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Un Modelo Basado en Reglas de Asociación para la Extracción de Información en Datos de Secuencias de Proteínas

An Association Rule Based Model for Information Extraction from Protein Sequence Data

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I. INTRODUCTION

Scientists have studied the complex process that determines the structure, properties and function of proteins for decades; however, such processes and mechanisms about protein folding and the prediction of secondary structures still remain unknown. Predicting a protein secondary structure consists of the classification of the amino acids in a sequence as either helices (H) or sheets (E) or coils (C).

Secondary structure prediction could be studied as a machine learning problem by performing either classification or pattern recognition; classification is then based on the features of a protein sequence.

Secondary structure prediction methods can be categorized in four different generations [1]. The first generation was based on propensities of single residues, i.e., it was based on single amino acid propensities for finding a specific amino acid in a specific structural element; the methods developed by Chou and Pasman[2] and the method GOR developed by Granier et al[3] were among the most significant. Second generation methods were based on propensities of segments as opposed to isolated amino acids. Representative approaches are the work by Rooman and Wodak [4], Strelets [5] and the method called PREDATOR [6]. In the third generation, information from homologues sequences to the query sequence and state of the
Artificial machine learning methods were used. Among the representative approaches are Zvelebil[7], PHD[8] and PSI-PRED[9]. In fourth generation approaches, a matching between secondary and tertiary protein structure was used; in other words, information about 3D protein conformation was added to secondary structure predictive methods as in the work by Meiler and Baker [10].

In spite of the progress achieved by secondary structure prediction approaches, they have reached around 77% average prediction accuracy per residue in unknown protein sequences [11].

Since the 70’s, several approaches to solve the secondary structure prediction problem based on machine learning techniques have been proposed; support vector machines and neural networks have been successfully applied, obtaining similar results in terms of prediction accuracy.

Despite of good prediction showed by machine learning methods, the results given by some of them, especially those based on neural networks, are difficult to interpret. Therefore, some probabilistic models, which are easier to interpret, have been developed [12].

Figure 1 depicts a general model for secondary structure prediction based on sequence. The first step consists of potential conserved and interesting patterns taken from a sequence database. The next step consists of the extraction and definition of the patterns found in the first step and developing the model itself. Finally an optimized predictor is reported as an approach to solve the secondary structure prediction problem.

Accordingly, this paper focused on the development and implementation of a data mining technique for the extraction of protein sequence patterns. Specifically, the aim is the development of a data mining technique for association rule extraction (see figure 1). The focus is on the use of association rules as a method for extraction of secondary structure information from protein sequence. Therefore, instead of developing a secondary structure predictor, we explore the use of association rules as a basis to build good predictors. Thus, a framework to understand and study the association rules as a first step to build an accurate secondary predictor model is presented. Such questions have taken on increased practical significance with the realization that a lot of currently approaches to the secondary structure problem are associated with association rules or frequent items as a sequence to structure layer in the process.

Moreover some authors [1] believe that substantial improvement on the accuracy of secondary structure prediction methods can only be possible if better representations for the secondary structure features are found, instead of continuing applying different machine learning algorithms on the same set of profile-based features, which has shown to yield similar results in the past.

The rest of this paper is organized as follows. First, a short biological background necessary to understand the secondary structure prediction problem is presented. Then, the training dataset is described. Subsequently the proposed data mining approach is explained. Thus, the proposed data preprocessing, amino acid frequent pattern recognition and prediction models are described. Next the experimental framework and its results are discussed. Finally, some conclusions from this work are devised.

**II. BACKGROUND**

Proteins are formed from one or more amino acid sequences in a folding process in which a three-dimensional structure is
obtained. This three-dimensional structure is highly important because it helps to determine its function of the protein. In order to understand the structure and formation of proteins, it is convenient to consider four structural levels. Primary structure consists in the order of the amino acids in the sequence. Secondary structure contains regular components such as \( \alpha \)-helices, \( \beta \)-sheets and \( \beta \)-turns, where these types of structures contribute to the stabilization of protein folding. Tertiary structure where the elements of secondary structure are folded forming an almost solid compact structure that is stabilized by weak interactions. Quaternary structure consists of several polypeptides chains with tertiary structure that are joined by weak connections - non-covalent - to form a protein complex [16].

