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Matrix Formulation of Foundations for Vibrating Machinery in Frequency Domain

Formulación matricial de cimentaciones para maquinaria vibratoria en el dominio de la frecuencia

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Abstract

A matrix formulation to study the coupled response of rigid foundations modelled by springs and dashpots is presented. Springs and dashpots orientation can be any possible, thus a general solution is determined. Response in terms of displacements and rotations is determined from a matrix system in the complex field. The physics of the problem presented here has been extensively studied and a broad range of useful formulas to determine springs and dashpots properties in soil-structure interaction is available, however it has also been identified that there are some limitations on coupling various degrees of freedom in the available formulations. Then, the novelty of the approach presented comes from the matrix manipulation that leads to an expression that provides a closer approximation to the real phenomenon, because all degrees of freedom can be coupled. This approach may allow to the analyst finding a coupled response including the cases when either springs or dashpots are not orthogonally oriented. In an example at the end of this study, the influence of one of the involved parameters in the soil-structure analysis is pointed out.

Keywords: Springs, dashpots, rigidbody, coupled analysis, frequency domain.

Resumen

Se presenta una formulación matricial para el estudio de la respuesta acoplada de cimentaciones rígidas, dicha matriz se modela con resortes y amortiguadores. Los resortes y amortiguadores pueden ser orientados arbitrariamente, de ahí que esta formulación tenga un carácter general. La respuesta en términos de desplazamientos y de rotaciones se determina a partir de un sistema matricial en el campo complejo. La física del problema aquí presentado se ha estudiado extensivamente y existe una amplia gama de fórmulas útiles para determinar las características de los resortes y de los amortiguadores en la interacción suelo-estructura; sin embargo, también se han identificado algunas limitantes en referencia al acoplamiento de los grados de libertad en las formulaciones encontradas. Entonces, la novedad del planteamiento matemático presentado viene dada por la manipulación matricial que conduce a una expresión que proporciona una aproximación más cercana al fenómeno real, dado que todos los grados de libertad pueden ser acoplados. Esta propuesta puede permitirle al analista encontrar una respuesta acoplada incluyendo aquellos casos donde los resortes y/o los amortiguadores no están orientados ortogonalmente. Al final de este estudio, se incluye un ejemplo de aplicación donde se enfatiza la importancia de uno de los parámetros involucrados en el análisis de interacción suelo-estructura.

Descriptores: resortes, amortiguadores, cuerpo rígido, análisis acoplado, dominio de la frecuencia.

Introduction

Some of the present problems in the field of dynamics of structures are those related to the response of rigid foundations under dynamic loadings. Usually, the analysis of these structures is carried out considering lumped parameter methods, where the soil is replaced by a system of frequency-dependent springs and dashpots.

In many available formulations, the analysis of the foundation-soil system may be done considering an uncoupled system, where only in plane responses are determined. It is well-known that a foundation-soil system has six degrees of freedom in an orthogonal reference system.

Expressions for calculating springs and dashpots for the six degrees of freedom can be obtained from several published references. We refer the reader to see the pioneering works of Richards *et al.* (1970), Luco (1982), Gazetas (1983, 1991), Dobry and Gazetas (1985, 1986) and Pais and Kausel (1988). And more recent works related with lumped springs and dashpots for foundation analysis can be consulted in Wu and Chen (2002), Wu and Lee (2004) and Wolf and Paronesso (2007). A good reference to design rigid foundations under dynamic loading is Bowles (1996). Recent results for springs and dashpots in layered media can be seen in Wolf and Deeks (2004).

Determining expressions for springs and dashpots is not within the scope of the present work. A matrix formulation in which springs and dashpots are gathered for six degrees of freedom is proposed, so a coupled response, for springs and dashpots acting simultaneously is obtained.

Equation of motion

The general equation of motion, in time domain, for a rigid body system can be expressed as:

$$[M]\ddot{a}(t) + [C]\dot{a}(t) + [K]a(t) = \bar{F}(t), \quad (1)$$

where,

$[M]$ = Mass matrix,
 $[K]$ = Stiffness matrix,
 $[C]$ = Damping matrix,
 $a(t)$ = Displacement vector,
 $\dot{a}(t)$ = Speed vector,
 $\ddot{a}(t)$ = Acceleration vector and
 $\bar{F}(t)$ = Force vector.

