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Low complexity demodulator for BFSK waveforms based on polygonal approximation

Demodulador de baja complejidad para formas de onda BFSK con base en la aproximación poligonal

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Abstract

The present article relates in general to digital demodulation of Binary Frequency Shift Keying (BFSK waveform). The objective of the present research is to obtain a new processing method for demodulating BFSK-signals in order to reduce hardware complexity in comparison with other reported. The solution proposed here makes use of the theories of matched filters and curve segmentation. The article describes the integration and configuration of a Sampler Correlator and polygonal segmentation blocks in order to obtain a digital receiver for properly demodulating the signal received. The proposed solution is shown to reduce dramatically the complexity in hardware and has a better performance regarding noise in comparison with other reported. Theoretical details concerning limits of applicability are also given by closed-form expressions. Simulation experiments are illustrated to validate the overall performance.

-----Keywords: BFSK, digital demodulation, polygonal approximation

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Resumen

El presente artículo está relacionado con la demodulación digital de la señal Binary Frequency Shift Keying (BFSK). El sistema propuesto hace uso de la teoría de filtros macheados y de la segmetación de curvas. El artículo describe la integración y configuración de un bloque de correlador por muestreo con un bloque de segmentación poligonal con el objetivo de obtener un receptor digital para demodular apropiadamente la señal recibida. La solución propuesta reduce considerablemente la complejidad en hardware y presenta un mejor desempeño con respecto al ruido en comparación con otros demoduladores. Aspectos teóricos en relación con los límites de aplicabilidad se ilustran mediante expresiones analíticas. Se muestran además resultados de experimentación que validan el desempeño del sistema.

-----Palabras clave: BFSK, demodulación digital, aproximación poligonal

Introduction

Frequency Shift Keying (FSK) is a digital modulation with applications in wireless technologies [1, 2] and also in satellite communications [3]. Various configurations of circuitry have previously been reported for BFSK demodulators. Some of them, especially those based upon correlator receiver, are particularly interesting because they perform signal to noise ratio maximization [4] and do not use symbol sychronization blocks. As a case in point, such a detector is the Balanced Quadricorrelator [5],

with modifications depending on the modulation employed in [6-8].

These types of detectors are implemented in a two-part structure; the first one is an analogue correlator [9]. In the second part, the instantaneous frequency is extracted by performing proper derivation, addition and multiplication procedures, Fig. 1 depicts the case of the Balanced Quadricorrelator. However, due to the large number of adders and multipliers needed, such digital implementations demand a high cost.

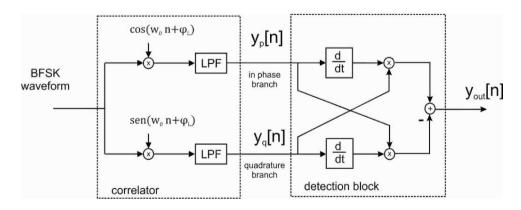


Figure 1 Block Scheme of the Balanced Quadricorrelator

Although the low pass filter and the multiplication procedure could be obtained through a flip-flop element, as in the digital logic quadricorrelator scheme [10], and the differentiator can be accomplished by flip-flop blocks followed by AND-gates, this scheme is prone to instabilities under conditions of low signal to noise ratio, causing total interruption of the counting process at the system output, despite its low hardware consumption.

The method discussed in this paper outperforms all previous schemes based upon a correlator implementation, in terms of hardware complexity. New BFSK detection schemes based upon correlator elements are devised, which consider the recognition of a slope instead of an instantaneous frequency at the output of the correlator. In this paper, new slope detection algorithms are derived based upon techniques for curve segmentation.

Receiver Conception

General Conception

In the proposed scheme a Sampler Correlator [11] is employed in order to reduce complexity. It is comprised of only 2 adders and 2 multipliers, as depicted in Fig. 2. The main idea of the proposed receiver is to identify the approximately linear ramp observed at the output of a correlator for a sine-wave input [4]. Fig. 3 b) shows the correlation operation for the BFSK signal shown in Fig. 3 a) when the reference is the higher frequency tone. The general procedure is to find the changes of slope at the output of the correlator and then to estimate the slope of each segment. Finally, from this information the symbols transmitted are determined.

