



Boletim de Ciências Geodésicas

ISSN: 1413-4853

ISSN: 1982-2170

Universidade Federal do Paraná

Azevedo, Samara; Oliveira, Renan Furlan de; Casaca, Wallace; Silva, Erivaldo  
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Boletim de Ciências Geodésicas, vol. 25, Esp, e2019S003, 2019

Universidade Federal do Paraná

DOI: 10.1590/s1982-21702019000S00003

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## DESHADOWING OF HIGH SPATIAL RESOLUTION IMAGERY APPLIED TO URBAN AREA DETECTION

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Received in 19<sup>th</sup> November 2018

Accepted in 26<sup>th</sup> February 2019

### Abstract:

Different built-up structures usually lead to large regions covered by shadows, causing partial or total loss of information present in urban environments. In order to mitigate the presence of shadows while improving the urban target discrimination in multispectral images, this paper proposes an automated methodology for both detection and recovery of shadows. First, the image bands are preprocessed in order to highlight their most relevant parts. Secondly, a shadow detection procedure is performed by using morphological filtering so that a shadow mask is obtained. Finally, the reconstruction of shadow-occluded areas is accomplished by an image inpainting strategy. The experimental evaluation of our methodology was carried out in four study areas acquired from a WorldView-2 (WV-2) satellite scene over the urban area of São Paulo city. The experiments have demonstrated a high performance of the proposed shadow detection scheme, with an average overall accuracy up to 92%. Considering the results obtained by our shadow removal strategy, the pre-selected shadows were substantially recovered, as verified by visual inspections. Comparisons involving both VrNIR-BI and VgNIR-BI spectral indices computed from original and shadow-free images also attest the substantial gain in recovering anthropic targets such as streets, roofs and buildings initially damaged by shadows.

**Keywords:** Shadow Removal, Inpainting, Built-up Area, High Resolution Imagery, Remote Sensing.

**How to cite this article:** AZEVEDO, S., OLIVEIRA, R. F., CASACA, W. and SILVA, E.T. Deshadowing of High Spatial Resolution Imagery. *Bulletin of Geodetic Sciences*, 25(spe): e2019s003, 2019.



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## 1. Introduction

Natural ecosystems changes induced by man suggest built-up regions and their resulting activities as a significant and measurable driver for climate change (Polydoros and Cartalis, 2015). Built-up areas are related to any materials primarily associated with human activities and habitation (Zhang et al., 2017). Remote sensing (RS) imageries play an important role in built-up areas mapping, since they are timely, cost-effective and useful for many applications which demand interacting with geospatial data. Thanks to the recent advances in sensor technology, satellite imagery can now provide very high spatial resolution data, allowing for checking details in built-up areas. However, extracting urban information from high-resolution images usually suffer from large areas damaged by shadows, which are misclassified and wrongly interpreted (Dare, 2005).

Shadows are formed when sunlight is blocked by tall objects present at the scene, causing partial or even total loss of spectral information from the Earth surface covered by shadows. This effect can interfere in the acquisition of reliable data such as road network (Li et al., 2016), buildings (Ok, 2013), and vehicles (Asaidi et al., 2014). Therefore, removing shadows from RS images has been an important task for real-world applications, being essential as a preprocessing step for multisource data such as video sequence (Sanin et al., 2012, Al-najdawi et al., 2012), aerial (Adeline et al., 2013), and satellite images (Dare, 2005, Song et al., 2015). Diverse attempts have been made in the RS literature towards dealing separately with the shadow detection and removal in VHR images (Chung et al., 2009, Liasis and Stavrou, 2016, Tian et al., 2016, Sasi and Govindan, 2016). However, due to the complexity of both problems offers for existing techniques, processing highly heterogeneous urban areas carrying distinct image elements has been a challenging and labor-intensive task, especially when one intends to both detect and recover the patterns damaged by the shadows. Moreover, misclassification and false detection due to the presence of dark materials are other common issues recurrently faced by existing shadow detection methods, as stated by Adeline et al. (2013).

Considering the image reconstruction task, most methods in the RS literature only address the problem for low- or medium-spatial-resolution images, filling the gap caused by dead or missing pixels from a sensor failure or natural sources as cloud cover (Vuolo et al., 2017). The use of multitemporal data was proposed by Gao and Gu (2017) in order to recovering Landsat ETM+ SLC-off and cloud cover data. Although the aforementioned method can fully deal with those gaps, the need for longer time series can be a barrier, especially for high spatial resolution images due to its commercial acquisition. Moreover, multitemporal data-driven methods are prone to failure when tackling the abrupt transformations of geographical objects over the time (Li et al., 2016).

The study of inpainting techniques has been received considerable attention, especially when one needs to reconstruct textured regions or enhance data availability, since these techniques only employ the information present in the images themselves to generate consistent results. Mostly inpainting methods found in the literature focus on reconstructing clouds or cloud shadows. For instance, Maalouf et al. (2009) proposed the use of *Bandelet transform*, with the purpose of better representing the geometric flow of the image structures so as to fill RS images impaired by clouds. Lorenzi et al. (2011) presented a reconstruction method for very high

