



Inteligencia Artificial. Revista Iberoamericana  
de Inteligencia Artificial

ISSN: 1137-3601

revista@aepia.org

Asociación Española para la Inteligencia  
Artificial  
España

Alonso, Laura; Castellón, Irene; Climent, Salvador; Fuentes, María; Padró, Lluís; Rodríguez, Horacio

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Inteligencia Artificial. Revista Iberoamericana de Inteligencia Artificial, vol. 8, núm. 22, primavera,  
2004, pp. 79-102

Asociación Española para la Inteligencia Artificial  
Valencia, España

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# Approaches to Text Summarization: Questions and Answers

**Laura Alonso, Irene Castellón**

Dept. de Lingüística General  
Universitat de Barcelona  
Gran Via de les Corts Catalanes, 585  
08007 Barcelona  
{lalonso,castel}@lingua.fil.ub.es

**Maria Fuentes**

Dept. d'Informàtica i Matemàtica Aplicada  
Universitat de Girona  
Campus Montilivi  
17071 Girona  
maria.fuentes@udg.es

**Salvador Climent**

Estudis d'Humanitats i Filologia  
Universitat Oberta de Catalunya  
Av. Tibidabo 39-43  
08035 Barcelona  
scliment@uoc.edu

**Lluís Padró, Horacio Rodríguez**

TALP Research Center  
Universitat Politècnica de Catalunya  
Jordi Girona 1-3  
08034 Barcelona  
{padro,horacio}@lsi.upc.es

## Abstract

In this paper a comparative study of Automated Text Summarization (TS) Systems is presented. It describes the factors to be taken into account for evaluating those systems and outlines three alternative classifications. The paper provides extensive examples of working TS systems according to their characterizing features, performance, and obtained results, with a special emphasis on the multilingual aspect of summarization.

**Key Words:** Automated Text Summarization, Multilingual Systems

## 1 Introduction

The field of Text Summarization (TS) has experienced an exponential growth in the last years. That is why many comparative studies can be found in the literature, among the most comprehensive, Paice (1990) [111], Zechner (1997) [150], Sparck-Jones (1998) [133], Hovy and Marcu (1998) [62], Tucker (1999) [142], Radev (2000) [118], Mani (2001) [88] and Maybury and Mani (2001) [95]. Given that an upper bound of performance for TS systems is still far from being reached, task-based competitions are the main forum of discussion in the area. As follows, the SUMMAC (1998) [134] and especially DUC

(2001, 2002, 2003) [40] contests provide a good overview of current working systems.

In this study, we provide an analysis of current work in TS, with special attention to the future developments of the field, like multilingual summarization. First, we present the factors affecting summarization in Section 2, and provide examples of how working systems handle each of these factors. In Section 3 three possible classifications of summarization systems are outlined, which are applied to concrete systems in Section 4, with a concrete example of multilingual summarization. To finish, we briefly discuss some burning issues in TS.

## 2 Some considerations on Summary Aspects

Summarization has traditionally been decomposed into three phases [133, 91, 52, 60, 88]:

- *analyzing* the input text to obtain text representation,
- *transforming* it into a summary representation,
- and *synthesizing* an appropriate output form to generate the summary text.

Effective summarizing requires an explicit and detailed analysis of context factors, as is apparent when we recognize that what summaries should be like is defined by what they are wanted for. The parameters to be taken into account in summarization systems have been widely discussed [91, 60, 88]. We will follow Sparck Jones (1998) [133], who distinguishes three main aspects that affect the process of TS: input, purpose and output, with a special focus on multilinguality.

### 2.1 Input Aspects

The features of the text to be summarized crucially determine the way a summary can be obtained. The following aspects of input are relevant to the task of TS:

**Document Structure.** Besides textual content, heterogeneous documental information can be found in a source document, for example, labels that mark headers, chapters, sections, lists, tables, etc. If it is well systematized and exploited, this information can be of use to analyze the document. For example, Kan (2002) [65] exploits the organization of medical articles in sections to build a tree-like representation of the source. Teufel and Moens (2002) [141] systematize the structural properties of scientific articles to assess the contribution of each textual segment to the article, in order to build a summary from that enriched perspective.

However, it can also be the case that the information it provides is not the target of the analysis. In this case, document structure has to be removed in order to isolate the textual component of the document.

**Domain.** Domain-sensitive systems are only capable of obtaining summaries of texts that belong to a pre-determined domain, with varying

degrees of portability. The restriction to a certain domain is usually compensated by the fact that specialized systems can apply knowledge intensive techniques which are only feasible in controlled domains, as is the case of the multidocument summarizer SUMMONS [101], specialized in summaries in terrorism domain applying complex Information Extraction techniques. In contrast, general purpose systems are not dependant on information about domains, which usually results in a more shallow approach to the analysis of the input documents.

Nevertheless, some general purpose systems are prepared to exploit domain specific information. For example, the meta summarizer developed at Columbia University [17, 16, 55, 54, 98] applies different summarizers for different kinds of documents: MULTIGEN [17, 99] is specialized in simple events, DEMS [130] (with the bio configuration) deals with biographies, and for the rest of documents, DEMS has a default configuration that can be resorted to.

**Specialization level.** A text may be broadly characterized as ordinary, specialized, or restricted, in relation to the presumed subject knowledge of the source text readers. This aspect can be considered the same as the *domain* aspect discussed above.

**Restriction on the language.** The language of the input can be general language or restricted to a sublanguage within a domain, purpose or audience. It may be necessary to preserve the sublanguage in the summary.

**Scale.** Different summarizing strategies have to be adopted to handle different text lengths. Indeed, the analysis of the input text can be performed at different granularities, for example, in determining meaning units. In the case of news articles, sentences or even clauses are usually considered the minimal meaning units, whereas for longer documents, like reports or books, paragraphs seem a more adequate unit of meaning. Also the techniques for segmenting the input text in these meaning units differ: for shorter texts, orthography and syntax, even discourse boundaries [93] indicate significant boundaries, for longer texts, topic segmentation [70, 57] is more usual.

**Media.** Although the main focus of summarization is textual summarization, summaries of non-textual documents, like videos, meeting records, images or tables have also been undertaken in recent years. The complexity of multimedia

summarization has prevented the development of wide coverage systems, which means that most summarization systems that can handle multimedia information are limited to specific domains or textual genres [56, 94]. However, research efforts also consider the integration of information of different media [19], which allow a wider coverage of multimedia summarization systems by exploiting different kinds of documental information collaboratively, like metadata associated to video records [145].