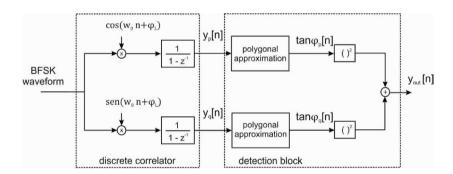


Figure 2 Receiver for the BFSK waveform with segmentation curve techniques

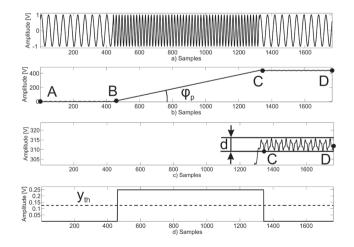


Figure 3 Receiver for the BFSK waveform with segmentation curve techniques

The change of slope in the curve of Fig. 3 b) is directly related to the value of the symbol transmitted. Methods for recognizing these changes can be employed for demodulation purposes. The curve in Fig. 3 b) is comprised, in average, by the linear segments (\overline{AB}) , (\overline{BC}) and (\overline{CD}) , and the split of a curve into linear segments is a task deeply studied in the field of curve segmentation by means of two categories [12]: feature point approach and error tolerance approach. This paper addresses those methods of error tolerance approach through polygonal approximation, which comprises the techniques for adjusting a curve with the least number of segments or partitions given a maximum error [13].

The polygonal approximation is implemented by means of several methods: Split, Split-and-Merge and Merge [13]. The main drawback for the methods Split and Split and Merge is the need of multiple iterations and the approximation results may be far from the optimum if a poor initial segmentation is used [14]. On the other hand, the technique of Merging makes the performance of the algorithms more efficient [15], regarding the number of iterations, and has the advantage of small finite memory and high speed [16]. That is why the technique of Merge is addressed in this work.

The adjustment of segments to a curve is based on several measures of error, for instance: Cone Intersection [17], Strip [17], Perpendicular distance of points to a fitted line [18], Least Square Method [19] and Minmax approximation [20]. Here, Cone Intersection method is investigated, since it is fast, practical and simple [21].

The slope estimation of segments in Fig. 3 b) can be accomplished by means of two steps: The determination of points of change (B and C) through the cone intersection method, and the estimation of the average slope between such points; both procedures are described in the subsequent Subsections.

In case that the higher frequency is received, the average slope at the output of the discrete correlator, for the in phase branch, is described by $\tan \varphi_p = \frac{A_c}{2} \cos(\varphi_0 - \varphi_L)$, where φ_p is the angle conformed by the segment, (\overline{BC}) and the x axis, as indicated in Fig. 3 b). The terms A_a , φ_0 and φ_L represent the amplitude of the carrier, the phase of the received symbol and the phase of the local oscillator, respectively. For the quadrature branch the average slope is $\tan \varphi_q = -\frac{A_c}{2} \sin(\varphi_0 - \varphi_L)$. The effect of the phase can be neglected when both results are squared and added, yielding y_{out} = tan^2 $\varphi_p + tan^2 \varphi_a$, resulting in the receiver depicted in Fig. 2. In case that the lower frequency is received, the output will be approximately zero. With these levels the demodulation can be accomplished by means of a proper threshold as illustrated in Fig. 3 d), and described in *Data Recovery*.

Cone intersection Method

The method of Cone Intersection clusters several points into a linear behavior regarding the possibility of passing a line through circled areas defined around each point. Fig. 4 depicts an example of such a case with 4 points. Taking into account the initial point p_1 , the method finds the intersection of the cones, which is conformed by the initial point p_1 and the circles around each point. By way of example, the cone defined by the lines L_2 and L_3 is the intersection of two cones, the one conformed by L_1 and L_3 and the one conformed by L_2 and L_4 . In this case, the cone intercepts the area conformed by the circles around p_2 , and p_3 , but this is not the case for the circle of p_4 . In this case, the points p_1 , p_2 and p_3 are considered to be aligned, since it is possible to find a line that intercept p_1 and the areas defined by the circles of p_2 and 3. However, it is not possible to find the interception between the cone defined by L_2 and L_3 and that one of L_5 and L_6 . In such case, the point p_4 is not aligned with the previous point, and the method restarts again with p_{A} .

