose a non-linear model expressed in the state space representation, whose dynamics are the temperature of the stack and the flow air in the compressor, in this way allowing us to realistically predict the stack voltage and the excess oxygen ratio behavior. This feature makes the model useful since excess oxygen ratio is critical in the fuel cell control design [2]. The model is validated through a set of experimental data obtained from a 1.2 kW NEXA Power Module, which is widely used by many research groups and is representative of the state of the art in PEM technology [16].

Furthermore, a linear control system is designed to illustrate the usefulness of the proposed model. The main control objective of this controller is to avoid oxygen starvation, while it fuel consumption is minimized by tracking optimal operating conditions [2]. The linear control system consists of a linear-quadratic state feedback regulator and a Kalman filter, where the filter is designed in order to obtain information from some non-measurable states. Both the controller and the observer have been designed by using linearized versions of the model around an operating point.

The rest of the paper is organized as follows: Section 2 gives a brief description of a PEM fuel cell and the 1.2 kW Nexa Power Module used for the validation of the model. Section 3 presents the non-linear model for predicting the oxygen excess ratio, stack voltage, thermal effects, and compressor dynamics. Section 4 describes the linear control system used to prevent oxygen starvation and to reduce fuel consumption. Finally, conclusions of the paper are given in Section 5.

2. DESCRIPTION OF THE FUEL CELL SYSTEM

PEM fuel cells are clean and efficient electrical power generation systems which are currently under intense research efforts. The physical configuration of a typical PEM fuel cell is shown in Figure 1, where the interaction among the stack, the air compressor, the humidifier, the cooling system, the hydrogen supply, and the anode purge valve are depicted. One of the most commonly used fuel cell power systems in research tasks is the Ballard 1.2 kW Nexa Power module, which is composed of a stack of 46 cells with membranes of 110 cm² and other auxiliary systems.

![Figure 1. Ballard 1.2 kW Nexa power module diagram](image-url)

The fuel cell stack produces the current requested by the load and by the ancillary systems, therefore being an auto-powered device. The air compressor generates the cathode airflow required to supply the electrochemical reaction requirements. Similarly, the humidifier and cooling systems provide the appropriate conditions for electricity generation without degrading the fuel cell. In particular, the Nexa system is auto-humidified and its maximum temperature is limited to a high of 65 °C. This prototype uses hydrogen as fuel, and its mechanical circuit is composed of a pressurized hydrogen storage, a control valve to regulate the hydrogen flow, and a purge valve that allows for it to release water particles and inert gases stocked on the anode, that way avoiding the flooding phenomena that degrades power generation [8].

The Nexa system provides run-time measurements of stack temperature, airflow, stack current, and hydrogen...
consumption, among others. Those variables allow for the run-time calculation of the experimental oxygen excess ratio. Finally, those measurements have been used to identify physical relations among model variables, and also to experimentally validate model predictions and Kalman filter estimations.

3. NON-LINEAR STATE SPACE MODEL

The non-linear state space model proposed in this paper follows the basis given in [8], which proposes the model structure depicted in Figure 2. Such a model’s main equations are described in (1)-(8), and its main dynamics are the stack temperature \( T_{st} \), and the air flow compressor \( W_{cp} \). Using an energy balance, the thermal model obtained for \( T_{st} \) is given by

\[
\frac{dT_{st}}{dt} = \frac{1}{5500} [57.64 I_{st} + 0.0024 I_{st} (T_{cm,in} - 298) - 8.13807 (T_{st} - T_{cm,in}) - (0.81249T_{st} - 0.81262T_{cm,in}) - V_{st} I_{cm}]
\] (1)

where \( T_{cm,in} \) is the ambient temperature, \( V_{st} \) is the stack voltage, \( I_{st} = I_{net} + I_{cm} \) is the stack current, \( I_{net} \) is the load current, and \( I_{cm} \) is the compressor current. The stack voltage and compressor current are given by