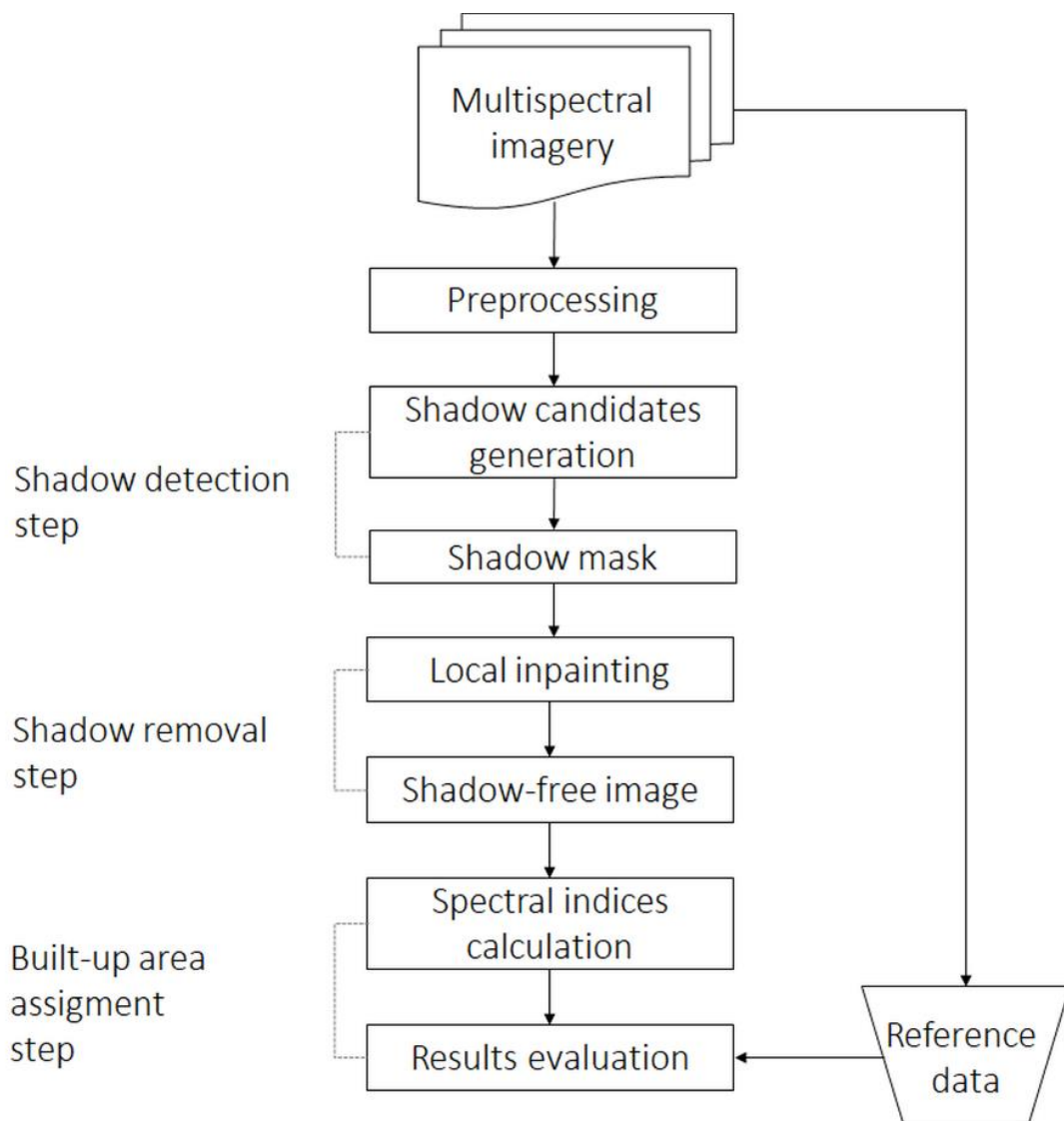
resolution (VHR) images, by propagating the spectral information retrieved from outside the missing area to accomplish the restoration. Despite being pioneer in using inpainting for urban targets reconstruction in high spatial resolution images, their method is sensitive to the size of the area to be recover, leading to large errors of data propagation and visual inconsistencies as the inpainting domain increases. Another issue is the search for optimal pixel blocks in different resolutions of the image, which increases the computational burden of the method.

In this paper, both the shadow detection and the shadow removal problems are addressed for RS images, by means of a unified framework which benefits from the high spatial resolution satellite data from urban areas, combining both contextual and spectral data for the tasks of detecting and recovering the urban targets occluded by shadows. First, the image bands are pre-processed to increase the discrimination of urban targets in the urban scene. The shadow detection modulus of our framework is then applied to identifying regions damaged by shadows, which is properly performed by combining effective morphology-based filters. From the detected shadow areas as given in previous step, a hybrid inpainting-inspired strategy specifically designed to take advantage of the multispectral imagery context is applied. This strategy unifies anisotropic diffusion, filling-in priority based on cartoon image representations, transport equation, and block-based pixel replication from a locally dynamic sampling process.

The present algorithm was implemented in MATLAB platform and validated using pansharpened reflectance WorldView-2 (WV2) imagery. Also, in order to check the real effectiveness of our framework for the combined tasks of shadow detection and removal, two visible-based built-up indices VrNIR-BI and VgNIR-BI (Estoque and Murayama, 2015) have been computed over the shadow-free imagery. Based on these indices, the conducted experiments have demonstrated that the proposed framework can significantly improve useful urban areas of the image, as properly verified by visual inspections and by quantitative analysis involving urban targets directly extracted by the built-up indices. Finally, another beneficial aspect of the current method is that once only multispectral imagery is required as input data, it can be suitable to support other remote sensing applications as well.

## 2. Methodology

The proposed methodology for extracting built-up areas comprises four basic steps: 1) Data Preprocessing, 2) Shadow Detection, 3) Shadow Removal and, 4) Built-up Area Assignment. The current methodology is detailed in Figure 1.



**Figure 1:** Pipeline of the proposed methodology.

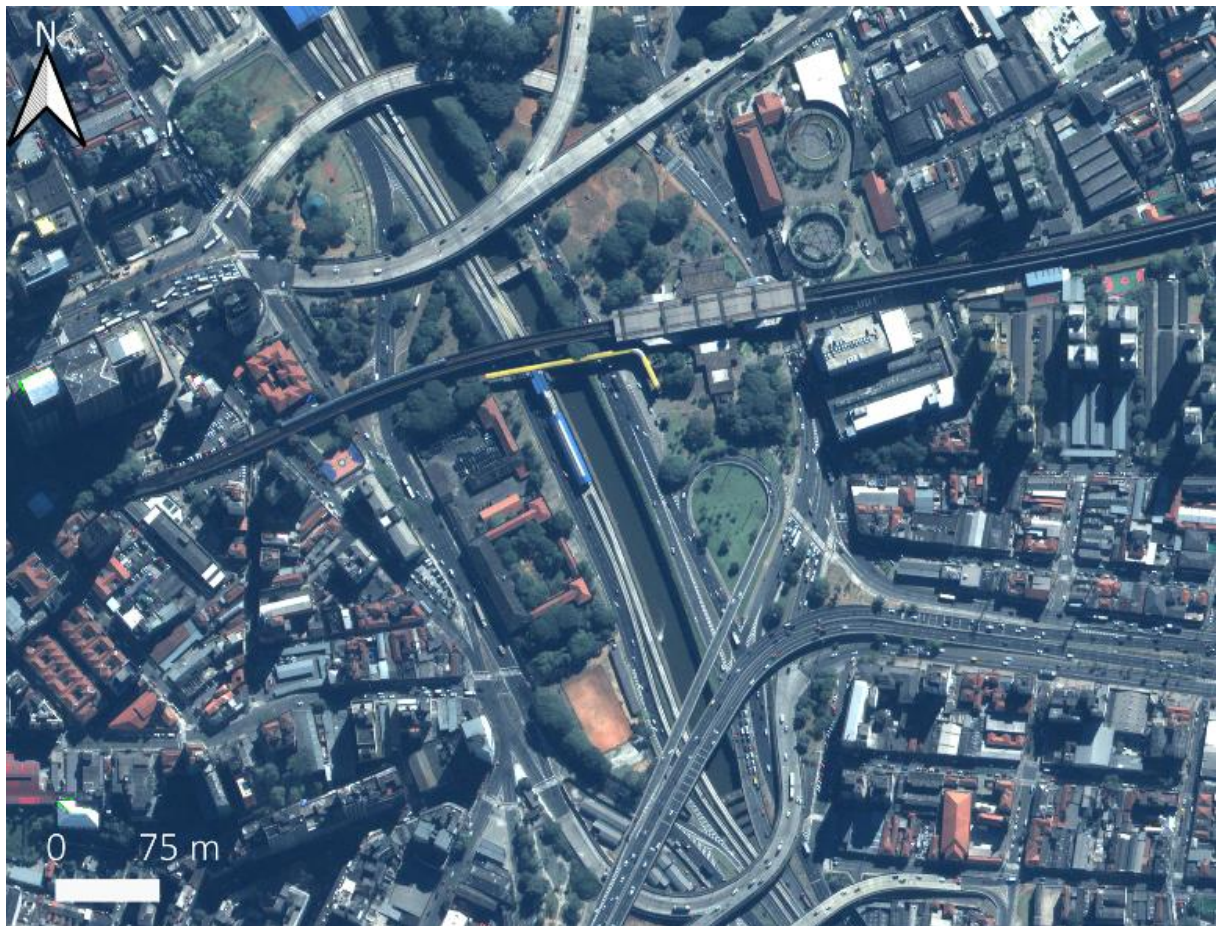
## 2.1 Multispectral imagery and data preprocessing