### III. ASSOCIATION RULES IN PROTEIN SECONDARY STRUCTURE PREDICTION

The proposed approach is based on the application of a data mining procedure on a sequence data set to discover amino acid patterns in association rules that characterize protein secondary structure. Those patterns will be the first information source to build a machine learning technique to predict protein secondary structure. The analysis of this model will give some insights about the use of association rules as a technique to build secondary structure predictors. Additionally, a framework to extract information from a biological dataset based on association rules is proposed.

It is important to notice that no heuristic or biological information is taken into account and only the information given by the association is used as a basis for building a secondary structure predictor.

Analyzing figures 1 and 2, it is observed that the developed predictor does not perform completely the sequence to structure layer.

#### A. DATA PREPROCESSING

Main biological databases have reliable and curated information that has been carefully found. The necessary guidelines and requirements to construct a data set for the training and verification of the methods of secondary structure prediction could be found in the literature [7, 8, 9, 13]. Based on these guidelines, some consensus criteria among all of them could be established; for example, it could be stated that protein sequence identity above 25%, structural homologues and transmembrane proteins should be avoided. On the other hand, well resolved crystal structures with a resolution better than 2.5 Å should be favored; in addition, the data set should be a representative subset of the known fold space.

In this work, the protein data set CB513 proposed by Cuff and Barton in 1999[13] and the data set SCOP-SFR developed by Birzele et al in December 2003 [14] are used. These protein data sets fit the requirements enounced as consensus criteria in the paragraph above; they also have the following characteristics. CB513 data set contains 513 protein chains with 84119 amino acids. The helix content is 34.5%, 22.7% sheets and 42.8% coils. The SCOP-SFR data set contains 940 protein chains with a total of 157813 residues distributed in 36.79% helices, 22.78% sheets and 40.42% coils.

Given that the amino acids of some common conformation as sheets can be quite distant from each other in the linear sequence, the creation of a window of interaction was necessary to analyze the interaction of amino acids in a sequence interval. The window size refers to the specific number of amino acids in the patterns that will be studied. In this work, a 20 amino acid window was generated; all the amino acids in the protein sequences of the data set were scanned using this window. In figure 3 an example showing the process followed to build a transaction table is depicted. In this example, for each amino acid of the sequence VLSEGEWQ five different transactions are created using a window of size four.

A binarization process on the data set was performed, given the fact that some algorithm that finds association patterns requires the data to be binary [17]. Then, a transaction table was built, where each amino acid \( g \) of each sequence VLSEGEWQ and a window of size four, creating five different sets.

![Fig. 3. Scanning of sequence VLSEGEWQ using a window of size 4.](image)

#### B. FINDING FREQUENT PATTERNS

The existence of consecutive patterns in amino acid sequences could be useful in identifying important characteristics in function and structure; such features could be based on chemical or evolutionary properties.

Although some secondary structure prediction methods such as the ones developed in [18] and [19] identified frequent patterns in protein data sets to be associated with specific structural states. In this work, similarly to the work developed in [1] and [14], an algorithm to discover association rules called A priori is used [20] to search consecutive items and amino acid patterns in the data sets CB513 and SCOP-SFR. Therefore, in the proposed approach, an implementation of the A priori algorithm based on prefix trees was used to organize the counters in the item sets [20].

The main aim to perform an A priori search in the data set is to find a set of frequent words or N-grams that represent consecutive amino acids patterns of variable, with the objective...
of applying this codified information in the development of a predictive model of secondary structure prediction.

There are two main challenges to face in the classic implementation of the A priori algorithm. The first one is defining a frequency and support scoring; the second one is to preserve the order and sequence of the frequent patterns found.

