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Universidade Estadual de Maringá
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Importance of agronomic traits in the individual selection process of sugarcane as determined using logistic regression

Bruno Portela Brasileiro1, Luiz Alexandre Peternelli1, Luís Cláudio Inácio da Silveira2 and Márcio Henrique Pereira Barbosa2

Departamento de Estatística, Universidade Federal de Viçosa, 36570-000, Viçosa, Minas Gerais, Brazil. 2Departamento de Fitotecnia, Universidade Federal de Viçosa, Viçosa, Minas Gerais, Brazil. *Author for correspondence: E-mail: brunobiogene@hotmail.com

ABSTRACT. The aim of this study was to evaluate the importance of agronomic traits during the selection of sugarcane (Saccharum spp.), as well as to evaluate the potential for using logistic regression and decision trees to identify the best genotypes. A total of 7,719 seedlings of 128 half-sib families were evaluated during the first test phase (T1), and 659 clones were selected for the second (T2). Logistic regression was applied to both populations. The number of stalks, bud prominence and length of the internode were the most important selection traits in the T1 population. The plant vigor, stalk diameter and stalk height were the most important selection traits in the T2 population. There were 174 individuals selected when using the mass selection method in T1 and 113 individuals in T2, whereas a logistic regression selected 153 individuals in T1 and 79 in T2. The apparent error rates of the logistic models fitted to the selections in T1 and T2 were 0.8 and 5.10%, respectively. By using a decision tree, 67 clones were selected among the most productive ones in phase T2. Therefore, the formulation of decision trees is highly applicable to identifying potential clones during the initial phases of breeding programs.

Keywords: Saccharum spp., decision tree, crop breeding.

Introduction

During the initial phases of sugarcane (Saccharum spp.) genetic breeding programs, the genotypes are not evaluated through replication. The plants are maintained in a single environment, and the best genotypes are visually selected (mass selection), i.e., based on the phenotype of the individuals (Oliveira et al., 2013). The main selection criteria adopted by breeders during mass selection are associated with traits that are components of production, such as the number of stalks and the stalk diameter and height (Oliveira et al., 2008; Zhou et al., 2011).

Although mass selection is routinely used during the initial phases of sugarcane genetic breeding programs, this type of strategy has been criticized due to its inefficiency because of the lack of replication and the lack of genotype-environment interactions (Barbosa, Resende, Bressiani, Silveira, & Peternelli, 2005; Stringer, Cox, Atkin, Wei, & Hogarth, 2011).

An alternative method applied to mass selection has been the selection of the best families, as recommended by Resende and Barbosa (2006), Stringer, Cox, Atkin, Wei, and Hogarth (2011) and
Oliveira et al. (2013), followed by visual selection of the best available genotypes from the best families. In visual selection, discarding or keeping an individual depends on a combination and magnitude of the production components (Zhou et al., 2011). However, the incidence of a certain disease, the prominence of the axial bud, the presence of a stalk pith, the occurrence of flowering, the plant vigor, fiber and sugar content, as well as other agronomically important traits may also influence decisions made by the breeder.

Studies of sugarcane have intensively explored path analysis to evaluate the effect of quantitative traits related to sugar and biomass yield (Espósito, Peternelli, Mendes, & Barbosa, 2012; Brasileiro, Peternelli, & Barbosa, 2013; Silveira et al., 2015). However, the effects of quantitative, binary and multicategorical traits on yield or on the breeder’s decision to select or eliminate a genotype based on probability cannot be simultaneously evaluated in this type of analysis.

The choice to select or reject a determined genotype is inherently a binary response. In this case, a logistic regression model may be applied to evaluate the effect or power of discrimination of each agronomic trait used as a selection criterion, as well as to calculate the probabilities of the selection of different individuals (Agresti, 2007). Zhou et al. (2014) demonstrated the potential for using a logistic regression model as a statistical decision support tool for selection among individual seedlings based only on production components during the initial phases of genetic breeding programs for sugarcane. Brasileiro et al. (2015) also noted the potential for logistic regression during seedling selection and the importance of qualitative traits in breeder decision-making.

The aim of this study was to evaluate the relative importance of agronomic traits during the mass selection process in sugarcane at different stages of a breeding program to deepen the understanding of yield components and qualitative agronomic traits, as well as to evaluate the potential of using logistic regression in the construction of decision trees to identify the best genotypes.