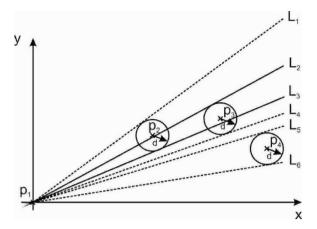


Figure 4 Graphical description of the Cone Intersection Method

This procedure is applied to the signal at the output of the correlator in Fig. 2. The distance d is defined by also taking into account the amplitude of the oscillation at the output of the correlator, as depicted in Fig. 3 c). This can be determined as indicated in (1), w_0 and w_1 indicate the higher and the lower frequencies of the modulation. The dotted line in Fig. 3 b) shows simulation results where the change of slope occurs with the measure for d according to (1).

$$d = max \left(\left| \frac{A_c}{4\sin(w_0)} \right|, \left| \frac{A_c}{2\sin\left(\frac{w_0 - w_1}{2}\right)} \right| + \left| \frac{A_c}{2\sin\left(\frac{w_0 + w_1}{2}\right)} \right| \right)$$
(1)

With the method described, the key points are obtained where a change of slope happens. The second step is to determine the slope between such key points, which is described in *Slope Estimation*.

Slope Estimation

In the method described in [22], the samples between *B* and *C* in Fig. 3 b) are replaced by the line that merges both. However this method represents a disadvantage since the optimal solution, for the line that fits the set with the less error, shouldn't be restricted to be the one

conformed by B and C [20]. Here the slope between these points is estimated by averaging the results for each point. Let the line $y[n]=m\cdot n+b$ represents the ramp behavior described by the segment (\overline{AB}) in Fig. 3 b), the slope m can be estimated after averaging as indicated in (2) between the points B and C, where L represents the length in samples of the segment in the x axis. Here, the term Ly_0 is subtracted in order to cancel the term $L\cdot b$ on the third step, where y_0 denotes the ordinate of the point. The algorithm presented in (2) is characterized by its simplicity and ease of implementation.

$$\sum_{i=1}^{L} y_i = \sum_{i=1}^{L} (m \cdot i + b)$$

$$\sum_{i=1}^{L} y_i = m \sum_{i=1}^{L} (i + b) = m \frac{L}{2} (L + 1) + L \cdot b$$

$$\sum_{i=1}^{L} y_i - L y_0 = m \frac{L}{2} (L + 1) + L \cdot b - L y_0$$

$$m \approx \frac{\sum_{i=1}^{L} y_i - L y_0}{\frac{L}{2} (L + 1)}$$
(2)

The final result is then obtained by squaring the output of the in phase and quadrature branch adding both terms; the result is shown in Fig. 3 d). The high level corresponds to the transmission of data with high frequency, the low level to the transmission of symbols with low frequency.

The average slope at the output of the correlators, for the in phase and quadrature branches, are described by $\tan \varphi_p = \frac{A_c}{2} \cos(\varphi_0 - \varphi_L)$ and $\tan \varphi_q = -\frac{A_c}{2} \sin(\varphi_0 - \varphi_L)$ respectively when the frequency received is equal to that of the local oscillator, and 0 otherwise. In this case, after squaring and adding, as indicated in the scheme of Fig. 2, the result is $\frac{A_c^2}{4}$ and 0 on each case.

A reasonable level for the threshold can be determined by $y_{th} = \frac{1}{2} \frac{A_c^2}{4}$, this quantity represents the half of the output of the proposed receiver. This is needed for identifying the high from the low levels in Fig. 3 d) in order to recover de

bits transmitted. This choice for the threshold increases the margin noise and the imperceptible oscillations at the output are not considered.

Hardware Complexity

For each point of curve, the method of cone intersection determines the quantities given in equation (3). Taking into account the in phase and quadrature branch, the number of floating adders and multipliers required is. Besides, adding to this result the elements required in the expression (2) for the slope estimation algorithm, the number is increased to . Finally, considering the elements for the discrete correlator and the square procedure in Fig. 2, the total number of adders and multipliers results in .

$$\tan \varphi_{min} = \frac{y_i - y_0 - d}{x_i - x_0}$$

$$\tan \varphi_{max} = \frac{y_i - y_0 + d}{x_i - x_0}$$
(3)

Data Recovery

The recovery of the binary levels from a BFSK waveform using the scheme in Fig. 3 has been described. Here the discussion about the

performance of digital information associated with the binary data is presented.