There are two different approaches to define the frequency of a pattern in a data set: the occurrence of a pattern in the data set and the number of sequences in which the pattern is found. Given that the goal here is similar to the work in [14], namely, using the frequent patterns to structurally classify a region or residue around a pattern, it is convenient to count the occurrence of a pattern as an independent event without taking in account its successive occurrence in the same sequence [1]. Then, the frequency could be defined as follows:

\[
\text{freq}(p, D) = \sum_{s \in D} \text{number of occurrences of } p \text{ in } s. \quad (1)
\]

where \(D\) represents the protein data set, \(p\) represents the pattern and \(s\) the amino acid sequence.

In order to guarantee the application of equation (1), it is necessary to use the window concept given in section 3.A. Accordingly, every sequence of amino acids is divided into windows of size 20. A pattern found in one of these windows will be present in the next \(i\) windows, where \(i\) is the position of the window where the first element of the pattern is found (see figure 4). Then, it is guaranteed that a pattern that could be found more than once in a sequence will be counted as an independent event in each occurrence.

\[
I = 2a \ast \left(\sum_{j=1}^{N} p_j - 2 N a\right) \quad (2)
\]

where \(I\) is the maximum number of items in a transactional file, \(a\) is the window size, \(p_j\) is the size of protein \(j\) and \(N\) is the number of proteins in the data set. Equation 2 determines the maximum number of items in a transactional file with the features described.
in this work. Even in a realistic experiment the maximum number will not be achieved, because one of the 20 amino acids will be repeated in a data set. This equation is very important because it proves the feasibility of the proposed approach, showing that the number of items in a transactional file will not be so high to make the computations unfeasible.

The association rules we are interested in have the following structure: the left side of the implication represents an amino acid sequence, and the right side represents the classes each amino acid of the sequence belongs to.

\[ A_1 A_2 A_3 \ldots A_{n-1} A_n \rightarrow C_1 C_2 C_3 \ldots C_r \ldots C_n \]  

(3)

In the experimental framework, a significant amount of experiments were run to test the performance of the developed models (section D). As a particular case, by running the algorithm with a minimum support equal to 0.1% and a confidence of 50%, 287 association rules, that satisfied the structural requirements previously defined, were generated.

D. PREDICTION MODELS

A prediction model based on association rules was developed. The use of association rules as a basis for secondary structure prediction is presented in the next section. A predictor model based on association rules is described in section C.2 and the details to develop a neural network model are presented in section C.3.

The proposed model was implemented in order to automate the training and prediction phase of the model.

D.1 An Evaluation Patterns

The evaluation pattern is based on the hypothesis that the classification of an amino acid in its secondary structure should depend on the interactions of such amino acid with other amino acids in the protein chain. Then, it is important to generate a model that takes into account the patterns found from the association rules.

Figures 7 shows all the possible combinations with a maximum size of 3, in which amino acid E situated in position 4 is involved in an interaction with other neighbor amino acids.

An analysis model was generated, in which, for every amino acid in a sequence, all possible combinations of the interactions between this residue and the other amino acids were evaluated. The number of combinations depends on the window size and the highest size of any association rule generated by the A priori algorithm.

D.2 Association Rule Model

An association rule-based model to secondary structure prediction based on two main features was developed. The first feature of the model in the training phase is redundancy elimination and the second one is an effective indexing of ARs. These features are characterized by the use of a hash table. The key will be the association rule antecedent, and the value will be the association rule consequent concatenated with the confidence ζ of the implication, see figure 8.

In the verification phase the proposed model was used as a predictor based on the following four steps i) Reception of a query sequence ii) Pattern evaluation iii) Verifying the existence of the patterns in the hash table iv) Decision making using a voting system v) Secondary structure prediction, where steps from ii) to v) constitute an iterative process over all the amino acids of the query sequence.