**Material and methods**

**Test phase 1 - T1**

The 128 half-sib families used in this study were produced from crosses made in 2010 at the Serra do Ouro Experimental Station, which belongs to the Universidade Federal de Alagoas and is located in the municipality of Murici, Alagoas State, Brazil. After acclimatization, the seedlings were sent to the Centro de Experimentação em Cana-de-açúcar (CECA) for use in the experiment. CECA belongs to the Universidade Federal de Viçosa in the municipality of Oratório, Minas Gerais State, and is located at 20°25’S latitude and 42°48’W longitude at an altitude of 494 m.

The 128 families were distributed in 7 experiments in a randomized block design with 6 replicates. Each experiment consisted of 20 families, and two of these families (RB011532 × ? and SP80-3250 × ?) were present in all of the experiments, which allowed them to be subjected to a combined analysis. The 840 evaluated plots consisted of 10 plants distributed along a 5 m long plant row with a spacing of 1.40 m, for a total of 8,400 plants.

**Phenotype evaluation in T1**

After the first cutting and already in the ratoon cane stage, 7,719 surviving plants from the 128 families were evaluated during the months of May and June 2012. The traits were evaluated per plant, and the stalk diameter (SD), stalk height (SH), number of stalks (NS), bud prominence (BP), internode length (IL), leaf architecture (LA), the presence of cracks (CR) and suckers (SU) were used as the selection criteria.

As noted by Brasileiro et al. (2015), the use of categorical data for yield components (SD, SH and NS) did not affect the quality of the logistic model. Therefore, due to the ease of classification by the breeder of the perceived stem diameter and plant height, the genotypes were classified as good diameter (SD = 1) and poor diameter (SD = 0), as well as tall plant (SH = 1) and short plant (SH = 0); the breeders had 25 years of work experience in clonal selection. In particular, for NS, a value of 1 was assigned to plants that had at least 6 stalks, as suggested by Pedrozo et al. (2008).

For all of the traits (except CR and SU), a value of 1 (one) was assigned if the plant was selected based on the trait, and 0 (zero) otherwise. For BP, large buds (> 3.5 cm) are undesirable in the mechanized planting process and received a value of 0. For the IL trait, plants with short internodes (< 8.0 cm) and unevenness were undesirable. For LA, it is important that genotypes with erect leaves are selected, due to the higher photosynthetic capacity of plants with this profile; therefore, the plants with erect leaves receive a value of 1. All of the classifications were performed by experienced breeders. For CR and SU, 1 was assigned in the presence of cracks and suckers, respectively, and 0 for plants that did not have cracks or suckers.
Test phase 2 - T2

Based on the application of Simulated Individual BLUP (BLUPIS) (Resende & Barbosa, 2006) and mass selection, 659 clones were selected from the best families identified in phase T1. The T2 phase was established at CECA in July 2012 in an augmented block design (ABD), with two cultivars as controls (RB867515 and SP80-1842) and 49 replicates. Each of the 757 plots consisted of one 4-m long plant row, with plants spaced 1.4 m apart. The ABD has proven to be effective in this final phase of experimentation in sugarcane (Souza, Peternelli, & Barbosa, 2006; Peternelli, Souza, Barbosa, & Carvalho, 2009).

Phenotypic evaluation in T2

Phenotypic evaluation of the 659 clones selected in the 128 families was carried out in the plant cane stage in May and June 2013. The NS, SD, SH, BP, CR, IL and LA traits were evaluated and used as selection criteria, as described above, as well as the presence of leaf hairiness (LH), the presence of smut (Ustilago scitaminea) (PS), flowering (FL), stalk pith (SP), hollow stalk (HS) and plant vigor (VIG).

For the NS, SD, SH, BP, IL and LA traits, a value of 1 (one) was assigned when selection was desirable based on each trait and 0 (zero) was assigned otherwise. For LH, CR, FL, PS, SP and HS, 1 was assigned to indicate the presence of leaf hairiness, stalk cracks, flowering, smut, stalk pith and hollow stalk and 0 for the absence of these features in the plants. For VIG, distinct values from 1 to 5 were assigned, with 1 = least vigor and 5 = greatest vigor. The scores were assigned by a technician with 25 years of experience in visual selection in the sugarcane genetic breeding program. Before making decisions about the genotypes to be selected, all of the genotypes present in the experiment were individually evaluated based on the aforementioned selection criteria. All of the clones selected by mass selection were planted in stage T2 along with the clones indicated by BLUPIS.