The experiments were conducted using as input data a WorldView-2 (WV2) scene from São Paulo city, Brazil, which is a dense urban environment that contains a great variety of non-built-up and built-up surface types. The typical built-up areas in the study area include buildings, bridges, houses and all other impervious surfaces such roads, parking areas, etc. Non-built-up class includes mainly vegetation types like forest, grassland, and cropland. The WV2 imagery used in our analysis was recorded on 06 July, 2012, which is composed by four multispectral (blue, green, red and near-infrared) bands (MS) of 2 m spatial resolution and a panchromatic band (PAN) of 0.5 m.

As part of the preprocessing step of our framework, we firstly use the radiometric calibration module available in the RS tool Environment for Visualizing Images (ENVI), version 5.2, to convert digital numbers (DN) to top-of-atmosphere (TOA) reflectance data. Standard

parameters as available at metadata files are considered to properly perform this correction. Also, in this step, the MS bands are fused with the PAN band using the Gram-Schmidt pansharpener method (Maurer, 2013), as available in the ENVI 5.2. This is done to improve the spatial details of the MS images as well as to magnify the difference between certain types of land cover. Notice that, in our preprocessing pipeline, no co-register between bands is necessary since the WV2 imagery was captured at the same time with the same sensor.

In order to verify the consistence of spectral and spatial characteristics of MS bands after the fusion process, pansharpener imagery is assessed based on metrics such Correlation Coefficient (CC), Universal Image Quality Index (UIQI), Root Mean Squared Error (RMSE), and Relative Global Dimensional Synthesis Error (ERGAS) (Yuhendra et al., 2012). The evaluation is then performed comparing the original bands with the resampled pansharpener results. Figure 2 gives an overview of the TOA reflectance pansharpener WV2 image in a true color composite, which is the input of our computational methodology.



**Figure 2:** True color composite of the pansharpener WV2 image of the study area in São Paulo city, Brazil.



## 2.2 Shadow detection

The shadow detection and removal module has been implemented in MATLAB, version R2017b. The shadow detection task is performed considering the spectral and contextual data as provided by the shadows in MS and PAN images. First, based on the assumption that shadows pixels are the lowest sensor radiance values than their sunlit neighborhoods (Adeline et al., 2013), *Black-Top-Hat (BTH)* transformation (Equation 1) equipped with area closing instead of classical closing operator is applied to the PAN image  $f$ . In more mathematical terms,

$$BTH(f) = \phi_{\lambda}(f) - f \quad (1)$$

where  $\phi_{\lambda}$  represents the area closing operator for a gray scale image, computed by:

$$\phi_{\lambda} = \bigwedge_i \{ \phi_{B_i}(f) \} \quad (2)$$

with  $\bigwedge$  accounting for the infimum of all closings with connected structure elements (SEs) ( $B_i$ ) whose sizes, in number of pixels, are equal to  $\lambda$  (Soille, 2003). Thus,  $\phi_{\lambda}$  is applied to remove connected dark components whose area portion is smaller than a parameter  $\lambda$ , being highlighted when the arithmetic difference between  $\phi_{\lambda}$  and the input image  $f$  is achieved by *BTH*. The underlying idea when using area closing is to avoid the computation of a prefixed SE, since these transformations usually exploit contextual relations and spatial information more effectively than operators based uniquely on fixed SEs. Such a versatility becomes important when dealing with undesirable objects like shadows which assume a variety of sizes and shapes in the image. In addition, the area verification is performed only at the flat zones of the image (i.e., a set of connected iso-intensity pixels), thus decreasing the processing complexity (Mura et al., 2010).

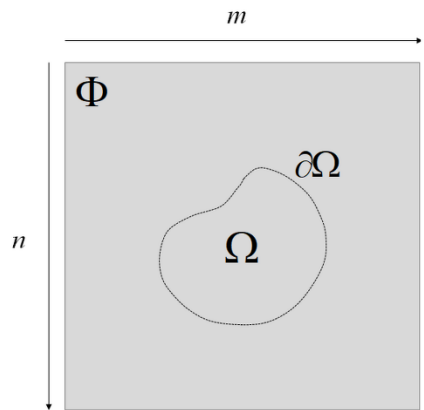
The so-called shadow spectral index Normalized Saturation-Value Difference Index (NSDVI), proposed by Ma et al. (2008), is applied to automatically estimate the shadow damaged area. This spectral index states that shadows are composed by higher saturation and lower values in the HSV color space, including a short blue-violet wavelength due to the Rayleigh effect of atmospheric scattering (Polidorio et al., 2003). Normal color composite pansharpened WV2 image is converted from RGB to HSV space color, and the NSDVI is then calculated. Finally, a binary image containing shadow candidate pixels is obtained via Otsu binarization (Otsu, 1979).

Although an overestimation is observed in the NSDVI binary mask due to occurrence of patterns with a similar behavior, NSDVI ensures the coverage of large areas, guaranteeing the identification of all shadow areas. Indeed, the flexibility promoted by the morphological closing area operator is of paramount importance for achieving a good level of simplification for the VHR data, hence reducing the complexity of the data analysis in the next steps of our framework. Additionally, the described shadow detection steps ensure a fully non-supervised approach which could be used to support other applications that demand using shadow segmentation into their computational pipelines.