Step ii) returns a set of patterns corresponding to all the combinations, where the studied amino acid has an interaction given a size window. Then the existence of each one of these patterns is studied in the hash table; if it exists, a voting system will be used to accumulate the contribution of each pattern given the associate confidence. Finally, the contributions of each class are accumulated and the class with the highest contribution is reported.

<table>
<thead>
<tr>
<th>KEY</th>
<th>VALUE</th>
</tr>
</thead>
<tbody>
<tr>
<td>A_{i,j}A_{i,j+1}A_{j+2}A_{j+3} \ldots A_{n-1}A_n</td>
<td>C_{i,j-1} \ldots C_{i,j} \ldots C_{i,n} + ζ</td>
</tr>
<tr>
<td>A_{i,j}A_{i,j+1}A_{j+2}A_{j+3} \ldots A_{n-1}A_n</td>
<td>C_{j-1} \ldots C_{j} \ldots C_{n} + ζ</td>
</tr>
<tr>
<td>\ldots \ldots \ldots \ldots</td>
<td>\ldots \ldots \ldots</td>
</tr>
<tr>
<td>A_{p,i}A_{p,i+1}A_{p,i+2} \ldots A_{p,n}</td>
<td>C_{p,i}C_{p,i+1} \ldots C_{p,n} + ζ</td>
</tr>
<tr>
<td>A_{p,i}A_{p,i+1}A_{p,i+2} \ldots A_{p,n}</td>
<td>C_{p,i}C_{p,i+1} \ldots C_{p,n} + ζ</td>
</tr>
</tbody>
</table>

Fig. 8. Indexing hash table

D.3 Neural Network Model

A prediction model based on a neural network was developed. The architecture of the neural network is as follows: 60 neurons in the input layer, where each set of 20 consecutive neurons corresponds to an amino acid representation after performing a
bilinearization process, a variable number of nodes in the hidden layer, and 9 neurons in the output layer, where each set of 3 consecutive neurons corresponds to the classes of input amino acids (see figure 9).

The neural network was developed to work with association rules with a maximum size of three amino acids for the evaluation pattern process (section D.1).

The verification phase of the proposed model is based on the following steps. i) Reception of a query sequence ii) Pattern Evaluation iii) Obtaining results by the neural network iv) Decision making using a voting system v) Secondary structure prediction, where steps from ii) to v) constitute an iterative loop over all the amino acids in the query sequence.

Step ii) returns a set of patterns corresponding to all the combinations, where the studied amino acid has an interaction given a size window. For these patterns, the neural network is used to get a prediction and a voting system is used to accumulate the individual contribution of each pattern. Finally, the contributions of each class are accumulated and the class with the highest contribution is reported.

![Fig. 9. Architecture of the developed neural network](image_url)

**IV. EXPERIMENTATION**

The main goals of the experiments that were carried out were: i) To evaluate the association rules as a method for extraction of secondary structure information from protein sequence in order to build a sequence - structure layer; ii) To analyze and compare the results obtained using the CB513 data set and the SCOP-SFR data sets in a prediction experiment; iii) To clarify the limitations and advantages of using association rules in secondary structure prediction iv) To study the behavior of simple secondary structure predictors based on association rules.

**A.1 Experimental framework**

In order to accomplish such objectives, a set of experiments were carried out; eight of them are reported in table I. Id identifies a specific running experiment. The parameters of each experiment are as follows:

Id 1: A support of 0.2% and a confidence of 50% were used as the A priori algorithm parameters, 820 association rules extracted from CB513 were used, and the models were tested on the data set CB513.

Id 2: A support of 0.2% and a confidence of 50% were used as the A priori algorithm parameters, 820 association rules extracted from CB513 were used, and the models were tested on the data set SCOP-SFR.

Id 3: A support of 0.2% and a confidence of 50% were used as the A priori algorithm parameters, 424 association rules extracted from SCOP-SFR were used, and the models were tested on the data set CB513.

Id 4: A support of 0.2% and a confidence of 50% were used as the A priori algorithm parameters, 424 association rules extracted from SCOP-SFR were used, and the models were tested on the data set SCOP-SFR.