After the high and low levels have been recovered, as indicated in Fig. 4, it is needed to obtain the total amount of "1's" and "0's" represented under each level. The length in time of a "1" or a "0" is given by the time-symbol parameter and is denoted by T_s . The comparison of the length of the level and T_s will introduce the quantity of "1's" and "0's" under each level. However, this comparison is prone to errors since the transition of each level is not abrupt; in this case there is an upper bound on the total of bits to be analyzed. Here this situation is analyzed giving a closed form expression for determining the quality of the reception.

The algorithm for recovery the data is as follows:

- Sketching a histogram where the abscissa represents the length of a transition in samples; the amplitude is given by the number of occurrences of these lengths. An example is given in Fig. 5 b), the abscissa represents the duration of the interval in a). This histogram is used for the estimation of the symbol duration.

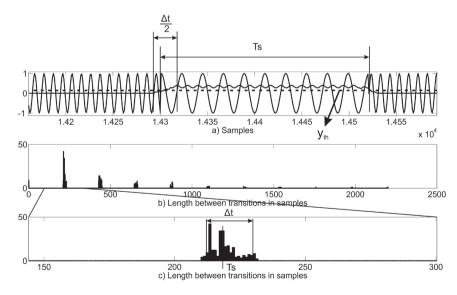


Figure 5 Details regarding the transition between consecutive symbols. a) Signal received and binary levels b) Histogram of the length of transitions c) Horizontal Zoom of *b*

The histogram is comprised of maximal as indicated in Fig. 5 b) and the maximum closer to zero is related to the symbol duration, leading to the T_s estimation as indicated in Fig. 5 c). The estimation of T_s is obtained with Eq. (4), in which N_1 and N_2 represent the interval of the x-axis (e.g. N_1 =200 and N_2 =250 in Fig. 5 c), where the histogram has values unequal to zero), T_m represents the sample period, and N_s represents the values of the histogram obtained.

$$\widehat{T}_{S} = \frac{\sum_{k=N_{1}}^{N_{2}} k \, T_{m} \cdot h[k]}{\sum_{k=N_{1}}^{N_{2}} h[k]} \tag{4}$$

The length of the high levels in Fig. 5 are established by the intersection of the output of the system with the threshold $y_{th} = \frac{1}{2} \frac{A_c^2}{4}$, determined in *Slope Estimation*. In this case, the length of this measure is modified from symbol to symbol by the smooth transition between levels. The accuracy in the determination of T_s , denoted by Δt , could be estimated by calculating the deviation as indicated in Fig. 5 c). The parameters T_s and Δt are related to the binary levels just as indicated in (5).

$$\widehat{\Delta t} = \frac{\sum_{k=N_1}^{N_2} (k T_m - \widehat{T}_s)^2 \cdot h[k]}{\sum_{k=N_1}^{N_2} h[k]}$$
 (5)

- Once \hat{T}_s is obtained, the transmitted digital information is recovered by dividing the length of each level, obtained by the interceptions between the output of the system and the threshold $y_{th} = \frac{1}{2} \frac{A_s^2}{4}$, with the time-symbol parameter estimated. The total of bits represented is recovered by rounding the result towards the nearest integer.

The accuracy to be obtained on the third step depends on the variance Δt . If k identical symbols are supposed to be transmitted sequentially, then the level in the output of Fig. 2 will have a duration given by $k \cdot T_s$ plus the variance Δt . If this resulting duration is divided by T_s plus the same Δt , then the total bits to be recovered can be

described by the expression (6). Using Laurent expansion and considering $\Delta t \ll T_s$ we obtain:

$$\hat{k} = \frac{k \cdot T_s + \Delta t}{T_s + \Delta t} \tag{6}$$

Expression (6) considers the addition of the variance instead of substraction because the linear components in the system of Fig. 2 tends to expand the transitions and not to contract. Besides, the same variance is also considered on each transition as a simplification in the determination of accuracy. This considers that the system responds in the same way no matter the total symbols received.

An error in the estimation of k occurs when the second term in (6) is higher than 0.5. In such a case, the estimated value will be superior to $\hat{k} = k+1$ and the correct value is k. Hence, in the absence of noise the occurrence of consecutive symbols is limited in order to perform an error reception free.