Finally, in order to reach more refined results for the pre-segmented shadows, the resulting mask is processed to remove any vegetation misclassified pixels, by computing the intersection of shadow candidates generated in the previous step with the spectral Normalized Difference Vegetation Index (NDVI) mask. Shadow detection results are quantitative assessed from manually-labeled reference images, i.e., based on the popular Ground Truth (GT) and performance metrics such as producer's accuracy, user's accuracy and overall accuracy, as properly described by Adeline et al. (2013).

## 2.3 Local inpainting method

The next step consists in restoring the shadow areas discriminated in the previous step. To accomplish this, a hybrid inpainting approach inspired on (Casaca, 2010) is redesigned for the multispectral RS imagery context, more specifically to perform shadow restoration. Given the input image  $f$  and the shadow mask  $\Omega$  (here namely inpainting domain), the inpainting technique aims at filling-in  $f(\Omega)$ , by propagating the genuine information of the image  $f$  located in the fill front  $f(\partial\Omega)$ , i.e., the source region (see Figure 3).



**Figure 3:** Illustration of general elements involved in inpainting procedure of an  $m \times n$  sized image  $f$ . Region  $\Omega$  represents the gap (the damaged area) to be filled in image  $f$  while  $\partial\Omega$  is the boundary of  $\Omega$ , wherein the data from  $f$  is not corrupted by shadows.

In this study, we take advantage of beneficial effects in combining anisotropic diffusion, transport equation and block-based pixels replication towards automatically inpainting images hampered by large shadow areas embedded within different textured regions. First, a reference image  $u$  (namely here as cartoon image) is extracted from the input image  $f$  by numerically solving the anisotropic diffusion equation (Equation 3), firstly proposed by Barcelos et al. (2003).

$$\frac{\partial f^{(t)}}{\partial t} = g|\nabla f^{(t)}| \operatorname{div} \left( \frac{\nabla f^{(t)}}{|\nabla f^{(t)}|} \right) - (1 - g)(f^{(t)} - f), \quad (3)$$



where  $f^{(t)}$  corresponds to the scaled version of  $f$ ,  $g = g(|\nabla G_\sigma * f^{(t)}|)$  represents an edge detection function, and  $G$  is the classic gaussian kernel governed by a tuning parameter  $\sigma$ . In our inpainting method devoted for RS images, all the MS bands are used to produce the cartoon image  $u$ . The multi-layered image  $u$  holds the geometric structures and homogeneous segments of  $f$ . Once the cartoon image  $u$  has been computed, the next step is to determine priority degrees for each pixel in the filling front region  $f(\partial\Omega)$ . To do so, an inner product-based metric derived from the transport equation of Bertalmio et al. (2000) is applied on  $u$ . The priority measure used in our approach,  $P(p)$ , is given as follows:

$$P(p_i) = R(p_i) \cdot C(p_i), \forall p \in f(\partial\Omega) \quad (4)$$

where  $R(p)$  and  $C(p)$  account for the Relevant and Biased Confidence terms, respectively, given by:

$$R(p) = \left| \nabla(\Delta u_p) \cdot \vec{d}_p \right|, \quad \vec{d}_p = \frac{\nabla^\perp u_p}{|\nabla^\perp u_p|} \quad (5)$$

$$C(p) = \left( \frac{\sum_{q \in H_m(p) \cap (D - \Omega)} C(q)^{\frac{1}{k}}}{|H_m(p)|} \right)^k \quad (6)$$

wherein  $p$  is the current analyzed pixel;  $\vec{d}_p$  is the orthogonal vector to the gradient of  $u$  in  $p$ ;  $\Delta u_p$  represents the Laplacian operator applied on  $u$ ;  $\nabla^\perp u_p$  is the normalized vector field of  $u$ ; and finally  $|H_m(p)|$  gives the size of an  $m \times m$  squared patch centered at pixel  $p$ . In summary, relevance term  $R$  computes the isophotes (pixels sharing the same gray level) from the fill front  $f(\partial\Omega)$ , and the confidence term  $C$  regulates the filling coherence during the shadow region completion. It is worth mentioning that the inpainting source-sampling region  $f(\Lambda\Omega)$  (i.e., the region that provides the genuine pixels to fill the shadow areas) is iteratively built for each pixel  $p \in f(\partial\Omega)$

From the pixel source region  $f(\Lambda\Omega)$  centered at a pixel  $p$  as determined by the priority measure  $P(p)$ , the optimal patch (block) of pixels  $H_n(q)$  is the one that minimizes the weighted metric named Normalized Root Mean-Square Distance (NRMSD) (Equation7) subject to all candidate patches  $H_n(q)$ , more precisely:

$$d(p, q) = \frac{\|p - q\|_{\Delta U}}{\sqrt{\|p\|_{\Delta U}^2 + \|q\|_{\Delta U}^2}} \quad (7)$$

where:

$$\|p\|_{\Delta U}^2 := \sqrt{p^T \Delta U p} \quad (8)$$

In Equation 8,  $\Delta U$  is a diagonal matrix defined by the Laplacian of  $u$ :  $\Delta U_{ii} = \Delta U_{pi}$ ,  $p_i \in H_n(p) \cap f(\Lambda \Omega_p)$ . Hence, Equation 7 assigns higher weights for pixels that belong to the edges of the cartoon image  $u$  after applying the Laplacian operator.

In our methodology, the distance calculation takes into account all the multispectral cartoon bands so as to customize the metric to be better utilized in our context of Remote Sensing. Among the advantages promoted by the dynamic sampling scheme when selecting candidate pixels for the image completion, we raise the computational efficiency, since the algorithm searches only the pixel blocks present in  $f(\Lambda \Omega_p)$ , instead of going through the entire image as usually performed by other inpainting methods such as (Criminisi et al., 2004). Additionally, the fact that  $f(\Lambda \Omega_p)$  is defined according to a given pixel  $p$ , pre-fixed by the priority measure  $P(p)$ , such a mechanism avoids that pixels far from the region to be reconstructed be considered as candidates, therefore improving the coherence when filling the image constrained to the shadow mask,  $f(\Omega)$ .

## 2.4 Spectral built-up indices

To assess the effectiveness of the proposed methodology in delivering shadow-free images, two spectral indices for built-up area discrimination have been used in our analysis. Impervious surfaces are referred to as built-up lands which includes: buildings, roads, driveways, sidewalks, parking lots, and so on (Zhang et al., 2017). In dense urban areas such as the study area selected, most parts of the image are formed by built-up areas. The visible green-based built-up index (VgNIR-BI) and the visible red-based built-up index (VrNIR-BI), which are defined by Equation 9 and Equation 10, respectively, have demonstrated good overall accuracies for a rapid built-up lands segmentation from satellite imageries (Estoque and Murayama, 2015, Zhang et al., 2017). These indices exploit the visible red and green channels, respectively, in conjunction with the NIR channel.

$$VgNIR - BI = \frac{\rho_{Green} - \rho_{NIR}}{\rho_{Green} + \rho_{NIR}} \quad (9)$$

$$VrNIR - BI = \frac{\rho_{Red} - \rho_{NIR}}{\rho_{Red} + \rho_{NIR}} \quad (10)$$

In Equations 9 and 10,  $\rho_{Green}$ ,  $\rho_{Red}$ ,  $\rho_{NIR}$  give the reflectance values at green, red and near-infrared bands, respectively, as detailed in (Estoque and Murayama, 2015).

