ABSTRACT

Objective: The aims of this study were to identify potentially inappropriate prescribing using the Beers and STOPP criteria. The START criteria were applied to detect prescription omission in the geriatric population. We compared the utility of these criteria in institutionalised older people.

Methods: Descriptive study reviewing the medication and clinical records of 81 residents (aged 65 years and more) by pharmacists in a nursing home in the Lleida region (Spain).

Results: The mean patients’ age was 84 (SD=8) years, with an average of 5 drugs per resident (total prescriptions: 416 medicines). The Beers criteria identified potentially inappropriate medication use in 25% of patients and 48% of patients used at least 1 inappropriate medication according to STOPP criteria. The most frequent potentially inappropriate medications for both criteria were long-acting benzodiazepines and NSAIDs. START detected 58 potential prescribing omissions in 44% of patients. Calcium-vitamin D supplementation in osteoporosis was the most frequent rule (15%), but omissions corresponding to the cardiovascular system implied 23% of patients.

Conclusion: The STOPP-START criteria reveal that potentially inappropriate prescribing (PIP) is a highly prevalent problem among Spanish nursing home residents, and a statistically significant positive correlation was found between the number of medicines prescribed and the number of PIP detected in this study. The STOPP criteria detect a larger number of P1 medications in this geriatric population than the Beers criteria. The prescribing omissions detected by the START criteria are relevant and require intervention. Pharmacists’ review of medications may help identify potentially inappropriate prescribing and, through an interdisciplinary approach, working with physicians may improve prescribing practices among geriatric residents of nursing homes.

Keywords: Inappropriate Prescribing. Aged. Nursing Homes. Spain.

Potentially inappropriate prescribing in institutionalised older patients in Spain: the STOPP-START criteria compared with the Beers criteria

Amalia UBEDA, M. Luisa FERRANDIZ, Nuria MAICAS, Cristina GOMEZ, Montserrat BONET, Jose E. PERIS.

Received (first version): 4-Jul-2011 Accepted: 11-Apr-2012
patients ancianos en una residencia sanitaria en España, con una significativa correlación positiva entre el número de medicamentos prescritos al paciente y el número de prescripciones potencialmente inapropiadas. Los criterios STOPP identificaron más medicación potencialmente inapropiada que los criterios de Beers. Las omisiones detectadas por los criterios START son relevantes y requiere una intervención. La revisión de la medicación por un farmacéutico puede ayudar a identificar potenciales prescripciones inapropiadas y, con un abordaje interdisciplinario, en colaboración con los médicos se podría mejorar la prescripción en pacientes ancianos de residencias geriátricas.


INTRODUCTION

In developed countries, the demographic trend moves towards a society with populations with increasing percentages of citizens aged 65 years and more.1 In Spain, the geriatric population represents 17% of the total population.2 Consequently, there is a heavy health burden as this population corresponds to more than 70% of the total prescription costs in the Spanish National Health System in 2008.3 In Europe, the amount of healthcare resources used by the older citizens is more than double that consumed by the general population.4 Chronic diseases and multiple medical conditions predominate in older citizens; consequently, there is a high prevalence of polypharmacy, defined as the use of multiple medications which has been associated with negative health outcomes.5 Pharmacokinetics and pharmacodynamics changes in older people are of much relevance in dose regimes. Lack of awareness of these changes can contribute to inappropriate medicines use, which can cause adverse drug effects. Besides, some of these reactions may be confused with progression of the given pathology or with some typical age-related syndromes.1

A potentially inappropriate medication (PIM) is assumed when the risk of adverse effects outweighs the expected clinical benefit, especially when a safer or more effective alternative therapy is available for the same condition. PIMs use is a major problem among older people, and may contribute to increased risk of adverse drug effects and to developing drug-drug and drug-disease interactions.6,7

Appropriate prescribing can be assessed by explicit indicators developed by consensus approaches. The most commonly used explicit criteria to review drug treatments and to identify PIMs are the Beers criteria. This tool includes a list of inappropriate drugs that should be avoided in older patients because of toxicity relating to the agent, too-frequent doses or too-large accumulative daily doses (independent of diagnosis), plus a list of criteria considering diagnoses with possible drug-disease interactions.8 Although the Beers criteria have been applied widely in many studies to define potentially inappropriate medications use in older people, several studies considered that these criteria present a number of serious flaws (e.g., many proscribed drugs are not used in European formularies, inclusion of some drugs is subject to controversy, and the criteria do not contemplate problems involving under-used beneficial medicines) and are of doubtful relevance to routine geriatric pharmacotherapy, especially in European countries.9,10 For these reasons, the new PIP criteria have been devised and validated (STOPP: Screening Tool of Older Persons’ Prescriptions; and START: Screening Tool to Alert to Right Treatment) to detect potential errors in prescribing and the omission of well-indicated medication in the geriatric population.10 Recently, these criteria have been adopted by the European Union Geriatric Medicine Society, and have been translated into several European languages.11,12