Expressing the displacement and force vectors in frequency domain, one has

$$a(t) = a e^{i\omega t} \quad (2)$$

$$\bar{F}(t) = \bar{F}(\omega) e^{i\omega t}. \quad (3)$$

After calculating speed and acceleration vectors using equation (2) and arranging common terms, we finally express equation (1), in frequency domain, as:

$${}^2[M] \ddot{d} + {}^i C \dot{d} + [K] d = \bar{F}(\omega). \quad (4)$$

The solution for equation (4) is given by

$$d(\omega) = [M]^{-1} \bar{F}(\omega) / ({}^i C + [K]). \quad (5)$$

Equation (5) is the solution, in frequency domain, for a rigid body system, which contains six degrees of freedom. Mass, damping and stiffness matrixes are presented in the following sections.

Stiffness matrix

Let us rename the displacement vector as $\{d\} = \{d_x, d_y, d_z, \theta_x, \theta_y, \theta_z\}^T$ or $\{d\} = \{\bar{d}, \bar{\theta}\}^T$, where $\bar{d} = \{d_x, d_y, d_z\}^T$ and $\bar{\theta} = \{\theta_x, \theta_y, \theta_z\}^T$. When a rigid body experiences a small rotation, see figure 1a, displacement of the spring K_i due to that rotation can be expressed as $d\bar{r}_i = \bar{\theta} \times \bar{r}_i$, where $\bar{\theta} = \{\theta_x, \theta_y, \theta_z\}^T$ is the rotation vector and \bar{r}_i is the position vector of spring K_i .

Considering that $i = \{i_x, i_y, i_z\}$ represents the spring vector of director cosines of the spring K_i , total displacement of spring K_i is given by

$$d\bar{r}_i = \bar{\theta} \times \bar{r}_i. \quad (6)$$

Thus, the force in the spring is

$$\bar{F}_i = -K_i [\bar{d} \quad \bar{\theta}]^T \bar{r}_i. \quad (7)$$

and the moment is

$$M_i = \bar{r}_i \times \bar{F}_i. \quad (8)$$

The product $\bar{r}_i \times \bar{F}_i$ in matrix terms is $[r] \bar{F}_i$, where $[r]$ is the springs position matrix given as

$$[r] = \begin{bmatrix} 0 & Z_i & Y_i \\ Z_i & 0 & X_i \\ Y_i & X_i & 0 \end{bmatrix}. \quad (9)$$

Force in the spring can be expressed as follows

$$\bar{F}_i = K_i (\bar{\theta} \times \bar{d}) = -K_i [r] \bar{d}. \quad (10)$$

$$\bar{F}_i = K_i (\bar{\theta} \times \bar{d}) = -K_i (\bar{\theta} \times \bar{d}) = -K_i (\bar{\theta} \times \bar{d}). \quad (11)$$

$$\bar{F}_i = K_i (\bar{\theta} \times \bar{d}) = -K_i (\bar{\theta} \times \bar{d}) = -K_i (\bar{\theta} \times \bar{d}). \quad (12)$$

and the moment is as

$$M_i = \bar{r}_i \times \bar{F}_i = [r]^T \bar{F}_i. \quad (13)$$

$$M_i = K_i [r]^T (\bar{\theta} \times \bar{d}) = K_i [r]^T (\bar{\theta} \times \bar{d}) = K_i [r]^T (\bar{\theta} \times \bar{d}). \quad (14)$$

Expressing equations (12) and (14) in matrix form,

$$\begin{array}{c} F_x \\ F_y \\ F_z \\ M_x \\ M_y \\ M_z \end{array} = \begin{array}{cc} \begin{array}{c} K_i \bar{r}_i \bar{r}_i^T \\ K_i \bar{r}_i \bar{r}_i^T \end{array} & \begin{array}{c} K_i \bar{r}_i \bar{r}_i^T \bar{r}_i \\ K_i \bar{r}_i \bar{r}_i^T \bar{r}_i \end{array} \\ \hline \begin{array}{c} K_i \bar{r}_i \bar{r}_i^T \bar{r}_i \\ K_i \bar{r}_i \bar{r}_i^T \bar{r}_i \end{array} & \begin{array}{c} K_i \bar{r}_i \bar{r}_i^T \bar{r}_i \\ K_i \bar{r}_i \bar{r}_i^T \bar{r}_i \end{array} \end{array} \begin{array}{c} d_x \\ d_y \\ d_z \\ \theta_x \\ \theta_y \\ \theta_z \end{array} \quad (15)$$