Mass selection

Mass selection was performed in phases T1 and T2 by a technician with 25 years of experience in visual selection in the sugarcane genetic breeding program. Before making decisions about the genotypes to be selected, all of the genotypes present in the experiment were individually evaluated based on the aforementioned selection criteria. All of the clones selected by mass selection were planted in stage T2 along with the clones indicated by BLUPIS.

Logistic regression

The logistic regression model constitutes a special type of generalized linear model developed for cases in which the response variable is categorical (binary or multinomial). Through a logistic model, the impact of the explanatory variables on the response variable may be evaluated (Agresti, 2007). Therefore, a logistic regression was applied with the aim of determining the importance of the agronomic traits in the mass selection process carried out in phases T1 and T2.

In model prediction, a cut-off point of 0.5 was adopted; i.e., individuals \( i = 1,2,3,...,n \) were selected if they exhibited a probability of selection above 0.5. The probability of selection was estimated using the following logistic regression model:

\[
\hat{p}_i = \exp \left( \sum_{j=0}^{k} \hat{\beta}_j x_{ij} \right) / \left( 1 + \exp \left( \sum_{j=0}^{k} \hat{\beta}_j x_{ij} \right) \right),
\]

where: \( \hat{\beta}_j \) = regression coefficients, \( x_{ij} \) = values of the explanatory variables (selection criteria) \( j \) in each individual \( i \), and \( K \) = the number of variables.

The apparent error rates of the regression models were calculated based on the number of individuals incorrectly classified by the logistic model in relation to the total number of plants evaluated in the experiment:

\[
AER = \frac{1}{N} \sum_{j=1}^{g} m_j / N,
\]

where: \( N = \) total number of observations, \( g = \) number of responses (Select = 1 or Discard = 0), and \( m_j = \) number of incorrect classifications in each response.
Logistic regression analyses were performed using R software (R Development Core Team, 2015).

Selection in T2 through a decision tree

For selection using a decision tree (Breiman, Friedman, & Olshen, 1984), a pre-selection of 10% of the individuals with the highest mean genotypic values for TCH or TSH was performed via REML/BLUP. The information from the traits evaluation of these clones was then used to implement a decision tree.

The data on tons of cane per hectare (TCH) and tons of sucrose per hectare (TSH) of the 659 clones were analyzed via REML/BLUP using the following model: 

\[ y = Xr + Zg + Wb + e, \]

where \( y \) is the data vector, \( X \) is the incidence matrix for the fixed effects, \( Z \) is the incidence matrix for the random genotypic effects, \( W \) is the incidence matrix for the fixed environmental effects of blocks, \( r \) is the vector of the fixed effects, \( g \) is the vector of the random genotypic effects, \( b \) is the vector of the fixed environmental effects of blocks, and \( e \) is the vector of random errors. The variance components \( \sigma^2_g \), \( \sigma^2_b \) and \( \sigma^2_e \) correspond to the genotypic variance, block variance, and residual variance, respectively.

The REML/BLUP mixed models were analyzed using SELEGEN software (Resende, 2007).

Results and discussion

All of the traits exhibited significant effects in the logistic regression model fitted to the mass selection applied in phase T1 (Table 1). Therefore, all of the evaluated traits have some influence on breeder decision-making.

According to the values of the odds ratios, a plant with more than 5 stalks has a 1.665 times greater chance of being selected than a plant with up to 5 stalks. If a plant has a bud that is not very prominent, its chance of being selected is 408 times greater than that of a plant with a large bud. A plant with a uniform internode has a 90 times greater chance of being selected compared to plants with non-uniform internodes. If the plant has an SD that is considered good, its chance of selection will be 85 times greater than that of a plant with a small stalk diameter. Finally, for SH, the chance of a plant with good height being selected is 77 times greater than that of a plant with shorter stature (Table 1).

Resende (2002) and Atkin, Dieters, and Stringer (2009) showed that the optimal strategy in sugarcane selection would be the prediction of genotypic effects (BLUP) at an individual level (or BLUPI). This procedure simultaneously uses information about both families and plants in the selection process. Alternatively, Resende and Barbosa (2006) proposed the BLUPIS method, by which it would not be necessary to obtain data from individual plants but instead from the entire family at only the plot level, as BLUPIS is highly correlated with BLUP of the family effects. Therefore, we recommend ranking the families by BLUP using the plot means for TCH, followed by selection of the best families and finally, the selection of genotypes via logistic regression from data at the individual level collected from the best families only.

In this case, we decided to eliminate these traits from the analysis for having no influence on breeder decision-making. A new model with the remaining traits was fitted (reduced model) and, according to the values of the odds ratios, the VIG, SD, SH, BP, SP and HS traits were the most important, in that order, in the mass selection process carried out in T2 (Figure 1).