**Genre.** Some systems exploit typical genre-determined characteristics of texts, such as the pyramidal organization of newspaper articles, or the argumentative development of a scientific article. Some summarizers are independent of the type of document to be summarized, while others are specialized on some type of documents: healthcare reports [43], medical articles [65], agency news [101], broadcast fragments [56], meeting recordings [151], e-mails [105, 3], web pages [120], etc.

**Unit.** The input to the summarization process can be a *single document* or *multiple documents*, either simple text or multimedia information such as imagery audio, or video [135].

**Language.** Systems can be language-independent, exploiting characteristics of documents that hold cross-linguistically [117, 113], or else their architecture can be determined by the features of a concrete language. This means that some adaptations must be carried out in the system to deal with different languages. As an additional improvement, some multi-document systems are able to deal simultaneously with documents in different languages [29, 30], which will be developed in Section 2.4.

## 2.2 Purpose Aspects

**Situation.** TS systems can perform general summarization or else they can be embedded in larger systems, as an intermediate step for another NLP task, like Machine Translation, Information Retrieval or Question Answering. As the field evolves, more and more efforts are devoted to task-driven summarization, in detriment of a more general approach to TS. This is due to the fact that underspecification of the information needs supposes a major problem for design and evaluation of the systems. As will be discussed in Section 5, evaluation is a major problem in TS.

Task-driven summarization presents the advantage that systems can be evaluated with respect to the improvement they introduce in the final task they are applied to.

**Audience.** In case a user profile is accessible, summaries can be adapted to the needs of specific users, for example, the user's prior knowledge on a determined subject. *Background* summaries assume that the reader's prior knowledge is poor, and so extensive information is supplied, while *just-the-news* are those kind of summaries conveying only the newest information on an already known subject. Briefings are a particular case of the latter, since they collect representative information from a set of related documents.

**Usage.** Summaries can be sensitive to determined uses: retrieving source text [66], previewing a text [78], refreshing the memory of an already read text, sorting...

## 2.3 Output Aspects

**Content.** A summary may try to represent all relevant features of a source text or it may focus on some specific ones, which can be determined by queries, subjects, etc. *Generic* summaries are text-driven, while *user-focused* (or query-driven) ones rely on a specification of the user's information need, like a question or key words.

Related to the kind of content that is to be extracted, different computational approaches are applied. The two basic approaches are top-down, using information extraction techniques, and bottom-up, more similar to information retrieval procedures. Top-down is used in query-driven summaries, when criteria of interest are encoded as a search specification, and this specification is used by the system to filter or analyze text portions. The strategies applied in this approach are similar to those of Question Answering. On the other hand, bottom-up is used in text-driven summaries, when generic importance metrics are encoded as strategies, which are then applied over a representation of the whole text.

**Format.** The output of a summarization system can be plain text, or else it can be formatted. Formatting can be targeted to many purposes: conforming to a pre-determined style (tags, organization in fields), improving readability (division in sections, highlighting), etc.

**Style.** A summary can be *informative*, if it covers the topics in the source text; *indicative*, if it

provides a brief survey of the topics addressed in the original; *aggregative*, if it supplies information non present in the source text that completes some of its information or elicits some hidden information [141]; or *critical*, if it provides an additional valuation of the summarized text.

**Production Process.** The resulting summary text can be an *extract*, if it is composed by literal fragments of text, or an *abstract*, if it is generated. The type of summary output desired can be relatively polished, for example, if text is well-formed and connected, or else more fragmentary in nature (e.g., a list of key words).

There are intermediate options, mostly concerning the nature of the fragments that compose extracts, which can range from topic-like passages, paragraph or multiparagraph long, to clauses or even phrases. In addition, some approaches perform editing operations in the summary, overcoming the incoherence and redundancy often found in extracts, but at the same time avoiding the high cost of a NL generation system. Jing and McKeown (2000) [64] apply six re-writing strategies to improve the general quality of an extract-based summary by edition operations like deletion, completion or substitution of clausal constituents.

**Surrogation.** Summaries can stand in place of the source as a surrogate, or they can be linked to the source [66, 78], or even be presented in the context of the source (e.g., by highlighting source text, [76]).

**Length.** The targeted length of the summary crucially affects the informativeness of the final result. This length can be determined by a compression rate, that is to say, a ratio of the summary length with respect to the length of the original text. Traditionally, compression rates range from 1% to 30%, with 10% as a preferred rate for article summarization. In the case of multidocument summarization though, length cannot be determined as a ratio to the original text(s), so the summary always conforms to a pre-determined length. Summary length can also be determined by the physical context where the summary is to be displayed. For example, in the case of delivery of news of summaries to handhelds [20, 25, 35], the size of the screen imposes severe restrictions to the length of the summary. Headline generation is another application where the length of summaries is clearly determined [147, 37]. In very short summaries, coherence is usually sacrificed to informativeness, so lists of words are considered acceptable [71, 149].

## 2.4 Language coverage

As regards language coverage, systems can be classified as monolingual, multilingual, and crosslingual (a similar classification is commonly used in Information Retrieval systems). Monolingual summarization systems deal with only one language for both the input document and the summary. In the case of multilingual systems, input and output languages are also the same but in this case the system can cover several languages. Crosslingual systems are able to process input document in several languages, producing summaries in different languages.

Multilinguality does not imply additional difficulties. Most of the systems and techniques we will present below can be easily adapted to other languages, assuming, of course, the availability of the knowledge sources needed for the different methods. Roughly speaking, the more amount of linguistic knowledge is needed by a system, the more difficult is to transport it to another language.

A more complex challenge is crosslinguality. There are examples of single document crosslingual summarizers, implying a certain amount of translation, either on the input text or on the summary, but most crosslingual summarizers are multidocument. In this case a lot of problems specific of translanguality arise. Measures of similarity between documents and passages in different languages, for identifying relations or for clustering, have to be envisaged. Similarity between lexical units (words, NEs, multiword terms) belonging to different languages, have to be computed as well. Obviously, the more distant the involved languages are, the harder these problems turn to be, specially if the languages present different lexical units or character sets. Since this is a burning issue, it will be discussed at length in Section 5.

## 3 Approaches to Text Summarization

There are several ways in which one can characterize different approaches to text summarization. In this section, we present three possible classifications of text summarization systems, but many others can be found in the literature [62, 118, 95, 88]. The first classification, following Mani and Maybury (1999) [91], is based in the

level of processing that each system performs, the second, proposed in Alonso and Castellón (2001) [4], is based in the kind of information exploited, the third follows Tucker (1999) [142].

### 3.1 Classification 1: Level of Processing

One useful way to classify summarization systems is to examine the level of processing of the text. Based on this, summarization can be characterized as approaching the problem at the surface, entity, or discourse level [91].