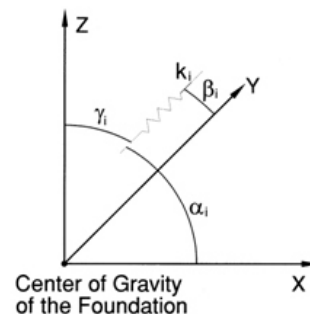
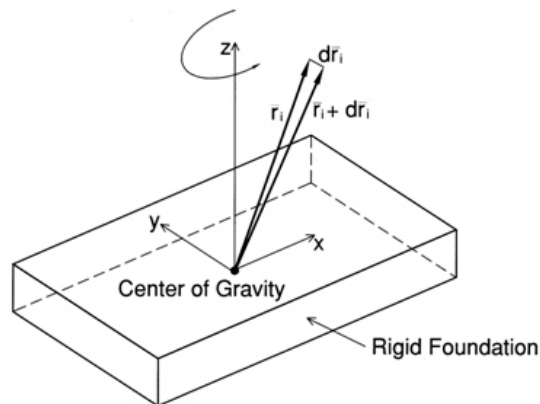


Figure 1. a) Rotation of a rigid body; b) A spring K_i in the reference system

$$\begin{bmatrix} F \\ M \end{bmatrix} = \begin{bmatrix} K_{dd} & F_d \\ K_d & K \end{bmatrix} \begin{bmatrix} d \\ \dot{d} \end{bmatrix} \quad (16)$$

or in its compact form, the well-known expression of $F = K \dot{d}$, where K is the general stiffness matrix. Equation (15) or (16) represents the system with its six degrees of freedom that describes the coupled response of a rigid foundation supported by springs.

Developing the stiffness matrix of equation (15), one has in the equation (17).

Rotational springs should be added directly to sub matrix K . Assuming orthogonal orientation of the springs, as shown in figure 2, stiffness matrix of equation (17) can be simplified. Therefore, K_{vz} , K_{hx} and K_{hy} , represent vertical and horizontal springs, respectively. Coordinates and director cosines for each spring are shown in table 1.

$$K_i = \begin{bmatrix} \sum_i \left(\frac{Z_i^2}{L_i^3} \right) & \sum_i \left(\frac{Z_i Y_i}{L_i^3} \right) & \sum_i \left(\frac{Z_i^2}{L_i^3} \right) & \sum_i \left(\frac{Z_i X_i}{L_i^3} \right) & \sum_i \left(\frac{Y_i^2}{L_i^3} \right) & \sum_i \left(\frac{Y_i X_i}{L_i^3} \right) \\ \sum_i \left(\frac{Z_i Y_i}{L_i^3} \right) & \sum_i \left(\frac{Y_i^2}{L_i^3} \right) & \sum_i \left(\frac{Z_i X_i}{L_i^3} \right) & \sum_i \left(\frac{X_i^2}{L_i^3} \right) & \sum_i \left(\frac{Y_i X_i}{L_i^3} \right) & \sum_i \left(\frac{X_i^2}{L_i^3} \right) \\ \sum_i \left(\frac{Z_i^2}{L_i^3} \right) & \sum_i \left(\frac{Z_i X_i}{L_i^3} \right) & \sum_i \left(\frac{Y_i^2}{L_i^3} \right) & \sum_i \left(\frac{Y_i X_i}{L_i^3} \right) & \sum_i \left(\frac{X_i^2}{L_i^3} \right) & \sum_i \left(\frac{X_i^2}{L_i^3} \right) \\ \sum_i \left(\frac{Z_i X_i}{L_i^3} \right) & \sum_i \left(\frac{Z_i Y_i}{L_i^3} \right) & \sum_i \left(\frac{Z_i^2}{L_i^3} \right) & \sum_i \left(\frac{X_i^2}{L_i^3} \right) & \sum_i \left(\frac{Y_i X_i}{L_i^3} \right) & \sum_i \left(\frac{X_i^2}{L_i^3} \right) \\ \sum_i \left(\frac{Y_i^2}{L_i^3} \right) & \sum_i \left(\frac{Y_i X_i}{L_i^3} \right) & \sum_i \left(\frac{X_i^2}{L_i^3} \right) & \sum_i \left(\frac{X_i^2}{L_i^3} \right) & \sum_i \left(\frac{X_i^2}{L_i^3} \right) & \sum_i \left(\frac{X_i^2}{L_i^3} \right) \end{bmatrix} \quad (17)$$