According to the obtained Akaike information criteria (AIC) values, a better fit was observed in the reduced model (AIC = 205.32 for the reduced model vs. AIC = 214.54 for the full model). The smaller values of AIC reflect a better overall fit (Akaike, 1974) (Table 1). Therefore, the removal of traits that do not contribute to breeder decision-making contributed to a better final predictive model.

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According to the results, the greater importance of the NS trait in the individual selection process in T1 is evident. In fact, in T1, the best sugarcane genotypes can be selected based on the trait number of stalks, keeping in mind that its direct effect on tons of cane per hectare is high magnitude (Espósito et al., 2012; Brasileiro et al., 2013; Silveira et al., 2015). Pedrozo et al. (2008) observed that plants with fewer than 6 stalks in the T1 phase exhibit low yields in the T2 phase. They recommended the selection of plants with more than 5 stalks for the initial phase. However, other traits should also be taken into consideration in the selection process, such as SH, SD, IL and BP, to ensure that the plants meet the needs of the productive sector.

Due to the increased adoption of mechanized planting, the size of the axial bud has come to be of great importance in the selection process of new clones. The great size of the axial bud causes bud losses and difficulties in the mechanized planting process and creates a need for a greater quantity of buds per linear meter to establish the crop (Figure 2). Although a logistic regression can be used to obtain a model for individual selection in the best families, the main objective of this study was to quantify the relative importance of each trait in the selection process. The importance of these agronomic traits was used in the logistic models fitted to the mass selection carried out in phases T1 and T2.

The importance of these variables is easily explained because plants with greater vigor are the most interesting for breeders because they usually have high SN, SH and SD, aside from being disease-free. A plant with a large SD has a 9 times greater chance of being selected than a plant with a small diameter. A plant considered tall has a nearly 5 times greater chance of being selected than a plant of low stature. Plants with a small bud are nearly 5 times more likely to be selected than plants with a large bud, and plants with no stalk pith have a nearly 3 times greater chance of being selected than plants with a stalk pith (Table 1).

The importance of these agronomic traits was also subject to the influence of peculiarities inherent to T1 and T2. The NS, for example, was the trait of greatest importance in the mass selection applied in T1, yet it did not exhibit a significant effect in the logistic regression model fitted to the selection practice in phase T2 (Table 1). This can be explained by the fact that the phase T2 population exhibited less variability in the number of stalk traits. The selection of genotypes with a large number of stalks in T1 results in less variability in NS after the first selection cycle, as seen in Figure 3.

The fact that the genotypes in T2 were represented by 4 m linear plots also increases the difficulty of classifying individuals according to their tillering abilities. Due to this difficulty and the greater ease in classifying clones according to SD, in T2, SD had greater importance and influence on breeder decision-making.
Because of the difficulty in visually identifying the most productive clones in phase T2, we recommend counting the number of stalks and weighing a sample of 10 to 20 stalks per plot to obtain the TCH and TSH estimates.

The TCH and TSH data should be analyzed using the REML/BLUP, and the relationship matrix of the clones can be used for evaluation if the families are well represented in T2. As discussed by Peternelli and Resende (2015), 25 plants represent 90% of the maximum effective size of the half-sib family. Selecting clones based on production capacity eases the reliance on the visual identification of the best genotypes. By selecting for TCH and/or TSH, it is possible to make visual selections with only the most productive clones. In addition to yield, it is necessary to evaluate secondary traits such as plant vigor, stalk pith, bud prominence, flowering intensity and the incidence of certain diseases. Using these selection criteria, the breeder will be able to more efficiently identify the best genotypes in a shorter period of time.

The logistic regression models fitted to the initial selection phases were efficient in predicting the individuals to be selected. In T1, 153 individuals exhibited a probability for selection above 0.5. The model for selection in T1 showed nearly 100% accuracy (Table 2). These results demonstrate the efficiency of logistic regression for determining the relative importance of each trait in breeder decision-making.

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Threshold logistic model, consisting of traits usually analyzed the selection conditions (Table 2). Approximately 95%, with 100% predictive capacity of continuous, binary and/or multicategorical traits assessed. Furthermore, the possibility of using during visual selection, are easily and quickly.

In T2, 79 individuals reached the selection threshold ($p_1 > 0.5$). The accuracy capacity of the model fitted to mass selection in T2 was approximately 95%, with 100% predictive capacity of the selection conditions (Table 2).