Although several spectral built-up indices have been proposed in the literature, a visible-based index is a suitable choice especially for applications with VHR images due to limitation of sensors in providing low spectral resolution bands. As suggested by Estoque and Murayama (2015), visible-based indices present more accurate separation because mostly built-up lands have lower reflectance in green and red bands than in the NIR band, in contrast to non-built-up lands. For this reason, it is possible to achieve low (absolute) index values for vegetation, including croplands and grasslands, which facilitates the thresholding work.

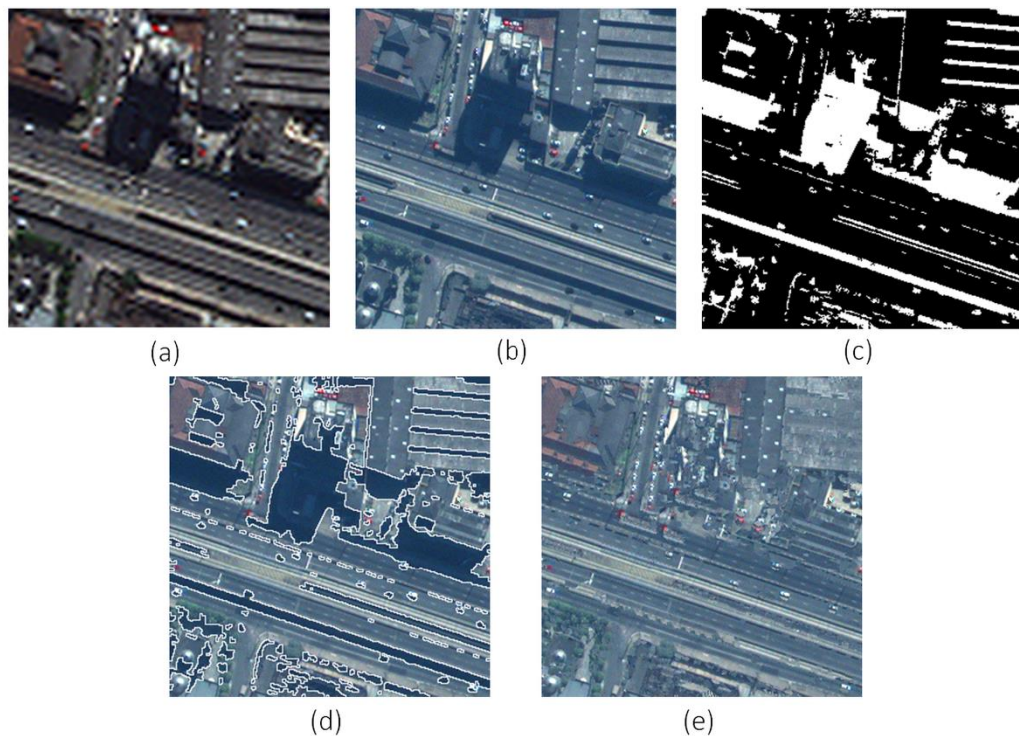
In our analysis, the pansharpened WV2 TOA reflectance produced in Section 2.1 were used to extract all these spectral built-up indices. In this case, a qualitative evaluation was performed by visual analysis, aiming at checking the consistency of the segmentation results.

### 3. Experiments and Results

To verify the performance of the proposed methodology, subsamples of 300 x 300 pixels from WV2 scene were selected. The experimental area covers a dense urban environment formed by both artificial and natural surface types, which increases the complexity in analyzing such an urban area. Figure 4(a) shows the true color composition of an original subsample of MS bands. Notice the lack of details on urban targets due to resolution aspects and massive shadow presence. From the preprocessing step as run and shown in Figure 4(b), it clearly seen that GS pansharpening has enhanced fine details with a good level of spectral information. The conversion into TOA reflectance, also performed in the preprocessing step, leads to a satisfactory result in terms of haze separation, providing an improvement in the visual quality.

First, to verify the consistence of the resulting pansharpened images (Figure 4(b)), quality indices have been calculated. These indices were computed taking the original bands and the fused resample images. The measurements calculated for the output taken from the proposed GS pansharpened approach as previously described in the preprocessing step have delivered a high correlation ( $CC=0.8643$ ) and spectral fidelity ( $UIQI=0.8642$ ). Moreover, lower RMSE (0.0025) and ERGAS (0.0901) values also indicate a high spectral quality and a low spectral distortion achieved by the current GS fusion method.

Once the image fusion step is successfully run and validated, our shadow detection step is then applied to the merged image, leading to the result depicted in Figure 4(c). As seen in Figure 4(c), the binary mask of the captured shadows reveals that all types of shadow pixels are identified. In order to assess the effectiveness of our shadow detection step, the absolute gradient of the shadow mask (i.e., an edge map) was computed and overlaid on true color composite of the pansharpened image (see Figure 4(d)). By visually inspecting the obtained result, one can observe that our shadow removal module captures diverse shadow segments in the scene, ranging from small sizes, such as trees and vehicles, to complex urban environments, such as cast building shadows. Finally, Figure 4(e) presents the shadow-free image obtained from the presented image restoration step when applied to the current area of study.