Id 5: A support of 0.2% and a confidence of 50% were used as the A priori algorithm parameters, 1244 association rules extracted from CB513 and SCOP-SFR were used, and the models were tested on the data set CB513.

Id 6: A support of 0.2% and a confidence of 50% were used as the A priori algorithm parameters, 1244 association rules extracted from CB513 and SCOP-SFR were used, and the models were tested on the data set SCOP-SFR.

Id 7: A support of 0.2% and a confidence of 25% were used as the A priori algorithm parameters, 3643 association rules extracted from CB513 and SCOP-SFR were used, and the models were tested on the data set CB513.

Id 8: A support of 0.2% and a confidence of 25% were used as the A priori algorithm parameters, 3643 association rules extracted from CB513 and SCOP-SFR were used, and the models were tested on the data set SCOP-SFR.

Additionally, A₇ represents the accuracy of the studied model given a confusion matrix and A₁ represents the accuracy of the model, only taking into account classes α and C.

**A.2 Experimental results**

Analyzing the results of the experiments, it can be stated that the models have the characteristics of secondary structure prediction models belonging to the first and second generations. In this work, experimentally the results of Rost and Sander [29] were proved. Specifically, even though great efforts were made to increase the prediction accuracy of the association rule methods, they will only reach approximately 65% prediction accuracy. Methods studied in this paper have a prediction accuracy around 53%, which could be improved adding some characteristics used in first and second generation methods. The main problem of using association rules is the poor accuracy of β-sheet prediction, which is slightly better than random. Another problem was the short number of predicted helix and sheet elements represented by association rules; this is understood by the fact that a short number of association rules were generated with respect to the possible combinations in an alphabet of 20 amino acids.
<table>
<thead>
<tr>
<th>ID</th>
<th>ASOCIATION RULES (AR)</th>
<th>NEURAL NETWORKS</th>
<th>AR + NN</th>
<th>NN ERROR TRAINING</th>
</tr>
</thead>
<tbody>
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<td>C β α 25182 1068 9743</td>
<td>C β α 25182 1068 9743</td>
<td>C β α 25182 1068 9743</td>
<td>A₀= 53.66% Aₐ= 64.34%</td>
</tr>
<tr>
<td></td>
<td>β 10082 3177 5700</td>
<td>β 10082 3177 5700</td>
<td>β 10082 3177 5700</td>
<td>A₀= 52.89% Aₐ= 64.67%</td>
</tr>
<tr>
<td></td>
<td>α 11178 1102 16862</td>
<td>α 11178 1102 16862</td>
<td>α 11178 1102 16862</td>
<td>A₀= 51.76% Aₐ= 64.37%</td>
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<td>C β α 41588 1872 17040</td>
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</tr>
<tr>
<td></td>
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<td>β 17654 5693 11000</td>
<td>β 17654 5693 11000</td>
<td>A₀= 52.55% Aₐ= 64.41%</td>
</tr>
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<td></td>
<td>α 20303 2224 32285</td>
<td>α 20303 2224 32285</td>
<td>α 20303 2224 32285</td>
<td>A₀= 51.96% Aₐ= 64.76%</td>
</tr>
<tr>
<td>3</td>
<td>C β α 26253 806 8934</td>
<td>C β α 26253 806 8934</td>
<td>C β α 26253 806 8934</td>
<td>A₀= 52.62% Aₐ= 64.2%</td>
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<td>α 28639 1807 3036b</td>
<td>α 28639 1807 3036b</td>
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<td>α 11178 1125 14764</td>
<td>α 11178 1125 14764</td>
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<td>β 17654 5826 10867</td>
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</tr>
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<td>α 20303 2224 32260</td>
<td>α 20303 2224 32260</td>
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</tr>
<tr>
<td>7</td>
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<td>C β α 5771 4632 25590</td>
<td>C β α 5771 4632 25590</td>
<td>A₀= 44.28% Aₐ= 47.99%</td>
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<tr>
<td></td>
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<td>β 10082 3343 5624</td>
<td>β 10082 3343 5624</td>
<td>A₀= 43.67% Aₐ= 45.41%</td>
</tr>
<tr>
<td></td>
<td>α 933 2682 25452</td>
<td>α 933 2682 25452</td>
<td>α 933 2682 25452</td>
<td>A₀= 46.7% Aₐ= 51.28%</td>
</tr>
<tr>
<td>8</td>
<td>C β α 9688 7513 43299</td>
<td>C β α 9688 7513 43299</td>
<td>C β α 9688 7513 43299</td>
<td>A₀= 45.47% Aₐ= 50.15%</td>
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<td></td>
<td>β 1165 10220 22469</td>
<td>β 1165 10220 22469</td>
<td>β 1165 10220 22469</td>
<td>A₀= 45.23% Aₐ= 50.15%</td>
</tr>
<tr>
<td></td>
<td>α 1724 4942 48146</td>
<td>α 1724 4942 48146</td>
<td>α 1724 4942 48146</td>
<td>A₀= 46.7% Aₐ= 53.43%</td>
</tr>
</tbody>
</table>
The experiments carried out using the two data sets produced similar results (Id 1 to 6). It is important to mention that the SCOP-SFR data set was reported more recently than CB513.