Older residents in nursing homes are often frail, with progressive degenerative health problems and frequent polypharmacy. Their dependency and frequent cognitive impairment undermine their capacity to report adverse drug events. A recent study indicated that living in an institutional setting was associated with an increased risk of PIPs in older citizens with mental co-morbidities admitted to hospitals.13 This highlights the need for regular reviews and adjustments of the treatments taken by this geriatric population. By means of a pharmaceutical care service, pharmacists can help detect potential medication problems and improve medication selection appropriateness in older people.6,14,15

The aims of this study were to identify firstly PIMs using the Beers and the STOPP criteria and secondly errors of omission using the START criteria in nursing home residents; to compare the utility of these screening tools in measuring PIP in institutionalised older people; to determine the relationship between the number of prescribed drugs and PIPs; and to highlight those drugs that frequently contribute to most PIPs in Spanish nursing home residents.

METHODS

A cross-sectional retrospective 6-month study was performed in 2008 with patients aged 65 years and above, who were residents of a nursing home in the Lleida region (Spain). For each resident, medical and prescriptions records were reviewed, and patients were included if they had regular systemic medication prescriptions (including inhaled route medicines) and completed clinical diagnosis records; thus, 81 patients (98% of all the residents) were selected during the study period.

A search of PIPs in residents’ medical charts was done by a pharmacist applying both the Beers and the STOPP-START criteria. The pharmacist was not directly involved in these patients’ medical care.
PIPs were identified using the Beers criteria (2003) (independently of diagnoses and considering diagnoses or clinical conditions) and the STOPP-START criteria. The STOPP section includes 65 indicators considered to be PIMs, while the START section has 22 indicators of potentially prescribing omissions in older people. Patients’ diagnoses were obtained from medical charts (and were also verified with prescribed medication and analytical values). A minimum 6 month periodical assessment of each patient’s clinical conditions was done by the physician. Some residents were assessed with a higher frequency (e.g., measurements of HbA1C and/or fasting glucose levels in diabetics patients were assessed weekly or biweekly depending on diabetes type and insulinotherapy).

Flunitrazepam was also considered a PIM because it is a benzodiazepine marketed in Spain with similar pharmacological properties to other long-acting benzodiazepines, although it was not explicit on the Beers list.

Age, gender, functional status (the Barthel index10), mental status (MMSE adapted by Lobo17) and number of chronically prescribed medications, were also recorded. The study protocol was approved by both the responsible clinician and the residence’s administration in charge. Informed consents were not required from participants due to their de-identification and lack of direct involvement.

A descriptive analysis of the results for each criterion was done by measuring not only the number of the subjects with PIPs as identified by each tool, but also the number of inappropriateness criteria identified in each patient. To determine statistical correlations between the number of medicines prescribed, age, gender, mental status, Barthel Index and the PIPs, bivariate correlations for nonparametric data were calculated (Spearman’s ρ correlation coefficient). The Wilcoxon signed rank test for nonparametric data was used to compare the PIPs using the Beers criteria and STOPP. The Pearson’s chi-square test was used to compare the occurrence of PIPs between males and females, and Barthel index and MMSE. In all statistical tests, a probability value of <0.05 was considered significant.

RESULTS

Table 1 summarises the characteristics of the 81 patients prescribed at least one regular systemically acting medicine in the nursing home. The mean age of the participating residents was 84 (SD=8) years, 63% were female and the majority (70%) of patients were 80 years of age, or more. The mean number of medicines prescribed per resident was 5.1 (SD=2.6), with a total number of 416 medicines prescribed. Polypharmacy (that is, at least 5 chronic prescriptions per patient) was detected in 54% of participants. Most residents displayed a low degree of dependence (Barthel Index ≤60), but 48% presented severe cognitive impairment (MMSE score ≤24).

After applying all the inappropriateness criteria, 50% of patients used at least one PIM to be avoided (41 patients) with a prevalence of 23% of inappropriate prescriptions. Table 2 summarises the PIM distribution per patient. The STOPP criteria detected a larger number of patients with any PIM (48%) than the Beers criteria (25%). The STOPP criteria also detected a larger number of patients with two or more PIMs than Beers. Thus, a total of 62% of the patients had any PIP according to the STOPP-START criteria, while 64% of the patients had any PIP when considering all three criteria.