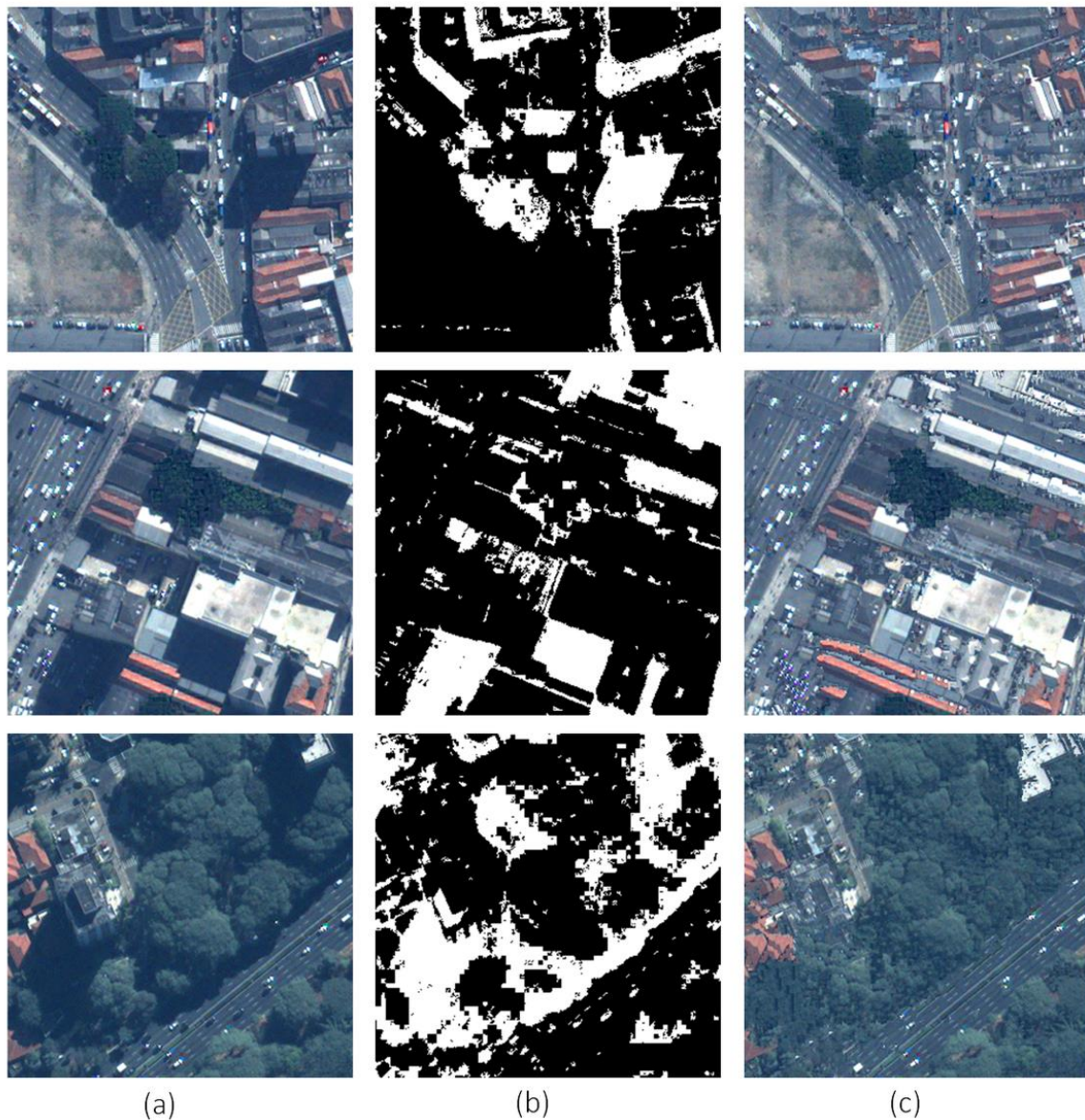


**Figure 4:** Visual results obtained from the proposed methodology when it is applied on Area 1. (a) Original WV2 true color composite image; (b) Output generated by the preprocessing step; (c) Binary shadow mask detected; (d) Gradient of (c), overlaid on (b); and (e) Shadow-free image.

Figure 5 shows the results for Area 2 (top row), Area 3 (middle row) and Area 4 (bottom row). Various typical urban scenarios can be observed from pansharpened WV2 color composite images, as properly displayed at first column in Figure 5. Notice that all the three urban areas present high concentration of artificial and natural surface types, such as roads, commercial and residential buildings, trees, among others that certainly contribute to shadow occurrence and, therefore, increases the image analysis complexity. By applying the proposed shadow detection modules, it reaches an accurate level of discrimination over the tested areas (see the second column in Figure 5). From visually comparing the obtained binary masks (second column in Figure 5) against the pansharpened image (first column in Figure 5), one can conclude that the proposed methodology has detected almost all the shadow portions, including cast shadows from buildings and cars as well as from trees, a complex structure not trivial to be discriminated due to the multilevel nature of the shadows formation.

Figure 5(c) (last column) displays the results obtained by the current inpainting module when it is applied to reconstruct shadows pixels as previously detected in Figure 5(b). The shadow mask is dilated using morphological operator in order to ensure a buffer zone around the detected pixels. By inspecting the original images (Figure 5-a) and the shadow-mitigated images (Figure 5-c), it can be observed a good level of visual coherence for the restored images. Notice that our framework leads to restoration of different image targets such as highway, cars, building rooftops, trees, among other relevant patterns. The challenge for those images is to precisely reconstruct shadow regions which share distinct objects, as some artifacts were generated during the inpainting process such as the crosswalk on the road (Area 2 first row) and the building rooftop

continuity (Area 3 second row). Although some inconsistencies were observed in the block replication result, it is also easy to check that our approach has substantially improved several useful areas of the image which were initially contaminated by shadows.



**Figure 5:** Visual results obtained from the proposed methodology when applied to Area 2 (top row), Area 3 (middle row), and Area 4 (bottom row). (a) True color composite of the pansharpened WV2 images; (b) Binary shadow masks detected; (d) Shadow-free images obtained by the inpainting module.

Quantitative evaluations were also performed by comparing the binary output against a reference image (ground truth (GT) image as manually generated by human annotation). From the GT image and the obtained shadow binaries, the values for producer's accuracy, user's accuracy and the overall accuracy (see Adeline et al., 2013 for details) were computed for each area tested, as listed in Table 1.



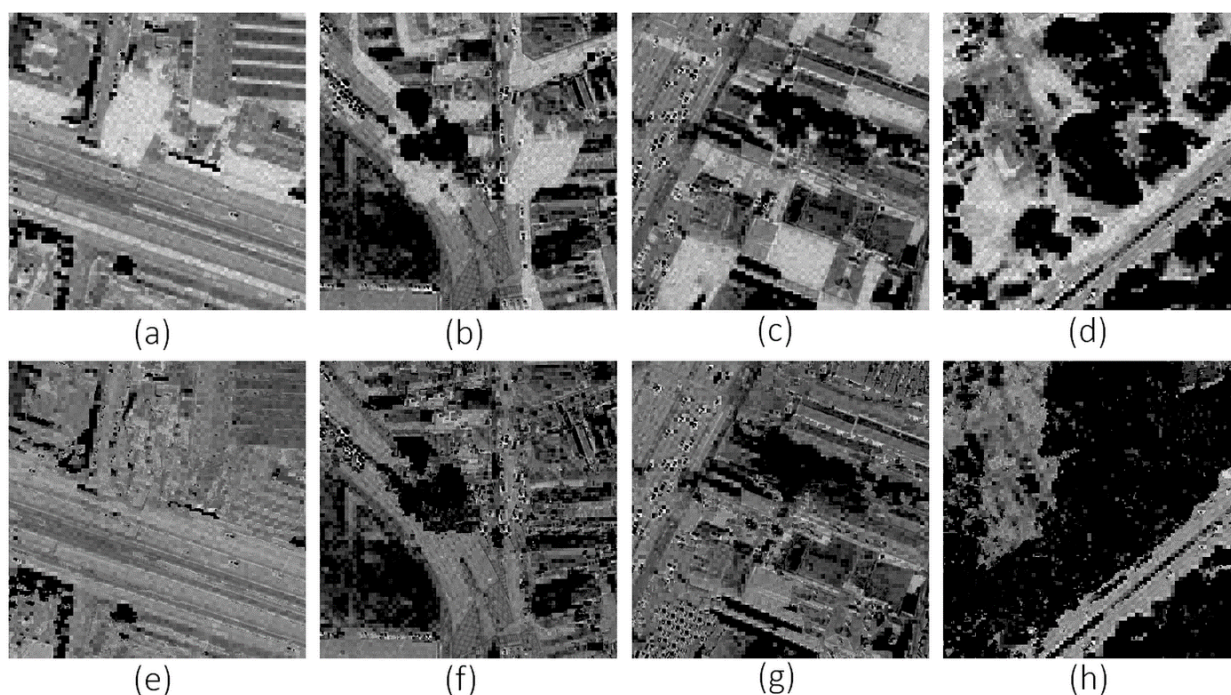
**Table 1:** Shadow detection performance evaluation for the proposed approach.