#### 3.1.1 Surface level

Surface-level approaches tend to represent information in terms of shallow features that are then selectively combined together to yield a salience function used to extract information, following the approach of Edmunson (1969) [42]. These features include:

**Term frequency** statistics provide a thematic representation of text, assuming that important sentences are the ones that contain words that occur frequently. The score sentences increases for each frequent word. Early summarization systems directly exploit word distribution in the source [86].

**Location** relies on the intuition that important sentences are located at positions that are usually genre-dependent, however, some general rules are the *lead method* and the *title-based method*. The lead method consists of just taking the first sentences. The title-based method assumes that words in titles and headings are positively relevant to summarization. A generalization of these methods is the OPP used by Hovy and Lin in their SUMMARIST system [81], where they exploit Machine Learning techniques to identify the positions where relevant information is placed within different textual genres. Many of the current systems, specially those applying machine learning techniques, take into account the location of meaning units in a document to assess their relevance.

**Bias.** The relevance of meaning units is determined by the presence of terms from the title or headings, initial part of text, or user's query. For example, [33, 32, 131] use as features the position in the sentence, the number of tokens and the number of pseudo-query terms.

**Cue words** and *phrases* are signals of relevance or irrelevance. They are typically meta-linguistic markers (e.g., cues: "in summary", "in conclusion", "our investigation", "the paper describes"; or emphasize: "significantly", "important", "in particular", "hardly", "impossible"), as well as domain-specific bonus phrases and stigma terms. Although lists of these phrases are usually built manually [72, 139], they can also be detected automatically.

#### 3.1.2 Entity-level

Entity-level approaches build an internal representation of the text by modeling text entities (simple words, compound nouns, named entities, etc.) and their relationships. These approaches tend to represent patterns of connectivity in the text (e.g., graph topology) to help determine saliency. Relations between entities include:

**Similarity.** Similar words are those whose form is similar, for example, those sharing a common stem (e.g., "similar" and "similarity"). Similarity can be calculated with linguistic knowledge or by character string overlap. Myaeng and Jang (1999) [106] use two similarity measures for determining if a sentence belongs to the major content: a similarity between the sentence and the rest of the document and a similarity between the sentence and the title of the document. Also, in NTT [58, 59], CENTRIFUSER [66], several similarity measures are applied.

**Proximity.** The distance between the text units where entities occur is a determining factor for establishing relations between entities.

**Cohesion.** Cohesion can be defined in terms of *connectivity*. Connectivity accounts for the fact that important text units usually contain entities that are highly connected in some kind of semantic structure. Cohesion can be approached by:

- **Word co-occurrence:** words can be related if they occur in common contexts. Some applications are presented in Baldwin and Morton (1998), McKeown et al. (1999)[13, 99]. Salton et al. (1997), Mitra et al. (1997) [128, 103] apply IR methods at the document level, treating paragraphs in texts as documents are treated in a collection of documents. Using a traditional IR-based method, a word similarity measure is used to determine the set  $S_i$  of paragraphs that

each paragraph  $P_i$  is related to. After determining relatedness scores  $S_i$  for each paragraph, paragraphs with the largest  $S_i$  scores are extracted.

In SUMMAC [87], in the context of query-based summarization, Cornell's Smart-based approach expands the original query, compares expanded query against paragraphs, and selects top three paragraphs (max 25% of original) that are most similar to the original query.

- *Local salience*: important phrasal expressions are given by a combination of grammatical, syntactic, and contextual parameters [21].
- *Lexical similarity*: words can be related by thesaural relationships (synonymy, hypernymy, meronymy relations). Barzilay (1997) [14] details a system where Lexical Chains are used, based on Morris and Hirst (1991) [104]. This line has also been applied to Spanish, relying on EuroWordNet relations between words, by Fuentes and Rodríguez (2002) [48]. The assumption is that important sentences are those that are crossed by strong chains<sup>1</sup>. This approach provides a partial account of texts, since it focuses mostly on cohesive aspects. An integration of cohesion and coherence features of texts might contribute to overcome this, as Alonso and Fuentes (2002) [5] point out.
- *Co-reference*: referring expressions can be linked, and co-reference chains can be built with co-referring expressions. Both Lexical Chains and Co-reference Chains can be prioritised if they contain words in a query (for query-based summaries) or in the title. So, the preference imposed on chain is: query > title > document. Baga and Baldwin (1998), Azzam et al. (1999) [11, 10] use coreference chains for summarization. Baldwin and Morton (1998) [13] exploit co-reference chains specifically for query-sensitive summarization.

Connectedness method [90] represents map text with graphs. Words in the text are the nodes, and arcs represent adjacency, grammatical, co-reference, and lexical similarity-based relations.

**Logical relations** such as agreement, contradiction, entailment, and consistency.

**Meaning representation-based relations.** Establishing relations, such as predicate-argument, between entities in the text.

The system of Baldwin and Morton (1998) [13] uses argument detection in order to resolve co-reference between the query and the text for performing summarization.

### 3.1.3 Discourse-level

Discourse-level approaches model the global structure of the text, and its relation to communicative goals. At this level, the following information can be exploited:

**Format** of the document (e.g., hypertext markup, document outlines).

**Threads of topics** can be revealed in the text. An example of this is SUMMARIST, which applies Topic identification [61, 85]. Topic identification implies previous acquisition of Topic Signatures (that can be automatically learned) and then the identification of a text span as belonging to a topic characterized by its signature. Topic identification, then, includes text segmentation and comparison of text spans with existing Topic Signatures. The topic identified are fused during the interpretation of the process. The fused topics are then expressed in new terms. Other systems are Boros et al. (2001) [22] and MEAD [121, 116, 109]. These systems assign a topic to the sentences in order to create clusters for selecting the sentences to appear in summary.

**Rhetorical structure** of the text, representing argumentation or narrative structure. The main idea is that the coherence structure of a text can be constructed, so that the 'centrality' of the textual units in this structure will reflect their importance. A tree-like representation of texts is proposed by the Rhetorical Structure Theory [92]. Ono et al. (1994) [108] and Marcu (1997) [93] attempt to use this kind of discourse representation in order to determine the most important textual units. They propose an approach to rhetorical parsing by discourse markers and semantic similarities in order to hypothesize rhetorical relations. These hypotheses are used to derive a valid discourse representation of the original text.

<sup>1</sup>Lexical chains have also been used in other NLP tasks, such as automatic extraction of interdocument links [50].

## 3.2 Classification 2: Kind of Information

Summarization systems can be classified by the kind of information they deal with [4]. According to this, we can distinguish between those exploiting lexical aspects of texts, those working with structural information and those trying to achieve deep understanding of texts.