\[
V_{st} = 9.1954 \ln \left( 1 + \frac{I_{sc} - (I_{st} - 6.6296)}{0.7908} \right) - 0.3174 \ln \left( 1 + \frac{I_{st}}{0.0039} \right) - 0.0926 I_{st} + dV_{st}
\] (2)

\[
I_{cm} = -3.231 \times 10^{-5} W_{cp}^2 + 0.018 W_{cp} + 0.616
\] (3)

\[
I_{sc} = -0.45 \lambda_{O_2}^2 + 5 \lambda_{O_2} + 35
\] (4)

where \( \lambda_{O_2} \) is the virtual short-circuit current, which is derived as follows:

\[
dV_{st} = k_{dV_{st}} (T_{st} - T_0)
\]

\[
k_{dV_{st}} = \begin{cases} 
0.05, & T_{st} > T_0 \\
0.25, & T_{st} \leq T_0 
\end{cases}
\] (5)

\( I_{cm} \) is a virtual short-circuit current, which is parametrized using experimental curves, and it defines the FC polarization curves [2]. \( dV_{st} \) is a deviation factor of the stack voltage, which considers the changes of the stack temperature from the reference temperature \( T_0 = 35^\circ C \). \( k_{dV_{st}} \) is a constant of deviation factor voltage, and \( \lambda_{O_2} \) is the oxygen excess ratio.

It is important to highlight that (3) was identified experimentally in [8] from the prototype in order to obtain a relation between \( I_{cm} \) and \( W_{cp} \). Similarly, an air flow compressor model \( G_{cm}(s) \) has been obtained by using experimental data taken on the Ballard NEXA 1.2 kW system following the Reaction Curve Method [13],

\[
W_{cp} = G_{cm}(s) \cdot V_{cp} - 45
\] (6)

\[
G_{cm}(s) = \frac{0.1437 s^2 + 2.217 s + 8.544}{s^3 + 3.45 s^2 + 7.324 s + 5.745}
\] (7)

\[
V_{cp} = 0.99873 \cdot I_{st} + 46.015
\] (8)

where \( V_{cp} \) is the compressor control signal, and its expression for the Ballard NEXA 1.2 kW system, given in (8), has been also identified from experimental measurements.

For obtaining a state space structure for the model described above, a time domain representation of \( G_{cm} \) is derived as follows:

\[
\dot{x}_1 = f_1 = -3.45 x_1 + x_2 + 0.1437 V_{cp}
\]

\[
\dot{x}_2 = f_2 = -7.324 x_1 + x_3 + 2.217 V_{cp}
\]

\[
\dot{x}_3 = f_3 = -5.745 x_1 + 8.544 V_{cp}
\] (9)

where \( x_1 = W_{cp} + 45 \), \( x_2 = \dot{x}_1 \), and \( x_3 = \dot{x}_2 \), which are artificial variables introduced to model the compressor.

Thereafter, a non-linear state space model is proposed, whose main dynamics, or states, are \( x_1 \), \( x_2 \), \( x_3 \), and \( x_4 = T_{st} \). The inputs of the model are the compressor control signal \( V_{cp} \) in [0% - 100%], the load current \( I_{net} \), and the ambient temperature \( T_{cm,in} \). The outputs are the oxygen excess ratio \( \lambda_{O_2} \) and the stack voltage \( V_{st} \). The model represented in closed form is given as follows:

\[
\dot{x} = f(x,u)
\]

\[
y = g(x,u)
\] (10)
where \( \mathbf{x} = [x_1, x_2, x_3, T_s, T_a]^T \), \( \mathbf{y} = [\lambda_{O_2}, V_{at}, V_{st}]^T \), and \( \mathbf{u} = [V_{cp}, I_{net}, T_{ca,in}]^T \). The output function \( \mathbf{g} = [g_1, g_2] \) is defined by \[ g_1 = \lambda_{O_2} = \frac{W_{O_2,ca,in}}{W_{O_2,react}} \] \[ g_2 = V_{st} \]

where \( W_{O_2,ca,in} \) is the oxygen flow that arrives to the cathode and \( W_{O_2,react} \) is the oxygen flow consumed in the electricity generation reaction. Using electrochemistry principles,