Dataset	Producer's accuracies (%)	User's accuracies (%)	Overall accuracy (%)
Area 1	98.59	85.45	96.22
Area 2	79.13	93.08	92.64
Area 3	71.03	97.73	88.77
Area 4	80.94	97.02	90.93

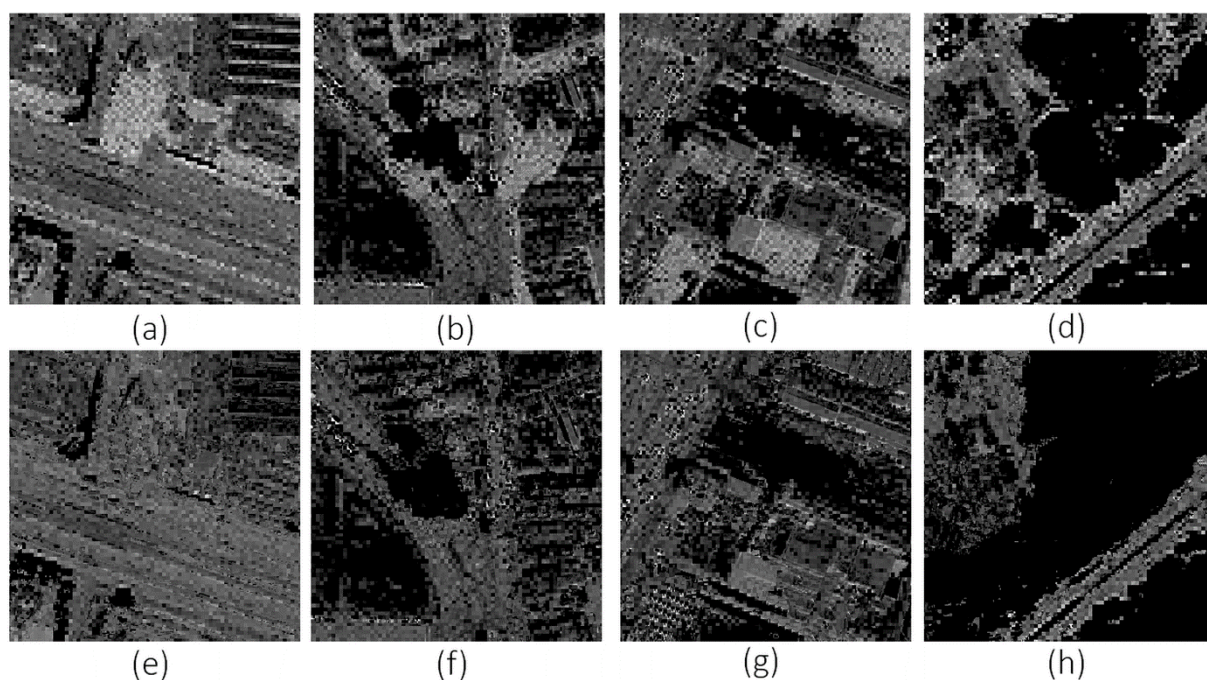
From Table 1, quantitative results reached an average overall accuracy of 92.14%, revealing the high effectiveness of our automatic detection approach. Producer's accuracies average was 82.42%, which means that some shadow pixels belonging to the GT were not properly matched as shadow by our approach, however such an assertiveness score is considered high in overall. Significant user's accuracies (average of 93.32%) were found for this experiment, showing how accurate the proposed method is in separating shadows from non-shadow pixels, hence avoiding false detections. This is particularly important, since most of methodologies has inefficiency in detecting, for example, high reflectance objects within shadow areas. Furthermore, once false negative is greater than false positive, it ensures that the extracted pixels are predominantly shadow, which can be restored by the inpainting module in the next step without detriment.

Two spectral built-up indices were applied to assess the improvements provided by our shadow mitigation module (the inpainting process) in urban areas. The indices VgNIR-BI and VrNIR-BI were computed for the original pansharpened WV2 and shadow-free images, and then shown in Figure 6 and Figure 7, respectively. Recall that both indices comprise the visible red and green channels, respectively, in conjunction with the NIR channel.

The gray pixels ranging from bright to medium gray tone represent built-up areas like roads and buildings, i.e., artificial materials, asphalt and concrete as seen in the shadow-free image versions when compared with the pansharpened WV2 true color composite images (see Figures 4 and 5). Note that the bright color dominates Area 1 (Figure 6-a and Figure 7-a) mostly taken by manmade structures and materials. On the other hand, black pixels belong to natural objects as trees, bare soil and grass, as can be seen comparing with the Area 4 (Figure 6-d and Figure 7-d) mostly taken by trees. However, it is possible to check that shadows are misclassified as built-up areas in both indices when applied on non-shadow images. This could be associated the fact that sensor radiance received from shadowed regions decreases from short to long wavelengths due to scattering (Adeline et al., 2013). As a result, although shadow responses are low, it tends to be higher at visible channels rather than NIR channels. In addition, the results from VrNIR-BI appeared noisier and, in some parts, the image elements are barely seen when compared to VgNIR-BI results.