### 3.2.1 Lexical

These approaches exploit the information associated to words in the texts. Some of them are very shallow, relying on the frequency of words, but some others apply lexical resources to obtain a deeper representation of texts. Beginning by the most shallow, the following main trends can be distinguished. A common assumption of these approaches is that repeated information could be a good indicator of importance:

**Word Frequency** approaches assume that the most frequent words in text are the most representative of its content, and consequently fragments of text containing them are more relevant. Most systems apply some kind of filter to leave out of consideration those words that are very frequent but not indicative, for example, by the *tf\*idf* metric or by excluding the so-called *stop words*, words with grammatical but no meaning content.

**Domain Frequency** tries to determine the relevance of words by first assigning the document to a particular domain. Domain specific words have a previous relevance score, which serves as a comparison ground to adequately evaluate their frequency in a given text.

**Concept Frequency** abstracts from mere word-counting to concept-counting. By use of an electronic thesaurus or WordNet, each word in the text is associated to a more general concept, and frequency is computed on concepts instead of particular words.

**Cue words and phrases** can be considered as indicators of relative relevance or non-relevance of fragments of text in respect to the others.

**Chains** can be built from lexical items which are related by conceptual similarity according to a lexical resource (*lexical chains*) or by identity, if they co-refer to the same entity (*co-reference chains*). The fragments of text crossed by most chains or by most important chains or by most

important parts of chains can be considered the most representative of the text.

### 3.2.2 Structural Information

A second direction in TS tries to exploit information from the texts as structured entities. Since texts are structured in different dimensions (documental, discursive, conceptual), different kinds of structural information can be exploited. Beginning by the most shallow:

**Documental Structure** exploits the information that texts carry in their format, for example, headings, sections, etc.

**Textual Structure** . Some positions in text systematically contain the most relevant information, for example, the beginning paragraph of news stories. These positions are usually genre- or domain-dependant.

**Conceptual structure** . The chains mentioned in lexical approaches can be considered as a kind of conceptual structure.

**Discursive Structure** can be divided in two main lines: linear or narrative and hierarchical or rhetoric. The first tries to account for *satisfaction-precedence*-like relations among pieces of text, the second explains texts as trees where fragments of text are related with each other by virtue of a set of rhetorical relations, mostly asymmetric.

### 3.2.3 Deep Understanding

Some approaches try to achieve understanding of the text in order to build a summary. Two main lines can be distinguished:

**Top-down** approaches try to recognize pre-defined knowledge structures to texts, for example, templates or frames.

**Bottom-up** approaches try to represent texts as highly conceptual constructs, such as scene. Others apply fragmentary knowledge-structures to clue parts of text, and then build a complete representation out of these small parts.



### 3.3 Classification 3: Richard Tucker 1999

This classification is taken from Tucker (1999) [142]. It considers four main directions in TS: summarizing from attentional networks, sentence by sentence, from informational content and from discourse structure.

The classes proposed here are even less disjunct than those in the two previous classifications, thus every system can be considered as an instance of more than one of the classes. This shows the inadequacy of a taxonomic perspective on summarization systems, due to the heterogeneous kinds of knowledge and techniques that systems tend to incorporate.

#### 3.3.1 Attentional Networks

The approaches to summarization in this direction try to grasp what a text is 'about' by identifying concepts that are in some sense central to the text, on the basis of the occurrence of the same or related concepts in different parts of the source representation. *Aboutness* is represented as the links between these occurrences.

*Frequency-based* approaches exploit the frequency with which the concepts occur in the representation. In systems based in word frequency, attentional networks are only represented implicitly. Some systems account for frequency significance by applying IR techniques, such as the *tf\*idf* measure. Others apply corpus-based statistical natural language processing, such as collocation or proper noun identification. Still others try to abstract from individual words to achieve concept frequency, by using lexicons or thesauri [61].

On the other hand, some systems identify and exploit the *cohesive links* holding between parts of the source text. These links can be represented as graph-like structures [132] as lexical chains.

#### 3.3.2 Sentence by Sentence

Some summarizing systems decide for each sentence in the source text whether it is important for summarizing, rather independently of the text as a whole. To do that, they rely on relevance or irrelevance marks that can be found in sentences, for example, *cue words*.

However, it must be noted that most of the systems applying sentence-by-sentence relevance ranking do not rely entirely in this method, but use it in combination with other methods that tend to consider the text as a whole.

#### 3.3.3 Informational Content

Some approaches to summarization have tried to understand the text, that is to say, to achieve a representation of some or all of its meaning whereupon reasoning can be applied. This approach requires deeper analysis of the source text but allows the production of sophisticated summaries, for example, by applying NL generation techniques. However, these methods tend to be highly domain-dependant, because of the huge amount of information they require.

#### 3.3.4 Discourse Structure

Discourse structure is used by many systems in a limited way, for example, by trying to grasp a text's 'aboutness'. In contrast, some other methods apply discourse theories to the analysis of the source text in order to obtain a representation of their discourse structure. However, work in this area has been largely theoretical.

### 3.4 Combined Systems

The predominant tendency in current systems is to integrate some of the techniques mentioned so far. Integration is a complex matter, but it seems the appropriate way to deal with the complexity of textual objects. In this section, we are going to present some examples of combination of different techniques.

There are several systems where different methods are combined. Among the most interesting are: [72, 141, 61, 90] where title-based method is combined with cue-location, position, and word-frequency based methods.

As the field progresses, summarization systems tend to use more and deeper knowledge. For example, IE techniques are becoming widely used. Many systems do not rely any more in a single indicator of relevance or coherence, but take into account as many of them as possible. So, the tendency is that heterogeneous kinds of knowledge

are merged in increasingly enriched representations of the source text(s).

These enriched representations allow for adaptability of the final summary to new summarization challenges, such as multidocument, multilingual and even multimedia summarization. In addition, such a rich representation of text is a step forward generation or, at least, pseudo-generation by combining fragments of the original text. Good examples of this are [98, 83, 37, 74, 53], among others.

## 4 Summarization Systems

Table 1 shows how existing summarization systems would be classified according to each of the classifications presented in the previous section. However, it must be taken into account that most current summarization systems are very complex, resorting to very heterogeneous information and applying varied techniques, so a classification will never be clear cut. Moreover, systems tend to evolve with time, which makes their classification still more controversial.

Files with a more extensive description of some of these systems (marked with an asterisk) can be found in the Annex (in electronic version only). Additionally, Table 2 lists on-line or downloadable systems.

Multilinguality of the systems is one of the features in each describing file. It is stated whether the system can summarize only a single language, a definite set of languages, or whether its architecture permits unrestricted multilinguality. In this latter case, it is also stated whether experiments with different languages are reported.