According to Beers, independently of diagnosis, the most frequently inappropriate drugs use was: long-acting benzodiazepines (7 patients). When considering a diagnosis in a person with gastric or duodenal ulcers, use of conventional NSAIDs was the most widely prescribed contraindicated drug (4 patients). Overall, the Beers criteria identified 13 PIMs (independently of diagnosis) in 15% of patients. Furthermore, and in relation to disease, the Beers criteria identified 17 PIMs in 19% of patients (Table 3). A statistically significant positive correlation was found between the number of medicines prescribed and the number of PIMs detected using the Beers criteria ($r_c=0.383$, $P<0.001$). The number of PIMs identified was significantly lower using Beers (7% of total prescriptions in 25% patients) than STOPP (16% of total prescriptions in 48% of patients) (Wilcoxon signed-ranks test $Z=-3.775$, $P<0.001$), despite both containing a similar number of rules.

The number of PIMs identified by STOPP was 66 in 48% of patients. Of the 65 criteria, only 16 identified a PIM. The highest prevalence (22) was related to the central nervous system (15 relating to

<table>
<thead>
<tr>
<th>Num. patients</th>
<th>Beers</th>
<th>STOPP</th>
<th>START</th>
</tr>
</thead>
<tbody>
<tr>
<td>One inappropriate</td>
<td>11</td>
<td>22</td>
<td>22</td>
</tr>
<tr>
<td>Two</td>
<td>8</td>
<td>11</td>
<td>8</td>
</tr>
<tr>
<td>Three</td>
<td>1</td>
<td>4</td>
<td>4</td>
</tr>
<tr>
<td>Four or more</td>
<td>--</td>
<td>2</td>
<td>2</td>
</tr>
</tbody>
</table>

Table 2. Number of patients identified with a potential inappropriate medicine (PIMs) according to the Beers and STOPP criteria and a potential prescribing omission (PPOs) by the START criteria in 81 patients.
Patients' diagnoses were recorded from medical charts. COPD: Chronic obstructive pulmonary disease. NSAIDs: nonsteroidal anti-inflammatory drugs.

- Constipation: Calcium channel blockers 3
- Cerebral Vasodilators: Flunarizine (daily) 2
- COPD: Long-acting benzodiazepines 3
- Parkinson disease: Conventional antipsychotics 1
- Depression: Long-term benzodiazepine use 1
- Syncope or falls: Short-to intermediate acting benzodiazepine 3
- COPD: Long-acting benzodiazepines (chlorazepate) 1
- Constipation: Calcium channel blockers 3

**TOTAL 17**

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A statistically significant negative correlation was found between the Barthel Index and the cognitive impairment (MMSE) scores, and the number of PIM when the STOPP criteria were applied ($r_s = -0.330$, $P<0.01$, $r_s = -0.097$, $P<0.05$, respectively). In fact, for those patients without cognitive impairment, the occurrence of PIMs using the STOPP criteria (27%) was less frequent than in patients with moderate or severe cognitive impairment (50% and 55%, respectively). However, the correlation was not statistically significant (Pearson's chi-square test).

The START criteria identified a total of 58 omissions of potentially needed drugs in 44% of patients. The most frequent was lack of calcium and vitamin D supplementation in osteoporosis (15%; 12 patients) (Table 5). The cardiovascular system accounted for several problems: statins therapy was omitted in 17% of patients from both the cardiovascular and endocrine perspectives. Among those residents with high risk cardiovascular conditions, 12% did not receive prophylactic treatment with anticoagulants, aspirin or antiplatelet therapy (10 omissions). Overall, the number of potential prescribing omissions corresponding to the cardiovascular system, plus major cardiovascular risk factors (statins and antiplatelet therapy), involved 23% of the patients. Of the 22 START criteria, only 13 identified all the potential omissions. A statistically significant positive correlation was found between the number of medicines prescribed and the number of potential omissions ($r_s=0.300$, $P<0.01$). However, the relationships between age or gender or the Barthel Index or the cognitive impairment score (MMSE) and the number of potential omissions were not significant.

The START criteria were formulated to be used in tandem with STOPP to provide a more complete assessment of PIPs. We detected a possible incongruence between two STOPP-START items. When applying the STOPP criteria, we detected three diabetic patients with a PIM in relation to rule A13 (Aspirin use with no history of coronary, cerebral or peripheral vascular symptoms). However, if the physician decided to suppress aspirin prescription according to this PIM rule, when applying the START criteria, we could consider that aspirin had been omitted for these patients in accordance with rule F3 (antiplatelet therapy in diabetes with co-existing major cardiovascular risk factors). For this reason, these three diabetic patients were not considered to receive a PIM according to STOPP rule A13.