The explanatory variables used to establish the logistic model, consisting of traits usually analyzed during visual selection, are easily and quickly assessed. Furthermore, the possibility of using continuous, binary and/or multicategorical traits makes the application of logistic regression even more interesting in sugarcane breeding programs for selecting potential clones, in view of the importance of many of the qualitative traits. The results demonstrate the ability of logistic regression to produce the best decision using the same criteria used by professionals responsible for selection during phases T1 and T2 of sugarcane breeding programs.

To assist decision-making in selection or rejection of a determined genotype, a decision tree was proposed to select sugarcane genotypes in the T2 phase of the genetic breeding program. Decision trees constitute a powerful and widely used technique in classification problems (Breiman et al., 1984). This is an interesting tool that may be adopted for clone selection.

The rules for obtaining a decision tree were formulated with a view toward selecting clones with the greatest productivity potential and the smallest number of undesirable features. In this study, a decision tree was generated from the traits that exhibited the greatest importance in the visual selection process conducted in T2, together with the genotypic information on TCH and TSH production traits and the experience of the breeder in identifying the best clones (Figure 4).

According to the generated rules (Figure 4), the first step is the pre-selection of the best 10% of the clones for TCH and TSH. In the second step, all of the clones that are among the 10 best for TCH and TSH, that exhibit vigor (VIG) greater than or equal to 3 and clones that were considered promising by the breeder during mass selection advance to the third test phase (T3). The third step consists of discarding clones that exhibit stalk piths or buds unsuited to mechanized cropping (Figure 4).

After pre-selecting the 66 clones with the greatest mean values for TCH and TSH, 90 clones were pre-selected and, according to the rule validation process, together with the response generated, 67 clones were selected.

Table 2. Classifications using logistic regression models with mass selection applied in T1 and T2 as a response.

<table>
<thead>
<tr>
<th>Phase</th>
<th>Mass selection</th>
<th>Regression model</th>
<th>Total</th>
<th>AER(^1)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Select (1)</td>
<td>Discard (0)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>T1</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>133</td>
<td>41</td>
<td>174</td>
<td>0.80%</td>
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<tr>
<td></td>
<td>20</td>
<td>7,525</td>
<td>7,545</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Total</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>153</td>
<td>7,566</td>
<td>7,719</td>
<td></td>
</tr>
<tr>
<td>T2</td>
<td></td>
<td></td>
<td></td>
<td>5.10%</td>
</tr>
<tr>
<td></td>
<td>79</td>
<td>34</td>
<td>113</td>
<td></td>
</tr>
<tr>
<td></td>
<td>0</td>
<td>546</td>
<td>546</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Total</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>79</td>
<td>580</td>
<td>659</td>
<td></td>
</tr>
</tbody>
</table>

\(^1\text{AER} = \text{Apparent error rate in percentage or percentage of individuals classified incorrectly.}\)
In selection carried out using the mass selection method, 113 clones advanced to the T3 phase; of these, only 27 were part of the 90 clones with the greatest mean values for TCH or TSH. Using the mass selection method, most of the selected genotypes showed low productive potential; consequently, greater genetic gains are expected through the use of a selection strategy via a decision tree (Figure 4).

Using the decision tree, genotypes with low yields or undesirable characteristics are discarded, allowing only the highest yielding genotypes and those near the desired idotype to advance to the T3 phase. Therefore, this tool should be incorporated into the sugarcane selection process and applied to other species of propagated plants as well.

Figure 4. Decision tree for selection of genotypes during the T2 phase of the sugarcane genetic breeding program at the Universidade Federal de Viçosa (PMGCA/UFV).

The rules to be used in creating the decision tree may change depending on the climatic conditions in each crop year, the incidence of a specific disease at the experimental locations, and the aims and criteria considered most important for each genetic breeding program.

The application of a decision tree to sugarcane selection may be automated in the field. Consequently, decisions may be made in a more rapid and efficient manner, leaving the evaluation of secondary traits to only those genotypes with the greatest productive potential.

Conclusion

Based on a logistic regression, it was possible to evaluate the importance of agronomic traits in the selection and to predict the individuals most likely to be selected in the T1 and T2 phases. Furthermore, the use of a decision tree has great the potential in selection during the T2 phase of sugarcane breeding programs, aimed at simplifying the identification of the best genotypes.

The number of stalks, bud prominence and internode length traits were the most relevant during the selection carried out in T1. The plant vigor, stalk diameter and stalk height were the most important for selection in T2.

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References


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