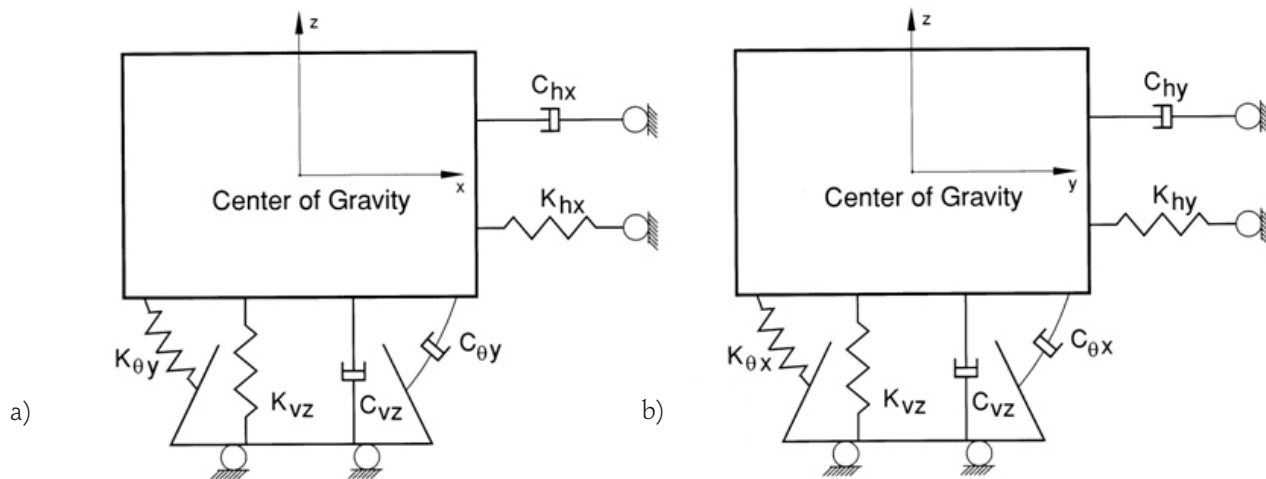


Figure 2. Orthogonal orientation of springs

Table 1. Coordinates and director cosines for orthogonal orientation of springs

Spring	i	i	i	X_i	Y_i	Z_i
K_{hx}	1	0	0	X_{hx}	Y_{hx}	Z_{hx}
K_{hy}	0	1	0	X_{hy}	Y_{hy}	Z_{hy}
K_{vz}	0	0	1	X_{vz}	Y_{vz}	Z_{vz}
K_x	1	0	0	0	0	0
K_y	0	1	0	0	0	0
K_z	0	0	1	0	0	0

Stiffness matrix for each spring can be expressed as shown in equations (18), (19) and (20) for springs in “X”, “Y” and “Z” directions, respectively.

$$\begin{matrix}
 & 1 & 0 & 0 & 0 & Z_{hx} & Y_{hy} \\
 & 0 & 0 & 0 & 0 & 0 & 0 \\
 & 0 & 0 & 0 & 0 & 0 & 0 \\
 & 0 & 0 & 0 & 0 & 0 & 0 \\
 K_{hx} & Z_{hx} & 0 & 0 & 0 & Z_{hx}^2 & Z_{hx} Y_{hx} \\
 & Y_{hx} & 0 & 0 & 0 & Y_{hx} Z_{hx} & Y_{hx}^2
 \end{matrix} \quad (18)$$

$$\begin{matrix}
 & 0 & 0 & 0 & 0 & Z_{hx} & 0 \\
 & 0 & 1 & 0 & 0 & Z_{hy} & 0 \\
 & 0 & 0 & 0 & 0 & 0 & 0 \\
 K_{hy} & 0 & Z_{hy} & 0 & 0 & Z_{hy}^2 & 0 \\
 & 0 & 0 & 0 & 0 & 0 & 0 \\
 & 0 & X_{hy} & 0 & 0 & Z_{hy} X_{hy} & 0 \\
 & & & & & & Y_{hy}^2
 \end{matrix} \quad (19)$$

$$\begin{matrix}
 & 0 & 0 & 0 & 0 & 0 & 0 \\
 & 0 & 0 & 0 & 0 & 0 & 0 \\
 & 0 & 0 & 1 & Y_{vz} & X_{vz} & 0 \\
 K_{vz} & 0 & 0 & Y_{vz} & Y_{vz}^2 & X_{vz} Y_{vz} & 0 \\
 & 0 & 0 & X_{vz} & X_{vz}^2 & Y_{vz} & 0 \\
 & 0 & 0 & 0 & 0 & 0 & 0
 \end{matrix} \quad (20)$$