As a concrete example of an approach to multilingual summarization, we present the systems developed within project HERMES<sup>2</sup>. The target of project HERMES is to adapt and apply language technologies for Spanish, Catalan, Basque and English to improve access to textual information in digital libraries, Internet, documental Intranets, etc. Therefore, HERMES summarization system should integrate multiple languages in a common architecture. Since the resources available for every language are uneven, this architecture has to be flexible enough to adapt to knowledge-poor representations of text but also to exploit rich representations when available.

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<sup>2</sup><http://terral.ieec.uned.es/hermes/>

EuroWordNet [144] is a general resource available for these four languages, so a first approach to summarization exploited this resource. A Lexical Chain summarizer was developed for Spanish [48]. As can be seen in Figure 1, the architecture of the summarizer permits easy adaptation to other languages, provided there is at least a morphological analyzer and a version of EuroWordNet available for the language. If other NLP tools are available, like Named Entity Recognizers or co-reference solvers, they can be easily integrated within the system. Once the text has been analyzed and Lexical Chains have been obtained, a summary is built by extracting candidate textual units from the text. Candidate units are chosen applying a certain heuristic, weighting some aspects of Lexical Chains.

A second approach to the task of summarization, seen in Figure 2, [47] tries to overcome this dependency on lexic applying Machine Learning techniques. The system is trained with a corpus of sentences described with a set of features, like position in the text, length, and also being crossed by a Lexical Chain. For each of these sentences, it is previously determined whether it belongs to a summary of the text or not, so that it can be learned which combinations of features characterize summary sentences. In a text to be summarized, each sentence is described with the same set of features, and it is determined whether these describing features characterize the sentence as a summary sentence or not. The summary is composed with sentences qualifying as summary sentences.

This second system does not require any specific feature to produce a summary, not even Lexical Chains. However, the more information available, the more accurate the learning process will be, which will result in better summaries. This approach has been evaluated for English within DUC 2003 contest, but it can be used straightforwardly for any other language, as long as there is a training corpus available.

## 5 Burning Issues

The field has experienced an exponential growth since its beginnings, but some crucial questions are still open.

## 5.1 Coherence of Summary texts

Paice (1990) [111] pointed out that the main shortcomings of summarization systems up to the 1990s was their low representativity of the content in the source text and their lack of coherence.

Much of the work in this area has treated the problem of text summarization from a predominant information-theoretic perspective. Therefore, texts have been modeled as mathematical objects, where relevance and redundancy could be defined in purely statistical terms. This approach seems specially valuable to produce a satisfactory representation of the content of a text. However, it fails in producing coherent texts, acceptable for human users.

The shortcomings of purely statistical approaches to text summarization on handling textual coherence are addressed from two different perspectives:

- Applying *machine learning* techniques. They have been used mainly for two purposes: classifying a sentence from a source text into relevant or non-relevant [72, 8, 89, 80, 58] and transforming a source sentence considered relevant into a summary sentence [64, 69, 53]. Input for learning algorithms are usually texts with their corresponding abstracts. Therefore, the main shortcoming of this approach is to obtain large quantities of <text, abstract> tuples for a variety of textual genres.
- Resorting to *symbolic linguistic or world knowledge*. Understanding of texts, mainly through IE extraction techniques, seems a desirable way of producing quality summaries. Until recently, such techniques had only been applied for very restricted domains [101]. However, recent systems tend to incorporate IE extraction modules that perform a partial understanding of text, either by modeling the typical context of relevant pieces of information [74, 67], or by applying general templates to find, organize and use the typical content of a kind of text or event [53, 37]. This use of IE techniques has produced very good results, as is reflected in the high ranking of Harabagiu and Lacatusu (2002) [53] in DUC 2002. A combination of deeper knowledge with surface clues seems to yield good results, too [83].

## 5.2 Multidocument summarization

Multidocument summarization is one of the major challenges in current summarization systems. It consists of producing a single summary of a collection of documents dealing with the same topic. The work has been mostly determined by the corresponding DUC task. Therefore, it has mainly focused in collections of news articles with a given topic. Remarkable progresses have been achieved in avoiding redundancy, mainly based on the work in Carbonell and Goldstein (1998) [27].

When dealing with MDS new problems arise: lower compression factors implying a more aggressive condensation, anti-redundancy, temporal dimension, more challenging coreference task (inter-document), etc. Clustering of similar documents plays now a central role [27, 121, 54, 100]. Selecting the most relevant fragments from each cluster and assuring coherence of the summaries coming from different documents are other important problems, currently under development in MDS systems.

## 5.3 Multilingual summarization

As for multilingual summarization, not much work has been done yet, but the roadmap for the DUC contests [12] contemplates this challenge in the near future of the area.

The most well known Multilingual Summarization System is SUMMARIST [61]. The system extracts sentences in a variety of languages (English, Spanish, Japanese, etc.) and translates the resulting summaries. SUMMARIST proceeds in three steps: Topic identification, Interpretation and Summary generation. Topic identification implies previous acquisition of Topic Signatures and then the identification of a text span as belonging to a topic characterized by its signature. Topic Signatures are tuples of the form <Topic, Signature> where Signature is a list of weighted terms: {<  $t_1, w_1$  >, <  $t_2, w_2$  >, ..., <  $t_n, w_n$  >}. Topic signatures can be automatically learned [79, 85]. Topic identification, then, includes text segmentation (using Marti Hearst's TextTiling) and comparison of text spans with existing Topic Signatures. The identified topics are fused during interpretation, the second step of the process. The fused topics are then reformulated, that is to say, expressed in new terms. The last step is a conventional extractive task.

In order to face multilingual problems the involved knowledge sources have to be as much as possible language independent. In the case of SUMMARIST, sets of Topic Signatures have to be obtained for all the languages involved using the same procedures. Also the segmentation procedure is language independent. So, the accuracy of the resulting summaries depends heavily on the quality of the translators.

As has been said before, a more challenging issue is Crosslingual Multidocument Summarizers. Basically three main problems have to be addressed: 1) clustering of multilingual documents, 2) measuring the distance (or similarity) between multilingual units (documents, paragraphs, sentences, terms), and 3) automatic translation of documents or summaries. Most systems differ on the way they face these problems, the order of performance and the granularity of the units they deal with.

Evans and Klavans (2003) [44] present a platform for multilingual news summarization that extends the Columbia's Newsblaster system [96]. The system adds a new component, translation, to the original six major modules: crawling, extraction, clustering, summarization, classification and web page generation, that have been, in turn, modified for allowing multilinguality (language identification, different character encoding, language idiosyncrasy, etc.).

In this system multilingual documents are translated into English before clustering, so that clustering is performed only on English texts.

Translation is carried out at two levels. Because a low quality translation is usually enough for clustering purposes and assessing the relevance of the sentences, a simple and fast technique is applied for glossing the input documents prior to clustering. Higher (relatively) quality translation (using Altavista's Babelfish interface to Systran) is performed in a second step only over fragments selected to be part of the summary.