\[ W_{O_2,react} = M_{O_2} \frac{n \cdot I_{at}}{4F} \] \[ W_{O_2,ca,in} = r_{O_2,a} W_{a,ca,in} \]

is obtained, where \( M_{O_2} = 0.032 \) is the molecular mass of the oxygen, \( n = 46 \) is the number of cells in the stack, \( F = 96485 \) is the Faraday constant, \( r_{O_2,a} = 0.2330 \) is the molar mass relation between oxygen and dry air, which quantifies the oxygen flow available in the inlet dry air flow \( W_{a,ca,in} \) defined by

\[ W_{ca,in} = \left( \frac{1}{1 + w_{ca,in}} \right) W_{ca,in} \]

\( w_{ca,in} \) is the humidity ratio, and \( W_{ca,in} \) is the inlet air flow defined by

\[ W_{ca,in} = \frac{M_{air}}{22.4 \cdot 60} V_{cp} \] \[ \text{SLPM} \]

where \( M_{air} = 0.0288 \) is the inlet air molar mass. It is noted that (14) was used to transform \( W_{ca,in} \) from \([\text{Kg} \cdot \text{s}^{-1}]\) to \([\text{SLPM}]\) because \( V_{cp} \) is expressed in such a unit.

The non-linear state space model is validated through a set of experimental data from a 1.2 kW NEXA Power Module. The comparison between the experimental data and the model response is presented in Figures 3. In order to provide a measurement of the error between the model and the experimental data, an error analysis is carried out through the Mean Relative Error (MRE) criterion \[18\], which is given by

\[ \text{MRE}() = 100 \cdot \frac{1}{N} \sum_{i=1}^{N} \left| \frac{m_i - f_i}{m_i} \right| \]

where \( m_i \) and \( f_i \) are the experimental and model data, respectively, and \( N \) is the number of samples. The application of this criterion gives the following results.
Although in the oxygen excess ratio $\lambda_0$, an error around 6% is reached, such results confirm the satisfactory quality of the model for control purposes.

4. LINEAR CONTROL SYSTEM DESIGN

The linear control system is a Linear-Quadratic Regulator (LQR) and a Kalman filter, where the filter is designed in order to obtain the full information needed for the state feedback. Its control objective is to regulate the oxygen excess ratio $\lambda_0$, by tracking a given profile, while the fuel consumption described in (18) [2] is minimized.

$$\lambda_{0,\text{optimo}} = \sum_{k=0}^{5} [b_k (P_{\text{net}})^k]$$

where $P_{\text{net}}$ is the net power, $b_0 = 13.32$, $b_1 = -6.878 \cdot 10^{-2}$, $b_2 = 2.352 \cdot 10^{-3}$, $b_3 = -4.139 \cdot 10^{-2}$, $b_4 = 3.566 \cdot 10^{-10}$, and $b_5 = -1.194 \cdot 10^{-13}$. Figure 4 depicts the structure of the linear control system.

![Figure 4. Structure of the linear control system designed for the PEM fuel cell.](image)

The design of the LQR and Kalman filter is based in a linearized version of the model proposed in (10) and (11). The linear model is given by:

$$\dot{x} = Ax + Bu$$
$$y = Cx + Du$$

where $A$, $B$, $C$, and $D$ are given in (20).

Taking into account that the measurable states are $x_1$, $x_2$, and $T_{u}$, a Kalman filter is designed for obtaining the full information needed for the state feedback. The linear model used to design the Kalman filter is described as follows:

$$\dot{x}(t) = Ax(t) + Bu(t) + B_w w(t)$$
$$y = Cx + v(t)$$

where $x = [x_1 \ x_2 \ x_3 \ T_u]^T$, $y = [x_1 \ T_u]^T$, $u = [V_{\text{cp}} \ I_{\text{net}} \ T_{\text{ca,in}}]^T$, the matrices $A$ and $B$ are the same matrices defined in (20), and the matrices $B_w$ and $C$ are given by:

$$B_w = I_{4 \times 4}$$
$$C = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

