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# The RNAi as a tool to control tropical pathogens<sup>1</sup>

El ARNi como una herramienta para el control de patógenos tropicales

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## ABSTRACT:

**Introduction.** Sustainable farming requires new tools for the control of pathogens, since there is a constant evolution to overcome the current biological and chemical strategies. The information provided by the transcriptomics allows creating new possibilities to tackle the pathogens. It is possible to interrupt the genetic expression of a pathogen and disable it using RNA interference (RNAi).

**Objective.** To perform an analysis of an emerging technology useful for pest control, based on RNA interference. **Development.** Sustainable farming is measured based through social, economic, and environmental indicators. A key indicator of agriculture is the decrease in inputs for the control of pathogens and the increase in their specificity. Pest control mechanisms based on RNA interference meet both parameters. RNAi is known to have at least two functions, first for gene expression regulation, and secondly as a defense mechanism against pathogens. Consequently, RNAi can be used to protect crops from pathogens by developing genetically modified plants, or by the external application form of an aerosol. The RNAi aerosol is a tool that relies on inactivating the pathogen genes and can complement other agronomic tools available for this purpose. It is possible to design RNAi against tropical pests based on published transcriptomes, although it is necessary to overcome limitations regarding design, degradation, and stability. **Conclusion.** Interference RNA methods have the potential to be useful tools to control tropical pathogens as an alternative to achieve sustainable farming.

**KEYWORDS:** sustainable farming, biotechnology, aerosol RNAi.

## RESUMEN:

**Introducción.** La agricultura sostenible requiere de nuevas herramientas para el control de patógenos, dado que existe una constante evolución para sobrepasar las estrategias biológicas y químicas que se usan actualmente. La información derivada de los transcriptomas permite crear nuevas posibilidades para controlar a los patógenos. Es posible interrumpir la expresión genética de un patógeno e inhabilitarlo mediante ARN de interferencia (ARNi). **Objetivo.** Realizar un análisis de una tecnología emergente, útil para el control de plagas, basados en ARN de interferencia. **Desarrollo.** La producción agrícola sostenible se mide internacionalmente mediante indicadores sociales, económicos y ambientales. Uno de los indicadores clave de la agricultura es la disminución de insumos para el control de patógenos y el aumento de la especificidad de los mismos. Los mecanismos de control de plagas basados en ARN de interferencia cumplen ambos parámetros. El ARNi tiene al menos dos funciones, la primera es de regulación de la expresión genética y la segunda es servir de mecanismo de defensa contra patógenos. El ARNi se puede usar para la protección de los cultivos mediante el desarrollo de plantas genéticamente modificadas, o mediante la aplicación externa en forma de aerosol. El aerosol de ARN de interferencia es una herramienta que se basa en inactivar los genes del patógeno y puede ser un complemento a las herramientas agronómicas disponibles. Es posible diseñar ARNi para plagas tropicales sobre la base de los

## AUTHOR NOTES

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transcriptomas publicados, aunque es necesario sobrepasar limitaciones de diseño, degradación y estabilidad para ello. **Conclusión.** Los métodos de control mediante ARN de interferencia tienen el potencial de constituirse herramientas útiles para controlar los patógenos tropicales como una alternativa para sistemas de producción más sostenibles.

**PALABRAS CLAVE:** agricultura sostenible, biotecnología, ARN en aerosol.

## INTRODUCTION

Brundtland's report in the 80s set the bases for sustainable food production systems. The model evolved into an integrated environment, the social and the economic approach, measured into indicators (Latruffe et al., 2017). Specifically in the case of farming, indicators must include the plan to control plant pests and pathogens (Lebacqz et al., 2013). Examples of such evaluations are widely described by models such as Response-Inducing Sustainability Evaluation (RISE) (Schindler et al., 2015), Sustainability Assessment of Food and Agriculture Systems (SAFA) (Food and Agricultural Organization, 2014), Public Goods Tool (PG), and *Indicateurs de Durabilité des Exploitations Agricoles* (IDEA). All models agreed that the use of chemical inputs must comply with best farming practices and sometimes decreased in quantity, toxicity, or application volume. However, there are cases where there is no other alternative to control pests. The objective of this work was to perform an analysis of an emerging technology useful for pest control, based on RNAi.

