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Validation of the ST3x1 Step Test as an estimator of peak VO_2 in adults with cardiovascular risk factors

Validación del Test de Escalón ST3x1 como estimador del VO_2 pico en adultos con factores de riesgo cardiovascular

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Abstract

Objective: To validate a tool for assessment and control of functional capacity and peak oxygen uptake.

Methods: A transversal, correlational study was conducted in which 111 subjects (49.81 ± 11.16 years) were evaluated, their body mass index (BMI) was 31.42 ± 4.07 , classified with moderate and high cardiovascular risk, according to the American Association of Cardiovascular and Pulmonary Rehabilitation. Its peak oxygen uptake was measured directly and also through the Step Test 3x1 (ST3x1).

Results: Peak oxygen uptake in ST3x1 corresponded to $28.54 \text{ ml} \cdot \text{kg}^{-1} \cdot \text{min}^{-1}$ and direct measurement at $28.14 \text{ ml} \cdot \text{kg}^{-1} \cdot \text{min}^{-1}$, with a "strong positive" Pearson correlation $r = 0.81$, Student n/s $p = 0.14$

Conclusion: ST3x1 is a valid alternative to estimate peak oxygen uptake in the group studied, and can be used in mass public health physical activity programs.

Keywords: peak oxygen uptake, ergometry, step test.

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Resumen

Objetivo: Validar una herramienta de estimación y control de la capacidad funcional y el consumo de oxígeno pico.

Materiales y métodos: Se realizó un estudio transversal, correlacional donde se evaluaron a 111 sujetos (49.81 ± 11.16 años), su índice de masa corporal (IMC) fue de 31.42 ± 4.07 , clasificados con riesgo cardiovascular mediano y alto, según la American Association of Cardiovascular and Pulmonary Rehabilitation. Se midió su consumo pico de oxígeno en forma directa y también a través del Step Test 3x1 (ST3x1). Resultados: El consumo de oxígeno pico en ST3x1 correspondió a $28.54 \text{ ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$ y en la medición directa a $28.14 \text{ ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$, con una correlación de Pearson fuerte positiva $r = 0.81$, Student n/s $p = 0.14$.

Conclusión: ST3x1 se presenta como alternativa para estimar el consumo de oxígeno pico en el grupo estudiado, pudiendo ser usado en programas masivos de actividad física en salud pública.

Palabras clave: Consumo oxígeno pico, ergometría, step test.

INTRODUCTION

Aerobic exercise increases the integrated responses of the respiratory, cardiovascular and muscular systems (1). The direct measurement of peak oxygen consumption (VO_{2p}) is the gold standard in the evaluation of aerobic power or cardiorespiratory fitness (2), is the main component of fitness in health. This parameter can be assessed directly or indirectly, its determination is made in ergometers, which can correspond to a static bicycle, a treadmill or a simple step.

There is evidence that VO_{2p} is a potent predictor of risk of death from all causes, especially cardiovascular disease, both in people with a history of cardiac pathologies and in apparently healthy people (3). When VO_{2p} is decreased, a small increase close to $3.5 \text{ ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$ of O_2 (1 MET) translates into a reduction in mortality risk between 10% and 25% in men and women (4). Other studies have determined a series of links with health: a positive relationship between aerobic power and insulin sensitivity (5), greater presence of metabolic syndrome if VO_{2p} is decreased (6), against normal or higher levels they would have protective effect against the neuronal deterioration of advanced age (7); in general high values of this parameter is associated with a decrease in health expenditures (8).

The direct assessment of VO_{2p} is carried out with a procedure called cardiopulmonary ergometry (EGCP), in which the oxygen consumed and the carbon dioxide produced are measured by means of a device called "gas analyzer", obtaining an absolute value of oxygen consumption in liters, to then determine the relative value in $\text{ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$ of Oxygen (O_2), in human values between 12 and $90 \text{ ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$ of O_2 are presented as minimum and maximum references. Because this test requires expensive equipment and qualified personnel, its application is usually prohibitive for many groups or limited in its coverage and access. It is necessary to have alternatives (indirect) capable of covering a greater number of individuals who can benefit from their specialized diagnostic role. Government exercise programs usually use the 6-minute walk test (TM6) as a functional test to determine the impact of a rehabilitation process and interventions with physical activity. This test has some benefits, but at the same time, it shows methodological limitations in its application, its correlation with VO_{2p} is low, being important to know other proposals that can serve as assessment and control alternatives.