The signals $w$ and $v$ are the plant and measurement noise, and both are assumed to be zero-mean Gaussian processes, that is

$$E(w(t)w^T(t)) = R_w = \text{diag} \left( \sigma^2_{x_1}, \sigma^2_{x_2}, \sigma^2_{x_3}, \sigma^2_{T_u} \right)$$

$$E(v(t)v(t)) = R_v = \text{diag} \left( \sigma^2_{x_1}, \sigma^2_{T_u} \right)$$

$$E(v(t)w(t)) = 0$$
where \( \sigma_1^2 = 33.185 \) and \( \sigma_2^2 = 1.1608 \times 10^{-3} \) in \(^\circ\)C are the variance of the experimental data of \( w_p \) and \( T_u \), respectively.

Since the objective of the LQR is to track an optimal load power profile, the linear model is augmented by adding a new state \( x_5 \), where \( \dot{x}_5 = \lambda_{o,ref} - \lambda_{o} \). Given that the LQR is a SISO controller, it holds that the controlled variable is \( y = \dot{\lambda}_{o2} \) and the manipulated variable is \( u = V_{ep} \). Then, the linear model used by the LQR is described by (24)

\[
\begin{align*}
\dot{x} &= \begin{bmatrix} A & 0 \\ -D & 0 \end{bmatrix} x + \begin{bmatrix} B & \hat{B} \\ 0 & -\hat{D} \end{bmatrix} u + \begin{bmatrix} 0 \\ 1 \end{bmatrix} r \\
\dot{x}_5 &= \begin{bmatrix} 0 \\ 1 \end{bmatrix} x + \begin{bmatrix} 0 \\ 1 \end{bmatrix} u \\
y &= \lambda_{o2} = \begin{bmatrix} C & 0 \end{bmatrix} x_5 + \begin{bmatrix} D & \hat{D} \end{bmatrix} u \\
\end{align*}
\]

(24)

where \( x = [x_1 \ x_2 \ x_3 \ T_n \ T_{in}]^T \), \( d = [U_{net} \ T_{car,b} \ T_{car,i}]^T \), \( B \) and \( \hat{B} \) are defined as the first and the rest of the columns of matrix \( B \) in (20), respectively. In the same way, \( D \) and \( \hat{D} \) are defined as the first and the rest of the columns of matrix \( D \) in (20), respectively. Finally, matrix \( C \) is redefined by the first row of matrix \( C \) in (20).

Since the changes in the environmental temperature are neglected, the disturbance \( T_{car,in} \) is fixed to the operation point value, and the performance of the linear control system is tested under a current demand profile \( I_{net} \) depicted in Figure 5c. The satisfactory simulation performance of the control system is observed in Figures 5 and 6. In addition, a measurement of error between the oxygen excess ratio \( \lambda_{o2} \) and the reference is given in (25). Furthermore, measurements of the error between the non-linear model and the estimation of the Kalman filter for the state variables \( x_2 \) and \( x_3 \) are also given in (25). According to the results, it is clear that oxygen excess ratio \( \lambda_{o2} \) tracks the value of reference given by the optimal load power profile, see Fig. 5a. Also, a good transient response of the oxygen excess ratio \( \lambda_{o2} \) is achieved, in which the settling time is smaller than 10s, which is satisfactory according to the results reported in [2].

\[
\begin{align*}
MRE_{\lambda_{o,ref}} &= 100 \times \frac{1}{N} \sum_{i=1}^{N} \frac{\lambda_{o,ref} - \lambda_{o}}{\lambda_{o,ref}} = 9.07\% \\
MRE_{x_2} &= 100 \times \frac{1}{N} \sum_{i=1}^{N} \frac{x_{2,model} - x_{2,KF}}{x_{2,model}} = 1.066\% \\
MRE_{x_3} &= 100 \times \frac{1}{N} \sum_{i=1}^{N} \frac{x_{3,model} - x_{3,KF}}{x_{3,model}} = 0.371\% \\
\end{align*}
\]

(25)

![Figure 5. Performance of the linear control system](image-url)
Another important characteristic of the controller concerns the response of the manipulated variable $V_{cp}$, which remains within the operating interval [0%-100%], without any saturation, under the different changes in the reference caused by the disturbances on $I_{net}$, see Fig. 5b. Finally, it is important to highlight the good estimation offered by the Kalman filter of the non-measurable states $x_2$ and $x_3$, see Fig. 6c and 6d, when this estimation is compared with the value given by the non-linear model of the PEM fuel cell depicted in (10) to (11).