## WHAT IS RNA INTERFERENCE OR RNAi?

RNAi was first reported in 1998 as a tool to externally cause gene silencing in the nematode *Caenorhabditis elegans* by applying an injection of double-stranded RNA (Fire et al., 1998). The discovery won the Nobel Prize in Physiology or Medicine in 2006 (The Nobel Prize in Physiology or Medicine, 2006). A RNAi results when a 20-30 RNA sequence is recognized by a piece of specialized protein machinery named Argonaut, to block or interfere with another RNA molecule (Castel & Martienssen, 2013). The RNAi machinery is used by the eukaryote cells with two different roles (Figure 1): 1) the first is named miRNA and is mainly used to regulate gene expression, and 2) the second is named siRNA and works as a defense system able to detect and inactivate pathogenic RNAs such as virus sequences (Hudzik et al., 2020; Rosa et al., 2018; Wilson & Doudna, 2013).

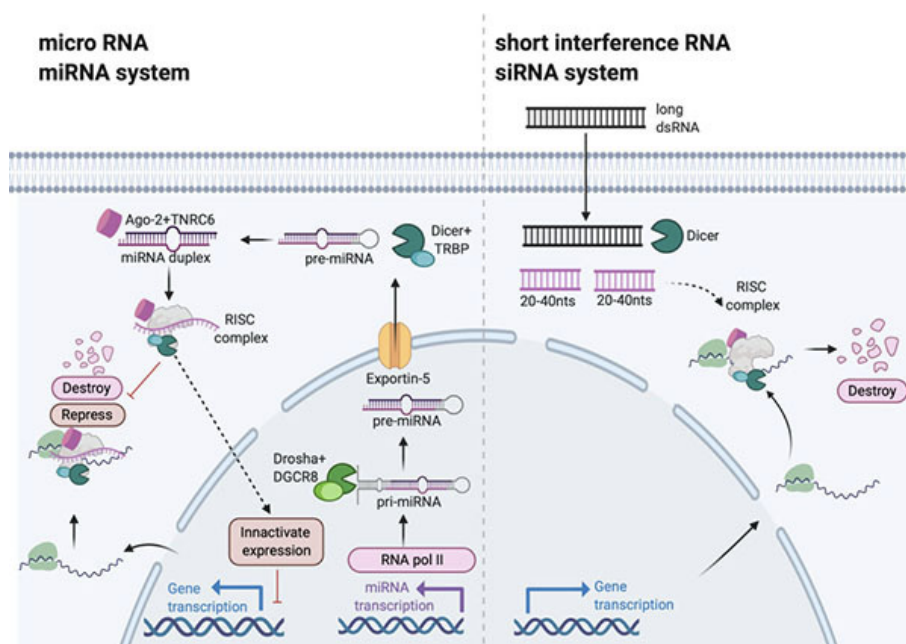


FIGURE 1

Schematic representation of the RNAi systems, microRNA and siRNA. Note that miRNA is mostly used to regulate, repress, destroy, and inactivate internal gene expression, while the siRNA system reacts by destroying complementary RNA molecules and usually responds to a viral dsRNA. Created with BioRender.com

**Figura 1.** Representación esquemática de los sistemas de ARNi microARN y siRNA. Obsérvese que el miARN se utiliza principalmente para regular, reprimir, destruir e inactivar la expresión génica interna, mientras que el sistema de siARN reacciona destruyendo las moléculas de ARN complementario y suele responder a un dsARN viral. Creado con BioRender.com

The miRNA system is aimed at self-control gene expression and is not usually related to the control of pathogens. miRNA start with a genomic sequence or MIR loci (Papp et al., 2003) that is used as a template to produce a first double-stranded RNA synthesized by RNA polymerase II at the nucleus. The resulting RNA fragment is named pri-miRNA and is subsequently processed into another molecule, pre-microRNA, by an enzymatic complex of Drosha and DGCR8. Next, the processed RNA is exported by Exportin-5 outside the nucleus into the cytoplasm. Once in the cytoplasm, the pre-microRNA binds a Helicase resulting in a single-stranded sequence. The single-stranded RNA can now bind to the enzymatic complex named RNA - induced silencing complex (RISC) and composed by at least Dicer + TRBP Tar RNA binding protein 2, ARGONaute + TRNC6. The complex can finally target and repress the expression of a gene by capturing a messenger RNA without destroying it or can inactivate the genomic sequence by methylation (Axtell, 2013; Guo et al., 2016; Rosa et al., 2018; Wilson & Doudna, 2013) miRNA can also silence pathogens gene expression as described next.