The American College of Sports Medicine (9) states that direct measurement of VO_{2p} cannot be performed in all cases, which is why other procedures have been developed to calculate VO_{2p}, these tests have been validated through the exploration of: a) The correlation between the direct measurement of VO_{2p} and the VO_{2p} obtained in a submaximal exercise. b) The correlation between the direct measurement of VO_{2p} and a level of effort achieved in a specific physical test.

The classic literature on ergometry and the manuals of the ACSM indicate equations that allow estimating the VO₂ consumed during arm and leg ergometry and during step tests (10). In the specific case of the step test multiply and add energy expenditure variables for the task of raising and lowering the step for one minute, obtaining a numerical value associated with the relative oxygen consumption for that particular effort, expressed in ml•kg⁻¹•min⁻¹ of O₂. Our observation allowed us to raise the hypothesis that this measurement could estimate VO_{2p} in untrained adults with cardiovascular risk factors, on the basis that these subjects would reach a maximum number of cycles of step ups and downs in one minute without being able to increase cycles in a hypothetical next minute.

The literature mentions the need to use incremental efforts up to the maximum effort in sections that can last at least one or two minutes (11), because of this last data referring to the use of stages or stages, the idea arises of adapting elements of a test proposed by Siconolfi (12) of submaximum step, with incremental stages and 1-minute pauses. Classic Balke studies linked to the tape protocol indicate that stages of 1 minute duration are within the possible time ranges for incremental ergometries (10).

The aim of the study is to validate ST3x1 as an alternative to estimate VO_{2p} in adult subjects of medium and high cardiovascular risk. The validation is through the contrast with a direct gas analysis, the “gold standard” for the measurement of this important parameter.

MATERIALS AND METHODS

Cross-sectional, correlational study. 111 subjects were evaluated (49.81 ± 11.16 years), 75.67% were male, their body mass index (BMI) was 31.42 ± 4.07, residents of the commune of Santiago, with medium and high cardiovascular risk, according to the American Association of Cardiovascular and Pulmonary Rehabilitation AACVPR (13). Sampling is not probabilistic for convenience, of consecutive cases until exceeding n = 100.

Regarding the ethical safeguards, the participants signed an informed consent. The Helsinki regulations for intervention with human beings were considered, together with the approval of the local Ethics Committee. The whole procedure was explained and once the consent was signed, the subjects underwent cardiopulmonary ergometry (EGCP) and ST3x1 in the Exercise Physiology laboratories of the School of Physical Activity Sciences of the University of Santiago de Chile and the University Saint Tomas of Santiago, during the years 2015 and 2016.

Process

The EGCP was performed using the Bruce protocol on the HP / CosmosMR Mercury model, with direct gas analysis through the CortexMR model Meta Max 3B. Forty-eight hours later the ST3x1 was performed, using a Step Reebok™ adjustable height, the height was defined as 0.2 meters for women and 0.25 for men.

The ST3x1 consisted of 3 progressive efforts of 1 minute duration, a 1 minute pause between efforts, the first minute of exercise consisted of going up and down the step with both feet (rise of left foot, rise of right foot, fall of foot left, right foot drop, counts as 1 cycle) with a speed of 20 cycles per minute marked with a metronome, plus a 1 minute pause; a second minute of effort at 32 cycles per minute followed by a second 1 minute pause; to finally carry out the third effort at the highest possible speed counted in cycles of climbs to the step. If a subject could not complete the repetitions requested in the first two phases, it was considered the best mark in cycles, being registered as the frequency of ups and downs (f), data that will be used next to the constants and height of the step in meters to calculate the final result that defines the VO_{2p} .

Chart 1. Summary of the Step Test 3x1 (ST3x1)

Stage	Time	Cycles min.	Metronome
I	1 minute	20	80
Pause	1 minute	---	---
II	1 minute	32	128
Pause	1 minute	---	---
III	1 minute	maximum	---

Source: data tabulated by the authors.