**DISCUSSION**

Inappropriate prescribing is a frequent, serious global health problem in older citizens. This study indicates that the PIM rate among Spanish nursing home residents is high; i.e., 48% with the STOPP criteria, and 25% with the Beers criteria. The prevalence of PIM among nursing home patients, using the Beers criteria, range from 28% to 37% in European studies and are even higher in US institutions.
Institutional settings. The STOPP criteria proved more sensitive for the detection of PIMs than Beers, and STOPP also detected more adverse drug events causing hospitalisation than Beers. This suggests that the STOPP criteria may be a more relevant inappropriateness detection tool than the Beers criteria, as many avoidance drugs are no longer available in Spain, and some other criteria showed discrepancies with more recent guidelines (i.e., NSAIDs prescription associated with a PPI, as indicated previously).

Almost 60% of the PIMs detected by STOPP involve benzodiazepines, neuroleptics and NSAIDs. Chronic use of benzodiazepines has been described in more than 30% of Spanish elderly women, and long-acting benzodiazepines are prescribed more than short-acting benzodiazepines among Spanish retired people. Long-acting benzodiazepines have been frequently highlighted as inappropriate, particularly concerning the risk of falls and fractures and their contribution to mental deterioration. Thus, they represent a significant preventable risk for older people’s health. The prevalence of using inadequate psychotropic drugs in the Spanish institutionalised population was 12.5%, with diazepam as one of the most often prescribed drugs. Benzodiazepines use in older people was also one of the most common potential problems identified in many studies.

Psychotropic medication is extensively used in Australian nursing homes for indications other than schizophrenia. Long-acting benzodiazepines, and the simultaneous administration of two psychotropic drugs from the same therapeutic class, were frequently involved in patients with adverse drug reactions admitted to a geriatric hospital. The STOPP criteria were significantly associated with avoidable adverse drug events that caused or contributed to patients’ hospitalization. This finding has significant implications for hospital geriatric practice. In nursing homes, some authors have found a correlation between inappropriate medication use (the Beers criteria) and occurrence of an adverse health outcome.

By applying the STOPP criteria in our study, three cases of item J (any duplicated drug class prescriptions due to a concurrent use of 2 NSAIDs and 2 benzodiazepines) were detected. This
unnecessary drug duplication is one of the problems frequently detected in primary care patients with polypharmacy, together with incorrect dose and therapy duration. Potentially inappropriate medication use was associated in our study with the use of multiple medications. Polypharmacy was one of the factors relating to potentially inappropriate medications in a multicenter European study with older patients receiving home care and in another Irish primary care study.

The START criteria detected clinical situations of potentially inappropriate omissions. The present study found omissions in 44% of patients. Very few studies have applied these criteria in nursing homes, although two recent Spanish studies detected prevalences of 30% and 46% in this setting. The majority of these omissions involved calcium and vitamin D supplements in osteoporosis, plus statins and low-dose aspirin, similar to other underutilization studies. A deficient ingestion of calcium and vitamin D in osteoporosis has been highlighted in antiresorptive therapy. As regards cardiovascular omissions, doubts about the efficacy of statins in very elderly patients can be a reason for the non-prescription of these drugs. However, low-dose aspirin in secondary prevention morbidity and mortality has evidenced benefits. Several studies have reported a link between an underuse of cardiovascular medicines and adverse health outcomes.

The STOPP criteria were formulated to be used in tandem with START to provide a more complete assessment of PIMs and prescribing omissions. We detected a possible incongruence between two STOPP-START items related to aspirin use (as an antiplatelet agent). In a diabetic patient, a STOPP rule for avoidance of aspirin use (A13) must not overlap the necessity of this medication according to the START rule (F3) of antiplatelet therapy for diabetes with major cardiovascular risk factors, as indicated in the Results section.

In this study, we found a positive relationship between the number of medicines and occurrence of potential omissions. Similar underprescribing correlating to polypharmacy was indicated in a geriatric ward study based on drug therapy omission according to clinical practice guidelines, which showed a higher frequency of underprescribing in drugs advised to prevent cardiovascular diseases. This may mean that polypharmacy can dissuade prescribers from adding more medicines. In contrast, an Irish primary care study based on the START criteria did not find this relationship. Our study did not show a statistically correlation between potential omission and mental status, age or gender.