Rotational springs

Rotational springs are added directly to the stiffness sub-matrix k , which is a part of the stiffness matrix. Sub-matrix k is, equation (21).

$$\begin{matrix}
 K_x & 0 & 0 \\
 0 & K_y & 0 \\
 0 & 0 & K_z
 \end{matrix} \quad (21)$$

Complete stiffness matrix

The complete stiffness matrix referenced to the orthogonal system is obtained adding the stiffness sub-matrixes, for the lineal and rotational springs, given in equations (18) to (21). Therefore, the whole stiffness matrix of the system can be represented as in equation (22).

K

Damping matrix

Similarly as the stiffness matrix was formed, and considering that the dashpots are orthogonally oriented, the complete damping matrix is as in equation (23).

C

Mass matrix and force vector

For the system formed by the rigid body, springs and dashpots, the mass matrix can be as in equation (24).

$$M = \begin{bmatrix} m & 0 & 0 & 0 & 0 & 0 \\ 0 & m & 0 & 0 & 0 & 0 \\ 0 & 0 & m & 0 & 0 & 0 \\ 0 & 0 & 0 & m 4B^2 & A^2 / 12 & 0 \\ 0 & 0 & 0 & 0 & m 4L^2 & A^2 / 12 \\ 0 & 0 & 0 & 0 & 0 & m 4L^2 4B^2 / 12 \end{bmatrix} \quad (24)$$

where, m =mass of the instrument or equipment on the foundation, B =half-width of the foundation, L =half-length of the foundation, A =height of the instrument or equipment.

Force vector

The equipment supplier usually gives the force vector in the domain frequency. A general expression for the force vector is given by $\bar{F} = [F_x, F_y, F_z, M_x, M_y, M_z]^T$, where:

- F_x = horizontal force in "X" direction,
- F_y = horizontal force in "Y" direction,
- F_z = vertical force in "Z" direction,
- M_x = moment around "X" axis,
- M_y = moment around "Y" axis and
- M_z = moment around "Z" axis.

Numerical example

In this section we used the formulation previously developed in order to show the influence that one of the important factors has in the analysis and design of rigid foundations. This factor is the depth of embedment. For simplicity reasons we do not present results related to the influence on the response neither of soil internal damping nor of shear modulus.

\bar{K} and \bar{C} are functions of frequency ω , soil properties and area "Ac" of contact between soil and foundations. Other parameters required are: dimensions $2L$ and $2B$ of a rectangle that encircles the foundation and inertia moments of A_c around X , Y and Z -axis.

Formulas for dynamic springs and dampers, \bar{K} and \bar{C} , are widely known (e.g. Arya *et al.*, 1979), a description of these formulae is beyond the scope of the

present study. Once the values have been obtained we can calculate the dynamic spring and dampers as a function of the soil internal damping and the frequency of excitation by using the following equations:

$$K(\omega) = \bar{K} + \bar{C} \quad (25)$$

$$C(\omega) = \bar{C} + 2\bar{K} / \omega \quad (26)$$

Expressions (25) and (26) are based on the viscoelasticity principle. For a general case, six pairs of equations like (25) and (26) should be calculated to represent the six degrees of freedom of the system, that is to say, $K_{vz}(\omega)$ and $C_{vz}(\omega)$, $K_{hy}(\omega)$ and $C_{hy}(\omega)$, $K_{hx}(\omega)$ and $C_{hx}(\omega)$, $K_{xz}(\omega)$ and $C_{xz}(\omega)$, $K_{yz}(\omega)$ and $C_{yz}(\omega)$, and $K_{xy}(\omega)$ and $C_{xy}(\omega)$. These values are the input to arrange the stiffness and damping matrices shown here.