The equation and the variables proposed to determine the VO_{2p} correspond to the horizontal component (H), vertical component (V), component of basal energy expenditure (R):

$$VO_{2p} = H + V + R$$

In the case of step tests, the H - V component is used and the R component is already included in H + V, the equation being formed as follows:

$$VO_{2p} = (f \times 0.35) + (f \times ht \times 2.4)$$

F = frequency in cycles of steps up-down the step

ht = step height in meters with a recommended height between 0.2 and 0.3 meters

0.35 = constant of regression to convert the minute steps in $ml \cdot kg^{-1} \cdot min^{-1}$ of O_2 .

2.4 = energy expenditure to go up and down one step in $ml \cdot kg^{-1} \cdot min^{-1}$ of O_2 .

Statistical treatment

For the analysis and synthesis of the data, the Stata / IC 15.0 for Mac software (64-bit Intel) was used.

After determining the measure of central tendency and standard deviation of the variables "sex" (sex of the participants), "age" (age of the participants), "BMI" (body mass index), we proceeded to perform the analytical statistics of the central variables; the Kolmogorov-Smirnov test was applied to determine normality "Step" (ST3x1) and " VO_2 ". Both variables behaved normally, so it was decided to apply the t test (Student's test) to observe if there were significant differences between means, Pearson's correlation test and Lin's concordance test, the latter to determine the relationship between the variables and to see if there is an agreement between the evaluation carried out indirectly and directly, respectively.

RESULTS

Chart 2 shows the data relative to the characteristics of the sample constituted by values of mean in: 75% males; age 49.8 years; IMC 31.4; VO_{2p} 28.1 $ml \cdot kg^{-1} \cdot min^{-1}$ (direct measurement); ST3x1 28.5 $ml \cdot kg^{-1} \cdot min^{-1}$ (estimation with step).

Chart 2. Description of the variables studied on a total of 111 observations (N) 75% was found to be male, the other variables are shown in the table, age, BMI, VO₂, ST3x1.

Variable	N	Media	DS
Age (years)	111	49,81	11,16
BMI (weight/height ²)	111	31,42	4,07
ST3x1 (ml•kg ⁻¹ •min ⁻¹)	111	28,54	3,85
VO ₂ (ml•kg ⁻¹ •min ⁻¹)	111	28,13	5,05

Source: data tabulated by the authors.

Chart 3 presents the application of the t-test, determining that there are no statistically significant differences between the direct

measurement and the estimation with step in regard to the value of VO_{2p}. In turn Chart 3 shows a strong positive correlation ($r = 0.87$), between the two forms of measurement.

Chart 3. Central statistics of the study. Pearson correlation, comparison of means of the t-test, Lin's concordance

Test	Statistical
Pearson	$r = 0,8123$
Prueba t	$t = 1,45$ ($p = 0,1499$)
Lin	$\rho_c = 0,780$

Source: data tabulated by the authors.

Figure 1. expresses in graphic form the dispersion and central tendency of both tests.