<table>
<thead>
<tr>
<th>Parameters</th>
<th>Description</th>
<th>Units</th>
</tr>
</thead>
<tbody>
<tr>
<td>$dV_{st}$</td>
<td>deviation factor of the stack voltage</td>
<td>[V]</td>
</tr>
<tr>
<td>$F$</td>
<td>Faraday constant</td>
<td>[C mol$^{-1}$]</td>
</tr>
<tr>
<td>$I_{com}$</td>
<td>compressor current</td>
<td>[A]</td>
</tr>
<tr>
<td>$I_{load}$</td>
<td>load current</td>
<td>[A]</td>
</tr>
<tr>
<td>$I_e$</td>
<td>stack temperature</td>
<td>[A]</td>
</tr>
<tr>
<td>$I_r$</td>
<td>virtual short-circuit current</td>
<td>[A]</td>
</tr>
<tr>
<td>$K_{dV_{st}}$</td>
<td>constant of deviation factor voltage</td>
<td></td>
</tr>
<tr>
<td>$M_{in}$</td>
<td>inlet air molar mass</td>
<td>[Kg mol$^{-1}$]</td>
</tr>
<tr>
<td>$M_{O_2}$</td>
<td>molecular mass of oxygen</td>
<td>[Kg mol$^{-1}$]</td>
</tr>
<tr>
<td>$n$</td>
<td>number of cells in the stack</td>
<td></td>
</tr>
<tr>
<td>$n_{O_2}$</td>
<td>molar mass relation</td>
<td></td>
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<td>$T_{amb}$</td>
<td>ambient temperature</td>
<td>[K]</td>
</tr>
<tr>
<td>$T_0$</td>
<td>reference temperature</td>
<td>[K]</td>
</tr>
<tr>
<td>$T_{st}$</td>
<td>stack temperature</td>
<td>[K]</td>
</tr>
<tr>
<td>$V_{p}$</td>
<td>compressor control signal</td>
<td>[V]</td>
</tr>
<tr>
<td>$V_{st}$</td>
<td>stack voltage</td>
<td>[V]</td>
</tr>
<tr>
<td>$w_{h,amb}$</td>
<td>humidity ratio</td>
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<tr>
<td>$W_{in}$</td>
<td>inlet air flow</td>
<td>[Kg s$^{-1}$]</td>
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<tr>
<td>$W_{d,amb}$</td>
<td>dry air flow</td>
<td>[Kg s$^{-1}$]</td>
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<tr>
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<td>oxygen flow in cathode</td>
<td>[Kg s$^{-1}$]</td>
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<td>$W_{O_2,react}$</td>
<td>oxygen flow in reaction</td>
<td>[Kg s$^{-1}$]</td>
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<tr>
<td>$W_{st}$</td>
<td>air flow compressor</td>
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<tr>
<td>$\lambda_{O_2}$</td>
<td>oxygen excess ratio</td>
<td>[Kg s$^{-1}$]</td>
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5. CONCLUSIONS

The authors of this paper propose a non-linear state space model for PEM fuel cells, in which the main dynamics are the temperature of the stack and the compressor airflow. The remarkable feature of the model is the reproduction of the oxygen excess ratio behavior, which was taken into account as a control objective. In addition, the model was used to design a linear control system for a PEM fuel cell system, where the control objective was to avoid oxygen starvation while minimizing fuel consumption, through the tracking of an optimal reference profile. The linear control system showed a satisfactory tracking and transient response, and a good estimation of the non-measurable variables was also achieved.

REFERENCES