Embedment depth influence on rigid foundation response

This section presents an application of the previously developed formulation by doing a sensitivity study showing the effect of embedment depth on displacement and rotation response. Usually, block foundations for machinery are embedded in the soil from $H=0.20$ m to $H=0.50$ m depth. The effect of embedment depth in the response is by an increase of rigidity and damping thus, displacement and rotation amplitudes are reduced.

In some cases, damping due to embedment may be negligible however, the effect of the embedment depth is relevant to the spring stiffness values. Embedment depth could lead to operate a machine near to its resonance region and this could produce failure effects due to the force produced by the rotating components.

Results of a sensitivity study showing the effect of the embedment depth in clay using the formulation developed here are presented in figures 3, 4 and 5. Relationships proposed by Arya *et al.* (1979) between superficial and embeded springs and dampers were used and are shown in table 2. The formulas seen in table 2 show the relationship between the embedding coefficients

(K_{emb} and C_{emb}) and the non- embedding ones (K_{sup} and C_{sup}). The input data for soil, foundation and machine used in this study is presented in table 3. Coefficients for dynamic springs and dampers are also shown in table 4. represents the Poisson ratio used for the calculations.

Table 2. Relations between stiffness and damping for foundations surface overlying and foundations embedding

Mode	K_{emb}/K_{sup}	C_{emb}/C_{sup}
Vertical	$\frac{1}{B} \frac{0.6(1-\nu)H}{B}$	$\frac{1}{B} \frac{1.9(1-\nu)H}{B}$
		$\sqrt{\frac{K_{emb}}{K_{sup}}}$
Horizontal	$\frac{1}{B} \frac{0.55(2-\nu)H}{B}$	$\frac{1}{B} \frac{1.9(2-\nu)H}{B}$
		$\sqrt{\frac{K_{emb}}{K_{sup}}}$
Rocking	$\frac{1}{B} \frac{1.20(1-\nu)H}{0.2(2-\nu)\frac{H}{B}}$	$\frac{1}{B} \frac{0.7(1-\nu)H}{0.6(2-\nu)\frac{H}{B}}$
		$\sqrt{\frac{K_{emb}}{K_{sup}}}$

Table 3. Soil, Foundation and Machine data for the sensitivity study

Soil Data	Foundation Data	Machine Data	Units
(internal damping)= 0.03	B (width) = 0.50	HIGH = 0.5	Tons.
(volumetric weight)= 1.6	L (large) = 1.00	WEIGHT= 2.5	Meters
G (shear modulus)= 14060	A = 0.50	MASROT = 0.015	Seconds
(Poisson ratio)= 0.33	L/B = 2.0	EXCENTRIC. = 0.08	
Vs (shear speed)= 293.69	H (embedding depth)= variable		

Table 4. Coefficients for dynamic springs and dampers for the embedment depth sensitivity study

Coefficient	Machine operation velocity (RPM)						
	500	1000	1500	2000	2500	3000	3500
a0	0.090	0.180	0.270	0.360	0.460	0.540	0.620
kvz	1.000	1.000	0.990	0.980	0.970	0.960	0.940
khy	1.000	1.000	1.000	1.000	1.000	0.995	0.990
kx	1.000	0.995	0.980	0.960	0.940	0.925	0.915
ky	0.980	0.950	0.920	0.890	0.860	0.850	0.820
kz	0.990	0.995	0.980	0.975	0.960	0.945	0.940
cvz	0.980	0.990	1.000	1.000	1.000	1.000	1.000
chy	1.000	1.000	1.000	1.000	1.000	1.000	1.000
cx	0.030	0.090	0.110	0.180	0.210	0.270	0.330
cy	0.020	0.060	0.130	0.210	0.310	0.390	0.460
cz	0.020	0.080	0.120	0.200	0.300	0.350	0.400

Results obtained from the embedment depth sensitivity study are as follows; figure 3 shows the influence of the embedment depth in the horizontal displacement “dy”, it can be seen that the response of the system is highly controlled by the embedment depth and

resonant peaks are observed at 2500 and 3000 RPM. Figure 4 shows vertical displacements “dz”, it is clear that for deeper embedments, vertical displacements are diminished. Finally, figure 5 shows that the embedment depth also leads to reduce the rotations.