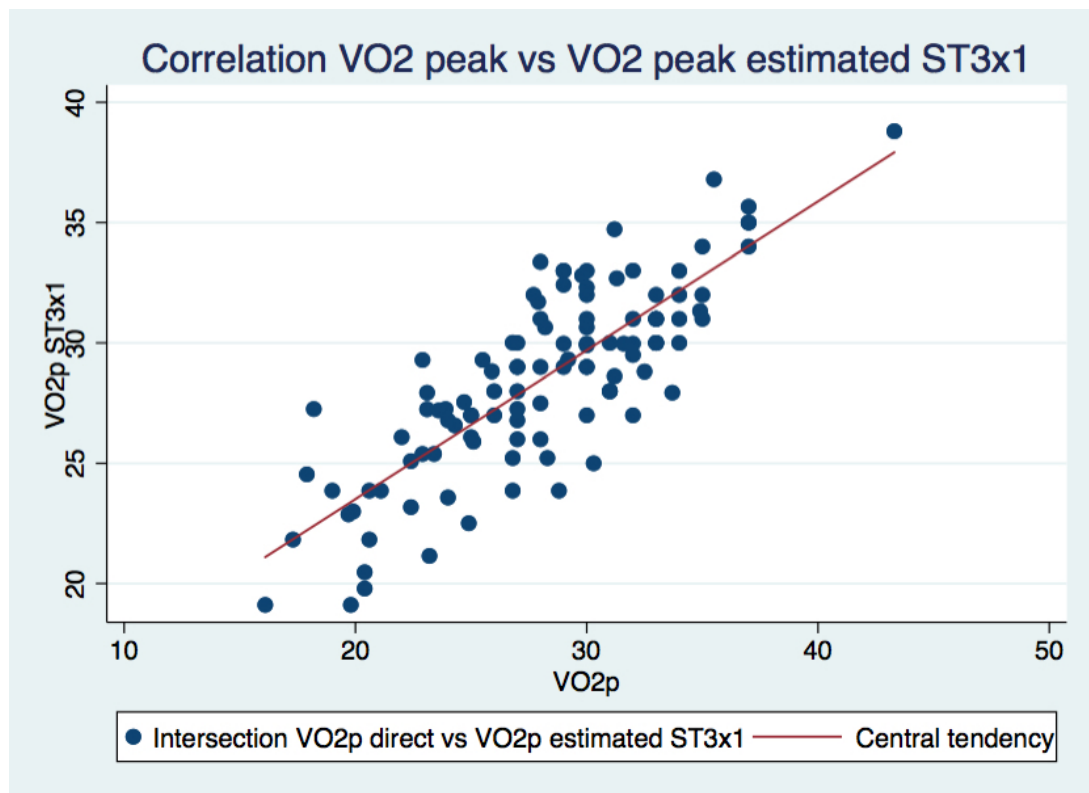


Figure 1. Direct VO_{2p} and VO_{2p} estimated with ST3x1. Dispersion and central tendency.

DISCUSSION

The main result of this study was to define the relationship between direct measurement and ST3x1, these results reveal that it is possible to estimate VO_{2p} in adults of medium and high cardiovascular risk, untrained, of low and medium aerobic condition, through a protocol that combines elements and fundamentals of the step test of Siconolfi and Step Test, applying the equation of the ACSM for one minute of effort, considering the characteristics of a simple, fast and safe test. The Sinconolfi test was recommended for VO_{2p} assessment in men and women from 19 to 70 in their original proposal, validated through its high correlation with a submaximal cycloergometer protocol (12). Subsequently, a classification of sedentary and active subjects was proposed through the performance in this test, which allows classifying the subjects in severe sedentary, moderate sedentary, active and very active, according to the level reached in the test (14).

Despite the large number of equations for predicting $\text{VO}_{2\max}$, each has specificity in a given population (15), as is the case of the equation used in this study. The high correlation and the moderate standard error found in the present study, showed that the VO_{2p} can be estimated from the maximum energy production during 1 minute, with the use of a couple of previous cycles of preparation as input in hot. It is described that the more demanding the rate of abrupt exercise is the increase in oxygen consumption. In a classic study (16) it was possible to define that after a warm-up 50% of the maximum oxygen consumption VO_{2p} was reached after 1 minute of hard exercise.

An important factor to consider is the existing evidence on the use of the step exercise, in one study (17) less than 0.5% of the average difference

between the peak oxygen consumption values measured on treadmill was shown, compared to a test of step. Another research group led by Shepard (18) showed little variation between the step test procedures and the procedures on the treadmill (19). The evidence has determined the step exercise as an activity that delivers higher VO_{2p} values than those obtained in a cycloergometer (20), but with greater similarity to that documented in comparisons between treadmill vs cycle-ergometer (21), in the same line a process of step training produces improvements in VO_{2p} , being valued with the most used and recognized exercise "gold standard" such as treadmill ergometry, being therefore step measurements and also its use as a training medium, a transferable alternative to the general aerobic condition and not only transferable to the specific action of the exercise of going up and down a step (22).

The subjects of this research were chosen to represent adult population of cardiovascular risk; the results can be compared in population of subjects with similar characteristics. The validity of this protocol is unknown in sick subjects, children, young people and well-trained athletes, leaving open the need to test and adapt this pilot test in these groups, as well as in this same group but with a larger sample.

The characteristics and references of this type of test endorse security levels for its application, even though we believe it is important to deepen with revisions and new studies in the line of risk determination, safety measures and relative and absolute contraindications for this test.

CONCLUSIONS

The ST3x1, when contrasted with a cardiopulmonary Ergometry in incremental test, showed a strong positive correlation $r = 0.81$.

These results allow us to conclude that ST3x1 can be used as an alternative to estimate VO_{2p} in adults of medium and high cardiovascular risk, which seeks to validate ST3x1 in this group. Its use is proposed in physical activity programs in public health.

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