The system takes as well into account the possible degradation of the input texts as result of the translation process, since most of the sentences resulting from this process are simply not grammatically correct.

Chen et al. (2003) [30] consider three possibilities for scheduling the basic steps of document translation and clustering:

1. Translation before document clustering (as in Columbia's system), named one-phase strategy. This model clusters the multilingual multidocuments directly resulting in multilingual clusters.
2. Translation after document clustering, named two-phase strategy. This model clusters documents in each language separately and merges the clustering results.
3. Translation deferred to sentence clustering. First, monolingual clustering is performed at document level. All the documents in each cluster refer to the same event in a specific language. Then, for generating the extracted summary of an event all the clusters referring to this event are taken into account. Similar sentences of these multilingual clusters are clustered together, now at sentence level. Finally a representative sentence is chosen from each cluster and translated if needed.

The accuracy of this process depends basically on the form of computing the similarity between different multilingual units. Several forms of such functions are presented and empirially evaluated by the authors.

These measures are multilingual extensions of a baseline monolingual similarity measure. Sentences are represented as bag of words (only nouns and verbs are taken into account). The similarity measure is a function of the number of (approximate) matches between words and of the size of the bags. The matching function in the baseline reduces, except for NE, to the identity. In the multilingual variants of the formula, a bilingual dictionary is used as knowledge source for computing this matching.

Despite of its simplicity the position-free measure (the simplest one) seems to be the most accurate among the studied alternatives. In this approach the translations of all the words of the bag are collected and the similarity is computed as in the baseline. All the other alternatives constraint in some ways the possible mappings between words, using different greedy strategies. The results are, however, worse.

The two-phase strategy outperforms in the experiments the on-phase strategy. The third strategy, deferring the translation to sentence clustering, seems to be the most promising.

A system, covering English and Chinese, follow-

ing this approach is presented in Chen and Lin (2000) [31]. The main components of the system are a set of monolingual news clusterers, a unique multilingual news clusterer and a news summarizer. A central issue of the system is the definition and identification of meaningful units as base for comparison. For English these units can be reduced to sentences but for Chinese the identification of units and the associated segmentation of the text can be a difficult task. Another important issue of the system (general for systems covering distant languages or different encoding schemata) is the need of a robust transliteration of names (or words not occurring in the bilingual dictionary) for assuring an accurate matching.

## 5.4 Evaluation

Last but not least, evaluation of summaries is a major issue, because objective judgements are needed to assess the progress achieved by different approaches. Some contests have been carried out to evaluate summarization systems with common, public procedures: the SUMMAC contest and the series of DUC contests. Specially the last has provided sets of criteria to evaluate summary quality in many different dimensions: informational coverage (precision and recall), suitability to length requirements, grammatical and discursive coherence, etc.

An extensive investigation on the automatic evaluation of automatic summaries was carried out in a six-week workshop at Johns Hopkins University [122], where different evaluation metrics were proposed, including the *relative utility* method. Mani (2001) [88] provides a clear picture of the current state-of-the-art in evaluation, both with human judges and by automated metrics, with a special emphasis on content-based metrics. Hovy and Lin (2003) [84] show that the summaries produced by human judges are not reliable as a gold standard, because they strongly disagree with each other. A consensus summary obtained by applying content-based metrics, like unigram overlap, seems much more reliable as a golden standard against which summaries can be contrasted.

## Acknowledgements

This research has been conducted thanks to projects HERMES (TIC2000-0335-C03-02), PETRA (TIC2000-1735-C02-02), and ALIADO

(TIC2002-04447-C02-01) and it has also been partially funded by a grant associated to the XTRACT project, PB98-1226 of the Spanish Research Department and by the project INTERLINGUA (IN3-IR226).

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System	Processing Level	Information Kind	Tucker 1999
Adam [125, 114]	surface	structural	sentencewise
Alfonseca and Rodriguez [1]	surface	structural	sentencewise
* Anes [23]	surface	lexical	att. networks
Barzilay and Elhadad 1997 [15]	entity	lexical	att. networks
Boguraev and Kennedy 1997 [21]	entity	lexical	att. networks
Caldwell 1994 [26]	entity	lexical	att. networks
* CENTRIFUSER [43]	discourse	understanding	info. content
* Chen and Lin (2000) [31]	surface	lexical	info. content
* Columbia MDS [98, 34, 107]	entity/discourse	understanding/structural	info. content
Copeck et al. 2002 [34]	surface	lexical	att. networks
* Cut-and-Paste [63]	surface	structural	info. content
Darsy 1996 [39]	entity	lexical	att. networks
* DiaSumm [151]	surface	lexical	discourse structure
DimSum [9]	surface	lexical	att. networks
* DMSumm [112]	discourse	structural	disc. structure
Edmunson 1969 [42]	surface	structural	sentencewise
FiText [102]	surface	structural	info. content
* FociSum [67]	entity	understanding	att. networks
Frump [38]	entity	understanding	info. content
GISTEXTER [53, 73]	discourse/entity	understanding	info. content
GISTSumm [113]	surface	lexical	att. networks
Gladwin et al. 1991 [49]	entity	lexical	att. networks
* GLEANS [37]	entity/discourse	understanding	info. content
* NTT [58, 59]	surface	structural/lexical	att. networks
* Karamuftuoglu 2002 [68]	surface	structural	att. networks
* Kraaij et al. 2002 [71]	surface	lexical	att. networks
K. U. Leuven [6, 7]	entity	lexical	att. networks
* Lal and Rueger 2002 [74]	entity/discourse	understanding	info. content
Lehnert 1982 [77]	entity	understanding	info. content
* Univ. of Lethbridge [24, 28]	entity	structural/lexical	att. networks
Luhn 1958 [86]	surface	lexical	att. networks
Marcu 1997 [93]	discourse	structural	disc. structure
* MEAD [116, 117]	surface	lexical	att. networks
* MultiGen [99, 17]	entity	structural	info. content
* NeATS [82, 83, 78]	entity	structural	info. content
* Newsblaster [96]	entity/discourse	structural/understanding	info. content
NewsInEssence [119]	surface	lexical	att. networks
Ono et al. 1994 [108]	discourse	structural	disc. structure
NetSumm [115]	surface	lexical	att. networks
Paice 1981 [110]	surface	structural	sentencewise
* PERSIVAL [97]		understanding	info. content
Rafi [75]	surface	structural	att. networks
* RIPTIDES [124, 146]	entity/discourse	understanding	info. content
SAM [129, 36]	entity	understanding	info. content
Dunlavy et al. 2003 [131, 41]	surface	lexical	att. networks
Scisor [123]	entity	understanding	info. content
Scrabble [137]	entity	understanding	info. content
Skorochood'ko 1971 [132]	entity	lexical	att. networks
Smart [127, 103]	entity	lexical	att. networks
* SUMMARIST [61]	surface	lexical	att. networks
SUMMONS [101]	entity	understanding	info. content
SumUM [45, 126, 46]	discourse	structural	discourse structure
* SweSum [136]	surface	lexical	att. networks
Taylor 1975 [138]	entity	understanding	info. content
Tele-Pattan [18]	entity	lexical	att. networks
Tess [148]	entity	understanding	info. content
Teufel and Moens [140, 141]	discourse	structural	disc. structure
TICC [2]	entity	understanding	info. content
TOPIC [51]	discourse	structural	disc. structure
van Halteren 2002 [143]	surface	lexical	att. networks
WebInEssence [120, 149]	surface	lexical	att. networks