## THE ROLE OF THE siRNA SYSTEM

Short interference RNA (siRNA) systems work similarly to miRNA system, but instead of being used for their gene expression control, this process act as a defense system against pathogens (Muthamilarasan & Prasad, 2013). Plants use siRNA to fight viral RNAs. For example, *Arabidopsis* generates a 21 and 24 nucleotide virus specific siRNA when it is infected with *Tobacco rattle virus* (TRV), as well as a 21 nucleotide specific siRNA when infected with *Turnip crinkle virus* (TCV) (Waterhouse & Fusaro, 2006). The defense complex is composed of two parts: Dicer and the RNA. Dicer is an RNase III enzyme that works with the 21–23 bp RNA duplexes to form the so-called small interfering RNAs (siRNAs). The enzyme RISC processes

the siRNAs and separates one of the two RNA strands. The final product is an active RISC with an antisense RNA that can match the enzyme Argonaute, that can now join the antisense RNA and cleavage the alien mRNA (Kaur et al., 2016). When a double-stranded RNA (dsRNA) is applied externally, it can trigger the siRNA system with the same results by targeting or inactivating the complementary gene expression of the cell in organisms such as *Caenorhabditis elegans* (Fire et al., 1998).

In the case of plants, RNA interference is present in both directions: the pathogens and host plants, in a phenomenon named cross-kingdom RNAi. They are both, plants and pathogens, provided with siRNAs to target genes of their counterparts for silencing (Zhao et al., 2018) as described next.

Pathogens like the fungi *Botrytis cinerea* produce RNA to knock down the plant defense system. When the *B. cinerea* genes *dcl1* and *dcl2* are broken, the strain has reduced virulence (Weiberg et al., 2014). On the other hand, plants are also provided with a system to defend themselves against pests in a cross-kingdom RNAi. Plants can use the system against bacteria, fungi, oomycetes, and can also take external RNAi for their defense against a pathogen (Cai et al., 2018a).

RNAi is now recognized as a Trojan Horse of the plant kingdom because the plant delivers vesicles charged with RNAi into the attacking fungi (Castillo-González & Zhang, 2018). External vesicles are relevant keys in the RNAi interaction process (Rutter & Innes, 2018). Plants can secrete RNA vesicles at the specific site of infection throughout the exosomes, where the proteins TET8 and TET9 are key in the process. For example, *Arabidopsis* secretes siRNAs TAS1c-siR483 and TAS2-siR453 into the fungal cells of *B. cinerea*. The first one targets the BC1G\_10728 and BC1G\_10508 gene expression. BC1G\_10728 expression results in a vacuolar protein sorting 51 (Bc-Vps51) that seems to be key to virulence. BC1G\_10508 expression results in a large subunit of the complex Bc-DCTN1 that is in charge of vesicle trafficking. The second siRNA targets BC1G\_08464, related to membrane trafficking (Cai et al., 2018b). In the same way, miR166 and miR159 are produced in cotton when infected with the fungi *Verticillium dahliae* and transported precisely to the fungi to target the genes *Clp-1* and *Hic-15* respectively, resulting in reduced virulence (Zhang et al., 2016). In addition, although miRNA161 and miRNA173 are related to *Arabidopsis* plant's defense against *Phytophthora* infections triggered by a pattern recognition receptor, the pathogen also fights against the RNAi mechanism by encoding an RNAi suppressor (Hou et al., 2019).

## HOW CAN RNAi BE USED TO CONTROL TROPICAL PATHOGENS?

RNAi can be used to protect crops from pathogens by developing genetically modified plants or by externally spraying dsRNA (Figure 2). The first example of a commercially used genetically modified plant that resists a virus was the famous Rainbow Hawaii papaya, resistant to the papaya ringspot virus (Gonsalves et al., 2010). Genetically modified plants that do have siRNA are known as Host-Induced Gene Silencing (HIGS) and can defend themselves against viruses, nematodes, and bacteria (Nunes & Dean, 2012).