The present study is not without some methodological limitations. A small sample size and the specific setting with a unique physician limit the results from being generalised. The population was cared for by a non-geriatrician practitioner and comprised patients who are representative of nursing homes (advanced old age, predominance of women and polypharmacy (54% taking at least 5 medicines), and many residents had mental health deterioration with similar characteristics to residents in other nursing home-based studies. Only pharmacists were involved in the review of prescriptions, lack of complete patient clinical data may limit the full application of tools and can overestimate or underestimate PIPs. This study highlights the possible problems in nursing home patients including a frequently understated aspect of prescribing omission. It ought to be remembered that PIPs detected by explicit criteria cannot be considered a real problem until a clinician judges that individual patient’s adequacy in his/her exact situation.

Different approaches to improve prescribing among older patients have been undertaken in several settings. Some studies have shown that the positive contribution of pharmacists can help enhance

Table 5. Potential prescribing omissions identified by the START criteria

<table>
<thead>
<tr>
<th>CRITERIA</th>
<th>Omission</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>A Cardiovascular system</strong></td>
<td>y 2 Warfarin (Acenocumarol) or Aspirin in the presence of chronic atrial fibrillation (AF). Statin therapy with a documented history of coronary, cerebral or peripheral vascular disease, where the patient’s functional status remains independent for activities of daily living and life expectancy is &gt;5 years. Angiotensin converting enzyme (ACE) inhibitor with chronic heart failure.</td>
</tr>
<tr>
<td><strong>B Respiratory system</strong></td>
<td>Regular inhaled beta 2 agonist or anticholinergic agent for mild to moderate asthma or chronic obstructive pulmonary disease (COPD).</td>
</tr>
<tr>
<td><strong>C Central nervous system</strong></td>
<td>L-DOPA in idiopathic Parkinson’s disease with definite functional impairment and resultant disability. Antidepressant drug in the presence of moderate-severe depressive symptoms lasting at least 3 months.</td>
</tr>
<tr>
<td><strong>D Gastrointestinal system</strong></td>
<td>Proton pump inhibitor with severe gastro-oesophageal acid reflux disease. Fibre supplement for chronic, symptomatic diverticular disease with constipation.</td>
</tr>
<tr>
<td><strong>E Musculoskeletal system</strong></td>
<td>Calcium and Vitamin D supplement in patients with known osteoporosis.</td>
</tr>
<tr>
<td><strong>F Endocrine system</strong></td>
<td>Metformin with Type 2 diabetes (in the absence of renal impairment*). Antiplatelet therapy in diabetes mellitus with co-existing major cardiovascular risk factors (hypertension, hypercholesterolaemia, smoking history). Statin therapy in diabetes mellitus if co-existing major cardiovascular risk factors present.</td>
</tr>
<tr>
<td><strong>TOTAL Potential prescribing omissions</strong></td>
<td>58</td>
</tr>
</tbody>
</table>

*S*erum creatinine >150 mmol l-1, or estimated GFR 20–50 ml min-1.
medication management in polypharmacy and older patients, and can improve patient outcomes.\textsuperscript{7,15,47} Recently, Gallagher and co-workers have shown significant improvements in prescribing appropriateness by applying the STOPP/START criteria as an intervention process in hospitalized older patients.\textsuperscript{45} Sotoca \textit{et al.} similarly applied the STOPP/START criteria in nursing home residents, reported PIPs to the responsible physician and obtained a modification of medical prescriptions in 53\% of the patients.\textsuperscript{25} The practical application of the STOPP/START criteria in community pharmacy has not yet been established. These tools may apply to medication reviews when dispensing drugs following pharmaceutical care services with a view to identifying situations which entail alerting nursing home physicians. Nonetheless, lack of complete patient clinical data may limit these criteria from being fully applied in community pharmacy. Besides, criteria could be adapted to primary care computer prescribing systems and be updated in line with new therapeutic evidence. We believe that items have to be also adapted and revalidated for contextual differences among countries. In any case, the systematic application of those explicit criteria will never substitute clinical assessments and clinicians’ judgements. With these tools, however, pharmacists can alert physicians to consider whether medication is a possible cause of adverse health outcomes in older people.

**CONCLUSIONS**

The application of the STOPP-START criteria showed that PIP is a highly prevalent problem in nursing home residents. The STOPP criteria detect a larger number of patients with PIMs than the Beers criteria in this geriatric population. START also detected many patients who did not receive adequate drug treatments for their diseases. Pharmacists can assist in a comprehensive appraisal of older patients’ medications using the STOPP-START criteria, which would benefit patients through elimination of potentially inappropriate medication and identification of potential medication omissions to optimize patient care.

**CONFLICT OF INTEREST**

None.

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**References**