Association rules are a good methodology to extract structure information from a protein data set to build an accuracy predictor, because from the experiments it can be stated that the association rules keep general information from a set of data representing the known fold space. In experiments 1 to 6, the accuracy is almost the same, even though different data sets were used in the training process. Moreover, comparing the results of experiments 5 and 6, with experiments 1 to 4, it is clear that the amount of association rules does not determine the accuracy.

Association rules based models could be sensitive to the number of redundant information, for example, in experiments 7 and 8, the neural network training error is higher than 0.5. Even if the application of filters to avoid redundant information is an easy process, the definitions of the parameters of good association rules are difficult to get.

The extraction of secondary structure information from protein sequence using association rules could be thought of as independent of the data set, if such data set represents a known fold space and it does not produce redundant association rules.

V. CONCLUSIONS

In this work, a data mining technique for association rule extraction was developed. The focus is on the use of association rules as a method for extraction of secondary structure information from protein sequence. Despite of the limitations of association rules as predictive methods, they are a significant source of information for extraction of secondary structure information from protein sequence in order to build a sequence — structure layer. This has been shown in different studies, where accuracy prediction methods have been developed based on frequent patterns as part of a sequence — structure layer. Additionally, it is important to mention that association rules give some insights about secondary structure prediction features to be used in learning algorithms.

The data mining methodology developed in this research is feasible and useful in the exploration of information from protein sequence.

The use of hash tables provides an excellent computational technique to model association rules, because the number of collisions is reduced to zero, it avoids the data redundancy and the insertion; in addition, erasing and search of association rules is performed efficiently.

The fixed size sliding window to study association rules is a limitation of these secondary structure prediction methods, but it highly decreases the computational resources to perform an A priori algorithm.

In this work, the problems with first and second generation methods are experimentally explored, clarifying the advantages and limitations of using association rules. As a conclusion, association rules are good to support secondary structure prediction methods, but they are limited predictors by themselves.

Future work will focus on the building of a four generation predictor with a sequence-structure layer based on association rules to experimentally evaluate the contribution of this approach to the accuracy of protein secondary structure prediction.

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Escuela de Ingeniería de Sistemas

Pregrado

✧ Ingeniería de Sistemas e Informática.

Áreas de Investigación

✧ Ingeniería de Software.
✧ Investigación de Operaciones.
✧ Inteligencia Artificial.

Posgrado

✧ Doctorado en Ingeniería-Sistemas.
✧ Maestría en Ingeniería de Sistemas.
✧ Especialización en Sistemas con énfasis en
  > Ingeniería de Software.
  > Investigación de Operaciones.
  > Inteligencia Artificial.
✧ Especialización en Mercados de Energía.

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