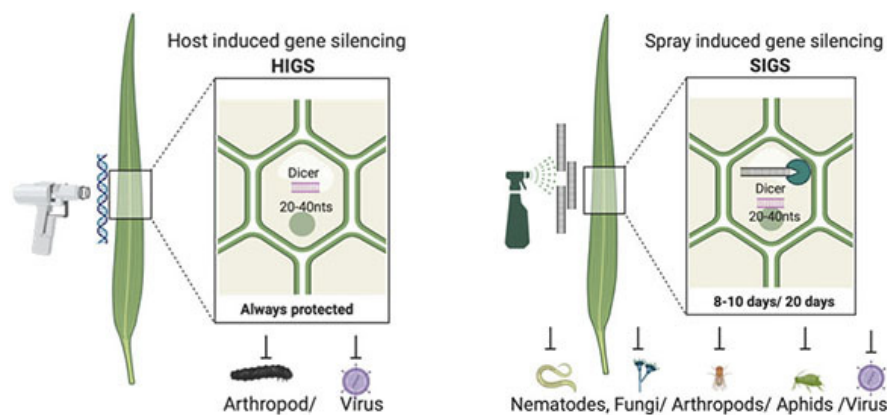


FIGURE 2

RNAi strategies to control tropical pathogens: (A) Host induced gene silencing (HIGS) and (B) Spray induced gene silencing (SIGS). Created with BioRender.com

Figura 2. Estrategias de ARNi para controlar los patógenos tropicales: A) Silenciamiento de genes inducido por el huésped (HIGS) y B) Silenciamiento de genes inducido por spray (SIGS). Creado con BioRender.com

The external application or spray dsRNA triggers the RNAi system creating a pest-specific control method. Such application is becoming an important global trend in the use of novel biopesticide (Table 1) (Mat-Jalaluddin et al., 2019). The external RNAi is known to be useful to control pests such as nematodes (Koch & Kogel, 2014; Lilley et al., 2012), arthropods such as *Drosophila melanogaster*, *Tribolium castaneum* (Yu et al., 2013), fungi such as *Sclerotinia sclerotiorum* and *B. cinerea* (McLoughlin et al., 2018b), and aphids such as the pea aphid *Acyrtosiphon pisum* and Greenbug *Schizaphis graminum*, targeting in both cases the salivary protein C002 (Yu et al., 2016). For example, the topical application of dsRNA to the surface of barley (*Hordeum vulgare* L.) leaves resulted in reduced growth of the pathogen *Fusarium graminearum*. In this case, the dsRNA targets the genes of fungal cytochrome P450, lanosterol and C-14 $\alpha$  demethylases. All of them required for fungal ergosterol biosynthesis and resulted in 50 % decrease of the corresponding transcript (Koch et al., 2016). Long dsRNA require processing by internal fungi Dicer like protein 1 (DCL1) in order to be active (Koch et al., 2016; Wang et al., 2016a; Wang & Jin, 2017). Another example was with the fungi *Botrytis cinerea* using dsRNA targeting DCL1 and DCL2 simultaneously (Wang et al., 2016b). Double-stranded RNA can become an important tool in the control of both *Sclerotinia sclerotiorum* and *Botrytis cinerea* fungi (McLoughlin et al., 2018b).



TABLE 1  
dsRNA causing gene suppression or lethality in multiple species

Organism	Target genes or sequences	Comments	Reference
<i>Caenorhabditis elegans</i>	unc-22, ref. 9, unc-54, ref.12, fem-1, ref.14, and hlh-1, ref.15, nuclear-localized GFP	First report of RNAi, Mimics loss-of-function mutation	(Fire et al., 1998)
<i>Globodera pallida</i>	FMRFamide-like peptides (FLPs), flp-1, -6, -12, -14, or -18)	Caused severe effects on nematode migration	(Kimber et al., 2007)
<i>Meloidogyne incognita</i>	Signal peptidase complex of the nematode cau	Soaking <i>M. incognita</i> with dsRNA resulted in reduction in established nematodes at 14 days post infection.	(Charlton et al., 2010)
<i>Meloidogyne artiellia</i>	chitin synthase	delay in the hatching of juveniles	(Fanelli et al., 2005)
<i>Radopholus similis</i>	Xylanase	reduced infection	(Haegeman et al., 2009)
<i>Fusarium graminearum</i>	Ergosterol biosynthesis genes (CYP51A, CYP51B, CYP51C),	Barley leaf tissue sprayed with a 791 nt long dsRNA (CYP3-dsRNA) efficiently inhibited the necrotrophic fungus <i>Fusarium graminearum</i>	(Koch et al., 2016)
<i>Botrytis cinerea</i>	DCL1 and DCL2	inhibits gray mold disease	(Wang et al., 2016b)
<i>Tribolium castaneum</i>	EGFP	It contains a systemic RNAi response. A 520bp fragment works better than a 69 bp. A higher concentration (4 ug ul <sup>-1</sup> ) works better than lower (1 ug ul <sup>-1</sup> )	(Miller et al., 2012)
<i>Acyrtosiphon pisum</i>	salivary protein C002	RNAi injection resulted in reduced life-span	(Mutti et al., 2006)
<i>Schizaphis graminum</i>	salivary protein C002	RNAi was provided in an artificial diet and resulted in lethality	(Zhang et al., 2015)