Table 1: Classification of summarization systems

On-line or Downloadable Demos	
Centrifuser on-line demo	English multi-document (specific-topic: medical documents) <a href="http://centrifuser.cs.columbia.edu/centrifuser.cgi">http://centrifuser.cs.columbia.edu/centrifuser.cgi</a>
Copernic downloadable demo	English, French, German single document (many formats) <a href="http://www.copernic.com/desktop/products/summarizer/download.html">http://www.copernic.com/desktop/products/summarizer/download.html</a>
DMSumm downloadable demo	English, Brazilian Portuguese single document <a href="http://www.nilc.icmc.usp.br/thiago/DMSumm.zip">http://www.nilc.icmc.usp.br/thiago/DMSumm.zip</a>
Extractor downloadable demo	English, French, Spanish, German, Japanese, Korean single document (many formats) <a href="http://www.dbi-tech.com/dbi_extractor.asp">http://www.dbi-tech.com/dbi_extractor.asp</a>
GISTexter no straightforward access	English Single and Multi-Document form at: <a href="http://www.languagecomputer.com/demos/summarization/index.html">http://www.languagecomputer.com/demos/summarization/index.html</a>
GistSumm downloadable demo	multilingual single document <a href="http://www.nilc.icmc.usp.br/thiago/Install_GistSum.zip">http://www.nilc.icmc.usp.br/thiago/Install_GistSum.zip</a>
Newsblaster on-line demo	Multilingual multi-document <a href="http://www1.cs.columbia.edu/nlp/newsblaster/">http://www1.cs.columbia.edu/nlp/newsblaster/</a>
Island InText no straightforward downloading	English single document form at: <a href="http://www.islandsoft.com/orderform.html">http://www.islandsoft.com/orderform.html</a>
Inxight Summarizer / LinguistX / Xerox PARC no straightforward downloading	Chinese, Danish, Dutch, English, Finnish, French, German, Italian, Japanese, Korean, Norwegian, Portuguese, Spanish and Swedish single document form at: <a href="http://www.inxight.com/products/oem/summarizer/contact_sales.php">http://www.inxight.com/products/oem/summarizer/contact_sales.php</a>
Kmaritime on-line demo	Korean <a href="http://nlplab.kmaritime.ac.kr/demo/f_ats.html">http://nlplab.kmaritime.ac.kr/demo/f_ats.html</a>
Lal and Ruger (2002) on-line demo	English single document <a href="http://rowan.doc.ic.ac.uk:8180/summarizer/demo.html">http://rowan.doc.ic.ac.uk:8180/summarizer/demo.html</a>
MEAD / NewsInEssence / CLAIR on-line and downloadable demo	English and Chinese multi-document, multi-lingual <a href="http://www.clsp.jhu.edu/ws2001/groups/asmd/">http://www.clsp.jhu.edu/ws2001/groups/asmd/</a> multiple news summ. demo at: <a href="http://www.newsinessence.com/nie.cgi">http://www.newsinessence.com/nie.cgi</a>
MS-Word Autosummarize	supposedly any language single document included in MS-Word
Pertinence Summarizer on-line demo	English, French, Spanish, German, Italian, Portuguese, Japanese, Chinese, Korean, Arabic, Greek, Dutch, Norwegian and Russian single document <a href="http://www.pertinence.net">http://www.pertinence.net</a>
Sinope Summarizer Personal Edition 30-day trial downloadable	English, Dutch and German single document <a href="http://www.sinope.nl/en/sinope/index.html">http://www.sinope.nl/en/sinope/index.html</a>
Summ-It on-line demo	probably English only pasted text <a href="http://www.mcs.surrey.ac.uk/SystemQ/summary/">http://www.mcs.surrey.ac.uk/SystemQ/summary/</a>
Surfboard 30-day trial downloadable demo	probably English only single web pages (Mac OS X.1 only) <a href="http://www.glu.com/binaries/surfboard/surfboard.dmg.gz">http://www.glu.com/binaries/surfboard/surfboard.dmg.gz</a>
SweSum on-line demo	Danish, English, French, German, Spanish, Swedish single document (Web pages or pasted text) <a href="http://www.nada.kth.se/xmartin/swesum/index-eng.html">http://www.nada.kth.se/xmartin/swesum/index-eng.html</a>
TextWise Content Repurposing Suite no straightforward access	probably English only single document or e-mail form at: <a href="http://www.textwise.com/technology/crs/demo.html">http://www.textwise.com/technology/crs/demo.html</a>

Table 2: Some on-line demos of summarization systems, both commercial and academic