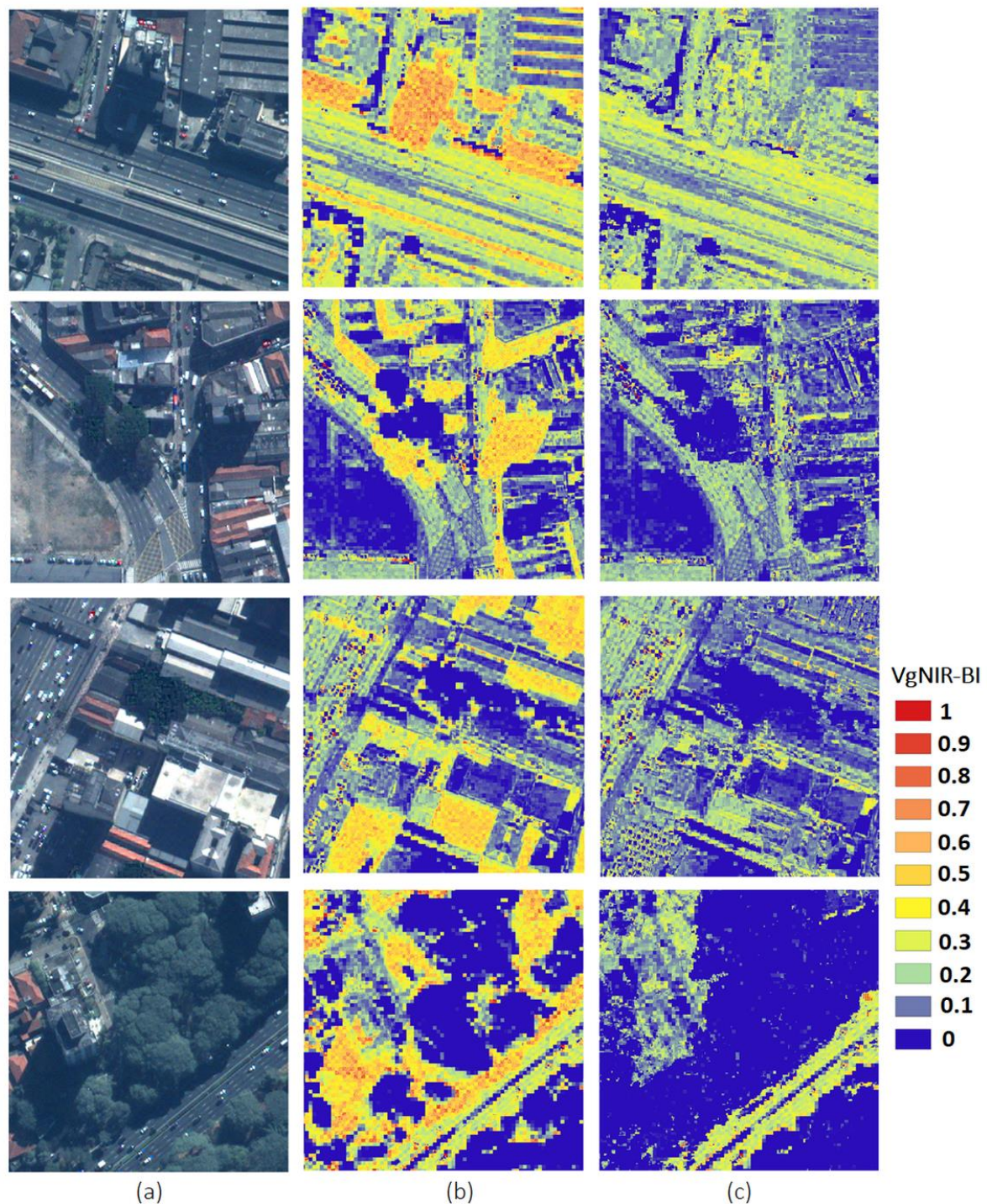
**Figure 6:** Outputs of the VgNIR-BI spectral built-up index when applied on original pansharpened WV2 images (a-d), and shadow-free images (e-h).



**Figure 7:** Outputs of the VrNIR-BI spectral built-up index when applied on original pansharpened WV2 images (a-d), and shadow-free images (e-h).

Figure 8 brings some built-up land density mappings computed from the VgNIR-BI for all the evaluated areas before and after both shadow detection and removal process. For comparison criteria, we have also included again the pansharpened WV2 true color composite images.





**Figure 8:** Resulting VgNIR-BI color maps for the analyzed areas. (a) Pan-sharpened WV2 true color composite images; (b) VgNIR-BI color map from (a), and (c) from the shadow-free images.

The increase in warm color indicates that the density of built-up land in the analyzed area is higher. There is a significant change in the VgNIR-BI results before and after shadow removal, since yellow areas highly saturated by the shadow presence was reduced and turned into pixels more similar to their surroundings, as observed from the results. Thus, built-up areas detected after shadow removal gave values ranging in  $[0.2, 0.5]$  (except outliers). Despite some artifacts were generated after the inpainting process, the proposed framework is proven to be effective

for the task of shadow elimination as, for instance, observed from the roads network correctly detected by VgNIR-BI.

## 4. Conclusions

Built-up areas are important segments of any city, especially in urban areas. Their identification and mapping provide useful geospatial information for several applications. In view of this, shadows formed by manmade objects clearly can hamper the use of valid information from urban areas. To deal with this issue, this paper proposes a new framework capable of detecting and removing shadows in high spatial resolution WV2 data representing dense urban areas, by handling both contextual and spectral data. The designed method achieved an average of overall accuracy up to 92% for the examined areas, besides providing very accurate outputs in terms of segmenting shadow and non-shadow pixels, as given by a low rate of false detection (~7%). Although some shadow pixels belonging to GT were not identified correctly by our approach, a high number of extracted pixels were classified as shadows. The preprocessing steps also contributed to the insertion of spatial details into the evaluated images, removing haze effects while still enhancing the distinction of individual elements.

The shadow removal module designed in terms of anisotropic diffusion, inner product-based priority measure and exemplar-based completion proved to be effective to deal with shadows under different perspectives and contamination degrees, reconstructing relevant objects in a coherent and satisfactory manner. Large shadow areas were reconstructed by the method, attesting that roads, cars, trees, rooftops, and other particular manmade structures can be successfully recovered in urban areas.

To measure the coverage of built-up areas, spectral indices were computed after the complete shadow removal process. The use of both VrNIR-BI and VgNIR-BI indices resulted in a simple and effective strategy for assessing built-up areas using only the visible spectrum, particularly the red, green and the NIR channels. Despite the existence of some discontinuous patches after applying the shadow removal scheme, built-up indices provided more coherent patterns in the resulting outputs than the original shadow images. Another valid aspect of our framework is that both detection and restoration tasks occur only based on information coming from a single scene, leading to a good trade-off between visual quality and low computational cost.

## Acknowledgement

The authors would like to acknowledge the financial support from FAPESP (São Paulo Research Foundation), grant #2013/25257-4.

## Author's contribution

Both authors contribute equally.

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