Cuadro 1. dsARN que causa la supresión de genes o la letalidad en múltiples especies.  
Fire et al. (1998), Kimber et al. (2007), Charlton et al. (2010), Fanelli et al. (2005), Haegeman et al. (2009),  
Koch et al. (2016), Wang et al. (2016b), Miller et al. (2012), Mutti et al. (2006), Zhang et al. (2015)

The spray of dsRNA on plant surfaces, so-called SIGS (spray-induced gene silencing), is also a powerful tool because it has proven to confer efficient crop protection against viruses (Wang & Jin, 2017). It is important to note that sprays of long dsRNA are capable of getting into the vascular plant system and also into pathogens such as fungi (Koch et al., 2016; Song et al., 2018). RNAi can move between cells through plasmodesmata and vascular bundles, and be used in exosomes for defense (Figure 3) (Cai et al., 2018a; Castillo-González & Zhang, 2018; Reagan et al., 2018). Furthermore, this new generation of RNA fungicides can last for 8-10 days when spraying directly to plants (Wang et al., 2016b), and above 20 days when using clay particles (Mitter et al., 2017). The exogenous application of dsRNA is also able to suppress plant's genes and provoke methylation of homolog sequences (Dubrovina et al., 2019).

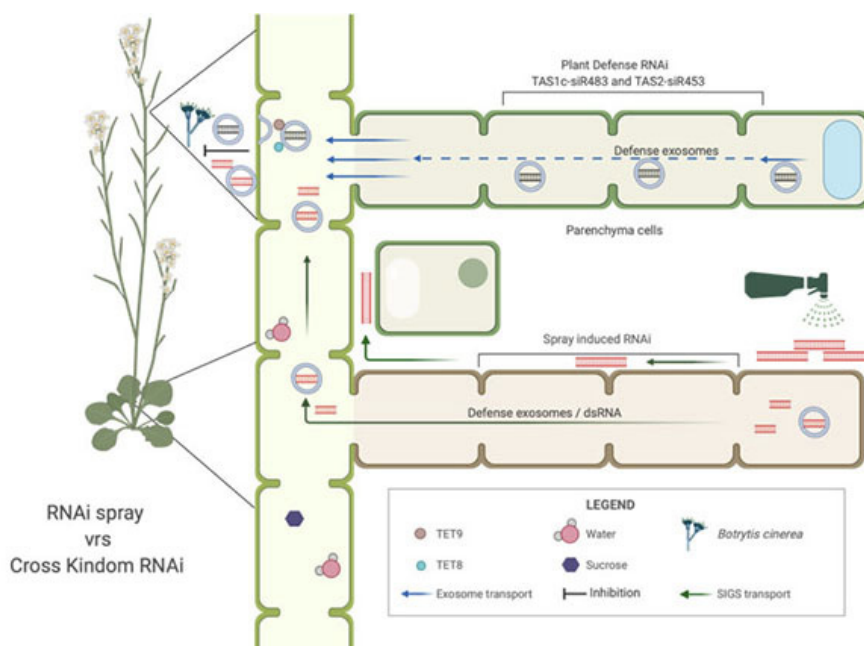


FIGURE 3

RNAi mobilization in the vascular plant system RNAi can move between cells through plasmodesmata and the vascular bundle and be used in exosomes for defense against pathogens Note the protein TET9 and TET8 key in the exosome defense mobilization Created with BioRendercom

Figure 3. Movilización de ARNi en el sistema vascular de la planta. El ARNi puede moverse entre las células a través de los plasmodesmos y el haz vascular y ser usado en los exosomas para la defensa contra los patógenos. Obsérvese la proteína TET9 y TET8, clave en la movilización de la defensa del exosoma. Creado con BioRender.com.

