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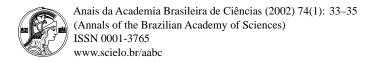


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# Surfaces of Constant Mean Curvature in Euclidean 3-space Orthogonal to a Plane along its Boundary

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### ABSTRACT

We consider compact surfaces with constant nonzero mean curvature whose boundary is a convex planar Jordan curve. We prove that if such a surface is orthogonal to the plane of the boundary, then it is a hemisphere.

Key words: surfaces with boundary, constant mean curvature, elliptic partial differential equation.

Let M be a compact surface inmersed in  $\mathbb{R}^3$  with constant mean curvature H whose boundary  $\partial M = \Gamma$  is a planar Jordan curve of length L. Let D be a planar region enclosed by  $\Gamma$  and let A be the area of D. Let us consider the cycle  $M \cup D$  oriented in such a way that its orientation, along M, coincides with the one defined by the mean curvature vector. Let Y be a Killing vector field in  $\mathbb{R}^3$  and  $n_D$  be a unitary vector field normal to D in the orientation of  $M \cup D$ . Let  $\nu$  be the unitary co-normal vector field along  $\partial M = \Gamma$  pointing inwards M. By the flux formula it is known that  $|H| \leq \frac{L}{2A}$  where equality holds if and only if  $\nu = n_D$ . That is, if and only if  $\nu$  is constant and orthogonal to D along  $\Gamma$ .

In this work we consider the case  $|H| \le \frac{L}{2A}$  and we show that, in the above conditions, if M is embedded and  $\Gamma$  is convex, then M is a hemisphere. Explicitly we prove that:

THEOREM 1. Let M be a compact embedded surface in  $\mathbb{R}^3$  with constant mean curvature  $H \neq 0$  whose boundary  $\partial M$  is a Jordan curve  $\Gamma$  in a plane  $\mathbb{P} \subset \mathbb{R}^3$ . Suposse that  $\Gamma$  is convex and M is perpendicular to the plane  $\mathbb{P}$  along its boundary. Then M is a hemisphere of radius  $\frac{1}{|H|}$ .

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This theorem generalizes a result obtained by Brito and Earp (Brito and Earp 1991). We succed in discarding their assumption that  $\partial M$  should be a circle of radius  $\frac{1}{|H|}$ .

A sketch of the proof of the theorem is as follows.

First, under the hypothesis of the theorem, M must be totally contained in one of the halfplanes determined by  $\mathbb{P}$  (see (Brito et al. 1991), for example). Now let  $M^*$  be the reflection of M with respect to the plane  $\mathbb{P}$ . Since M is orthogonal to  $\mathbb{P}$  along  $\Gamma$ , we have that  $\widetilde{M} := M \cup M^*$  is a compact surface without boundary, embedded in  $\mathbb{R}^3$ . Note that a priori  $\widetilde{M}$  is only of class  $C^1$  along  $\Gamma$ . We will prove that  $\widetilde{M}$  is at least of class  $C^3$ . In this way we are able to use a classical result due to Alexandrov (see (Hopf 1983), for example) in order to establish that  $\widetilde{M}$  is a sphere and therefore M is a hemisphere.

The regularity of  $\widetilde{M}$  along  $\Gamma$  is achieved by means of the theory of elliptic partial differential equations. Let p be any point in  $\Gamma \subset \widetilde{M}$  and  $\Omega$  be an open neighborhood of 0 in  $T_p\widetilde{M}$  chosen in such a way that locally around p,  $\widetilde{M}$  may be described as the graph of a function  $u:\Omega\to\mathbb{R}$ . For our purposes, it is suffices to consider  $\Omega$  of class  $C^{1,1}$ .

It is clear that  $u \in C^1(\Omega)$ . So,  $\nabla u$  is well-defined and continuous. Since  $\Omega$  is bounded we have that  $u \in W^{1,2}(\Omega)$ .

Let us denote the linear space of k-times weakly differentiable functions by  $W^k(\Omega)$ . For  $p \ge 1$  and k a non-negative integer, we let  $W^{k,p}(\Omega) = \{u \in W^k(\Omega), D^{\sigma}u \in L^p(\Omega) \text{ for all } |\sigma| < k\}$ .

The Hölder spaces  $C^{k,\alpha}(\Omega)$  are defined as the subspaces of  $C^k(\Omega)$  consisting of functions whose k-th order partial derivatives are locally Hölder continuous whith exponent  $\alpha$  in  $\Omega$ .

We define on  $\Omega$  the following linear operators:

$$L_1 v := D_i(a^{ij}D_j v), \qquad v \in W^{1,2}(\Omega) \quad i, j = 1, 2$$

and

$$L_2 v := A^{ij} D_{ij} v, \qquad v \in W^{2,2}(\Omega) \quad i, j = 1, 2,$$

where the coefficients  $a^{ij}$  are given by

$$a^{11} = a^{22} = \frac{1}{1 + |\nabla u|^2}, \quad a^{12} = a^{21} = 0$$

and the coefficients  $A^{ij}$  are defined by  $A^{11} = 1 + u_y^2$ ,  $A^{12} = A^{21} = -u_x u_y$ ,  $A^{22} = 1 + u_x^2$ . Finally, the symbols  $D_i$ ,  $D_{ij}$ , i, j = 1, 2 stand for partial differentiation.

We prove that u is a weak solution to the equation  $L_1u=2H$ . By the Corollary 8.36 (Gilbarg and Trudinger 1983) we have  $u \in C^{1,\alpha}(\Omega)$ . Moreover, by the Lebesgue's dominated convergence theorem and Lemma 7.24 (Gilbarg and Trudinger 1983) we can conclude that  $u \in W^{2,p}(\Omega')$  for any subdomain  $\Omega' \subset\subset \Omega$ . Fixed  $\Omega' \subset\subset \Omega$ , we consider the equation

$$L_2 v = 2H \left( 1 + |\nabla u|^2 \right)^{\frac{3}{2}}. \tag{1}$$

We observe that  $u \in W^{2,2}(\Omega')$ . Thus,  $L_2u$  is well-defined. Moreover, we have that  $L_2u=2H$   $\left(1+|\nabla u|^2\right)^{\frac{3}{2}}$  in  $\Omega'$ . It means that  $u \in W^{2,2}(\Omega')$  is a solution to the equation (1) just above. Now using the Theorem 9.19 (Gilbarg and Trudinger 1983) we obtain  $u \in C^{2,\alpha}(\Omega')$ . Repeating the same procedure we conclude that  $u \in C^{\infty}(\Omega')$ . Thus,  $\widetilde{M}$  is  $C^{\infty}$ . So,  $\widetilde{M}$  is a regular compact closed surface embedded in  $\mathbb{R}^3$  with constant mean curvature. By the Theorem 5.2 (Chapter V, (Hopf 1983)) we conclude that  $\widetilde{M}$  is a (round) sphere and therefore M is a hemisphere.

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### **RESUMO**

Consideramos superfícies compactas com curvatura média constante e não nula as quais têm como bordo uma curva de Jordan plana convexa. Provamos que, se uma tal superfície é ortogonal ao plano do bordo então é um hemisfério.

Palavras-chave: superfícies com bordo, curvatura média constante, equações diferenciais parciais elípticas.

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