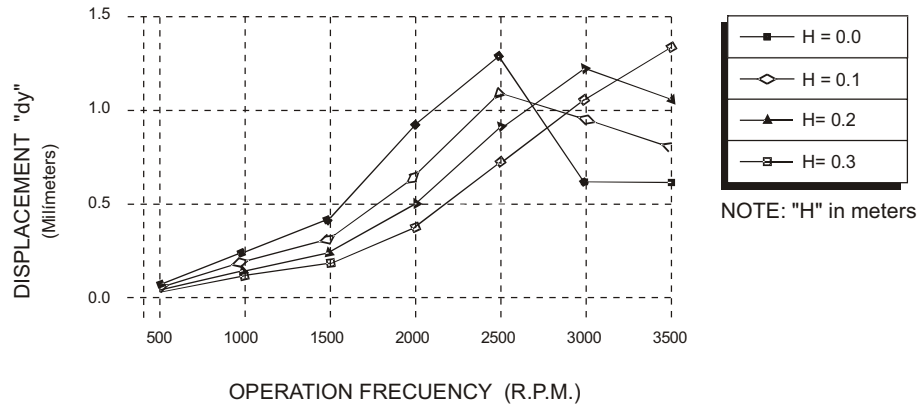


Figure 3. Embedment depth influence on displacement 'dy'

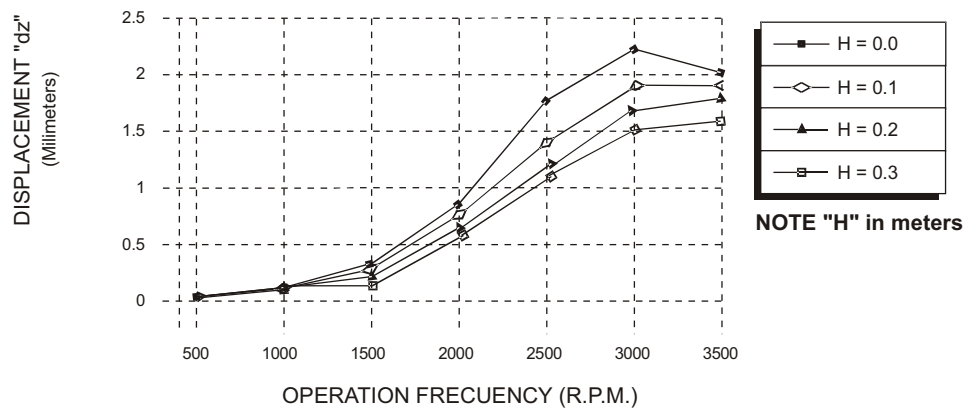


Figure 4. Embedment depth influence on displacement 'dz'

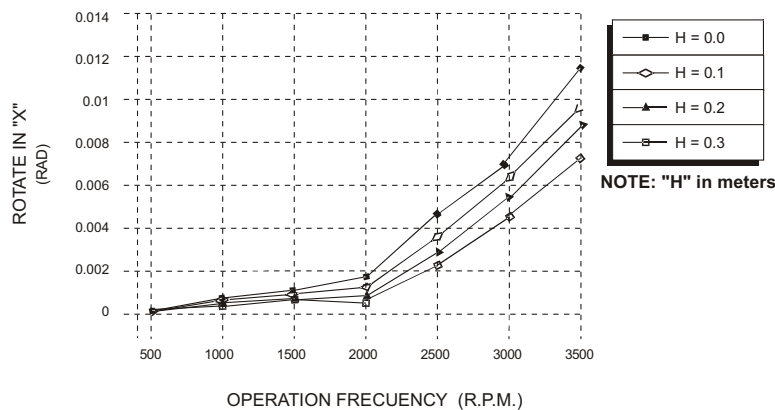


Figure 5. Embedment depth influence on rotation around 'x'

Conclusions

The well known problem of a vibrating structure and its soil interaction response has been solved with a novel mathematical manipulation that leads to a formulation of multiple applications. These expressions allow determining the response of rigid bodies on elastic damped foundations considering the foundation restriction effects acting simultaneously in all directions; this means that a coupled displacement response considering six degrees of freedom is obtained. The response of the system in terms of displacements and rotations is determined for a defined set of frequencies. Thus, response can be compared with operational displacement and rotation limits. Moreover, this formulation may be useful for determining couple response of rigid foundations including the cases where springs or dashpots are not orthogonally oriented. The formulation is matrix based thus; it can be computationally programmed for industrial applications. As an application, the effect of embedment depth was determined in a sensitivity study and its relevant effects were pointed out.

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