It is also important to note that the Organisation for Economic Cooperation and Development (OECD) had a conference on RNA interference (RNAi)-based pesticides concluding the safety of RNAi, based on the history of safe consumption of naturally occurring dsRNA in food and feed (Rodrigues & Petrick, 2020). The environmental impact of RNAi would also be low, since there is low potential for persistence in the environment including soil, sediment, and surface water compartments (Parker et al., 2019; Bachman et al., 2020).

SIGS faces three challenges: degradation, stability, and design of the RNAi. RNAi degradation depends on the target pest. For example, *Lygus lineolaris* and *Schistocerca gregaria* are both provided with RNA degrading enzymes or ribonucleases. It means that when applying the external double-stranded RNAs, it is degraded in their guts and consequently inactivates any response (Allen & Walker, 2012). Moreover, the protein StauC present in coleopterans is key to RNAi, which seems to be related to the intracellular trafficking of dsRNA (Yoon et al., 2018). RNAi efficacy will rely on the enzymatic degradation of the target organism (Wang et al., 2016a; Guan et al., 2018).

In regard to stability, the technique has been improved to last longer. With the use of clay particles of 45 nanometers in diameter, a resulting 20-days protection against viruses has been specifically shown in the case of the plants *Vigna unguiculata* ssp. *unguiculata* (cowpea) and *Nicotiana tabacum* cv. *xanthi* against the virus pepper mild mottle virus (PMMoV) and cucumber mosaic virus (CMV) strain 20740 (Mitter et al., 2017). Another strategy to address an enhanced response, could also be in formulations such as nanoparticles of chitosan (Mysore et al., 2013; Dhandapani et al., 2019) or guanylate polymers (Christiaens et al., 2018). Furthermore, a protein capsid such as virus-like particles (VLPs) can be linked to the dsRNA to stabilize it, avoid degradation, and allowing further purification (Fang et al., 2017). The technology is already available and is being used to control insects such as ants by RNAgri. SynBio strategies such as using bacteriophage phi6 to increase stability are also an option (Niehl et al., 2018). Another stability limitation of SIGS is



the dependence of external application in absence of an internal mechanism of amplification, resulting in protection for about 8-days in the case of *Triticum aestivum* sprayed with 400 ng dsRNA targeting *Myo5* gene of *Fusarium asiaticum* (Song et al., 2018).

The design of the dsRNA is also an important variable to consider since long dsRNA results in better efficiency compared with siRNA in *Tribolium castaneum* (Yoon et al., 2018). Long dsRNA (200-500nts) also works better in controlling *Fusarium* targeting *cyp51* genes (Höfle et al., 2020). The design can be achieved by studying the genome of the target pest (Wang et al., 2011), and it can be chemically synthesized allowing a first testing analysis or proof of concept (Genolution Inc., Seoul, Republic of Korea). Therefore, the selection of gene targets is also relevant for each case scenario.

## POTENTIAL PESTS TO TARGET WITH THIS SIGS

The spray of RNAi can become a tool to control tropical pathogens, especially if there is a proven model or genomic information available. For example, *Phytophthora infestans* in potato (McLoughlin et al., 2018a), *Fusarium oxysporum* in banana (Mumbanza et al., 2013), and coffee rust *Hemileia vastatrix* (Cristancho et al., 2014). In the case of *F. oxysporum*, targeting the pathogenicity genes such as FMK1 and SNF1 that are related to root penetration, and others such as FRP1, XInR, FOW2, SGE1, and SIX, results in non-pathogenic strains at all (Thatcher et al., 2016). Otherwise, targeting the host genes such as AF2 and PRX33 that are related to the susceptibility to *F. oxysporum*, could also represent a strategy in the future (Lyons et al., 2015). In the case of coffee rust, the model works in wheat rust *Puccinia striiformis* f. sp. *tritici* genes PtMAPK1, PtCYC1, and PtCNB, which could be used as RNAi targets as well (Panwar et al., 2013).

## CONCLUSION

Achieving novel technologies, such as spray RNAi that can reduce the impact on the environment produced by farming, could be a sustainable solution for the high demand of food required for the future demography.

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## NOTES

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## ALTERNATIVE LINK

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