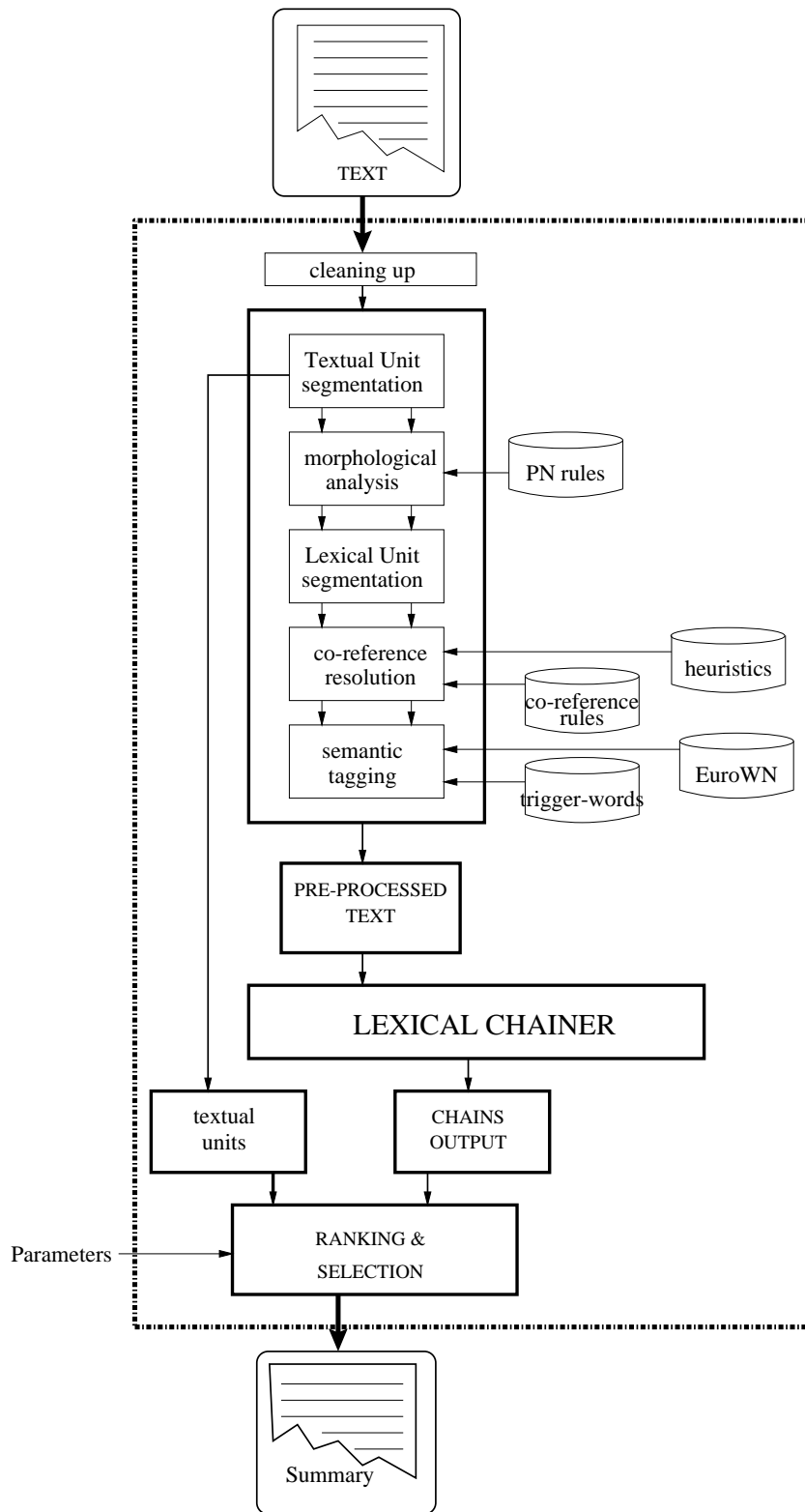


Figure 1: Architecture of HERMES Lexical Chain Summarizer.



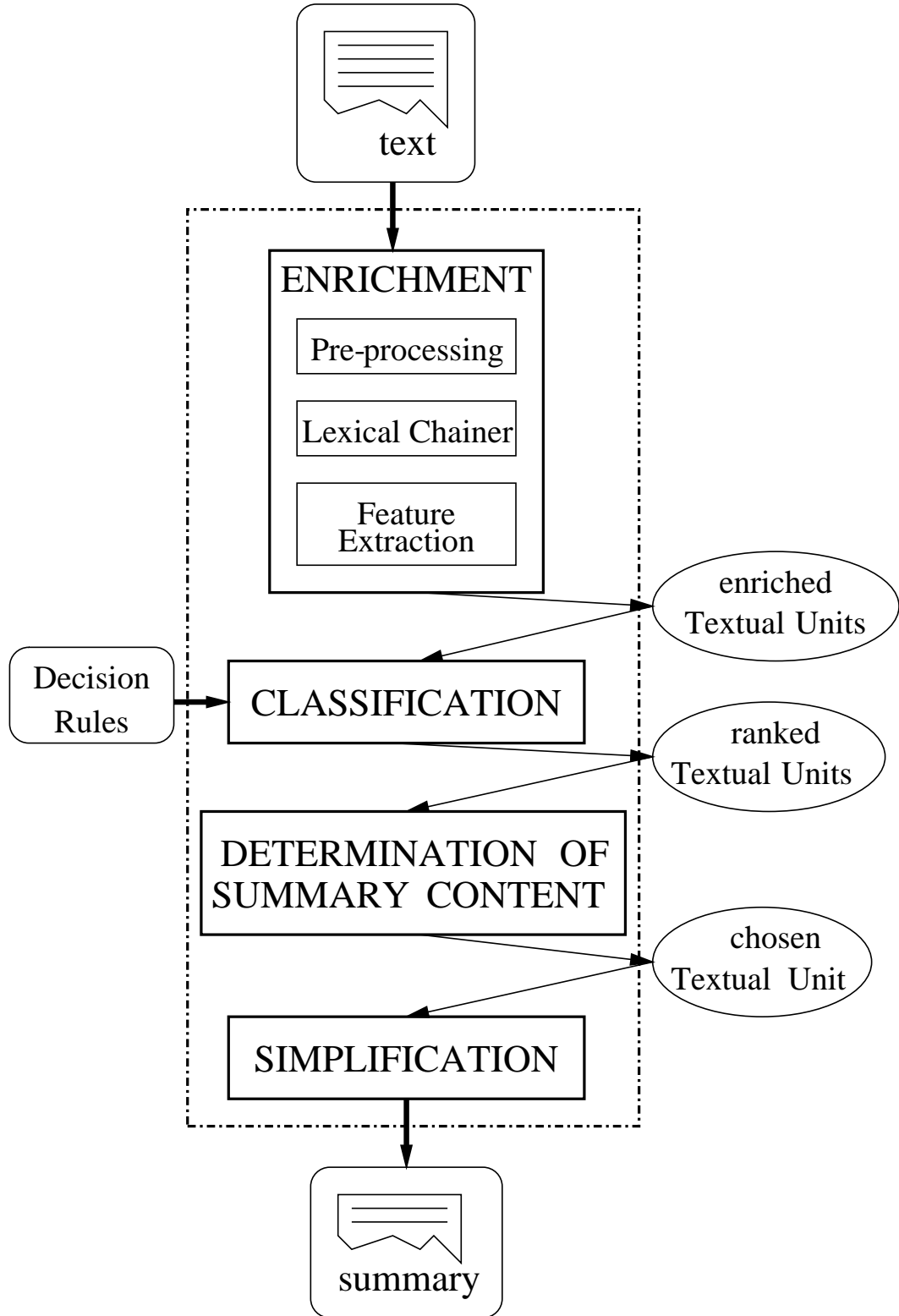


Figure 2: Architecture of HERMES Machine Learning Summarizer.