Equation (7) represents the upper bound for k when T_s and Δt are substituted by \hat{T}_s and $\hat{\Delta t}$ respectively, since both values are obtained via the histogram. Expression (7) gives an idea about how many bits the system in Fig. 2 might be received without errors in the absence of noise. Indeed, the probability of transmitting kbits comprised of repetitive sequences of "1's" and "0's" is $2\frac{1}{2^k}$, so an error could happen once in 2^{k-1} transmitted bits. This is why the receiver is upper bounded in the total of bits to be processed. The relation (7) is a closed form expression that represents a figure of merit for the receivers analyzed, and its values are analyzed in **Precision**.

$$k < 0.5 \frac{\widehat{T}_s}{\widehat{\Lambda}_t} - 1 \tag{7}$$

Results

Three key aspects are considered for comparing the proposed solution with the systems reported:

- Hardware Complexity

- Precision through relation (7)
- Bit Error Rate (BER) performance.

Hardware Complexity

In regard to hardware complexity, the Balanced Quadricorrelator is implemented with four filters, two for the lowpass filter and two for implementing the discrete time differentiator. The differentiator can be implemented by means of a FIR filter with fifth order [23], each one with 6 multipliers and 6 adders. This requires the use of 22 floating adders and multipliers when considering both branches. Besides, taking into account the adders and multipliers in the system of Fig. 1 the total is increased to 27, without considering the lowpass filters.

On the other hand, the total of adders and multipliers for implementing the scheme proposed in Fig. 2 is 27 without the use of filters, while in case of the Balanced Quadricorrelator, the elements needed are 27 and two additional filters. A reduction is obtained in the sense that the uses of digital filters are avoided.

Precision

Regarding precision, obtained by means of (7), the quantity depends on the parameters of the modulation format. The BFSK waveform is driven by three parameters, f_0 , f_1 and T_s , which are related to each other by $f_1 = f_0 + m/T_s$. Simulations are made by keeping $f_0 = 1000$ [Hz] constant and varying T_s and m as indicated in (8).

$$f_0 = 1000 \text{ [Hz]}$$

$$T_s = \frac{r}{f_0}$$

$$f_1 = f_0 + \frac{m}{T_s}$$
(8)

A family of curves for the k parameter in (7) can be obtained when r is the independent variable and m is evaluated for several values. The simulations show that precision is not to much affected with changes in m but with changes in

r, these curves are almost the same when $m \ge 4$. Figure 6 shows in average the results for both systems, the Balanced Quadricorrelator and the Polygonal approximation. The graphic is conformed by averaging the different values given by the variation of m when r is fixed, m is considered in the interval 2 to 20

The curves depicted in Fig. 6 show that the Balanced Quadricorrelator is superior for almost every value of r, where r is defined as indicated in (8). This can be explained by the fact that the Quadricorrelator performs the operations locally, mainly with the use of discrete-time differentiators, while the proposed scheme determines transitions with the information of several points, which in turns smooth the transitions between levels making it more inaccurate.

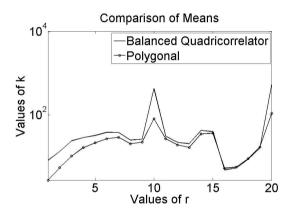


Figure 6 Precision for different values of r. The y axis is represented in a logarithmic scale. The values of k are in relation with (7)

BER Parameter

The Bit Error Rate of the proposed receiver is compared with the Balanced Quadricorrelator in Fig. 7. Simulation results indicate that they have similar performance. The range analyzed is below an $\frac{E_b}{N_0}$ of 5 [dB] since up to this value error correcting codes are usually employed [24], E_b and N_0 represent the energy of the bit received and the noise spectral density respectively.

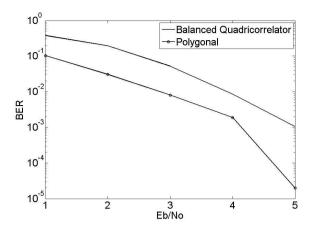


Figure 7 7 Bit Error Rate. Parameters: w_0 =0.6357 [rad/s], w_1 =0.2856 [rad/s], T_s =0.02 [s], A_c =1[V], 10⁵bits transmitted, E_b and N_0 represent the energy of the bit received and the noise spectral density respectively

Conclusions

In this paper a new low complexity demodulation scheme is given, which shows similar error performance as the Balanced Quadricorrelator. High-order filters are avoided thanks to one important matter: the symbol detection is accomplished through an algorithm based on slope recognition at the output of Sampler Correlator. This element represents the bridge between digital demodulation and curve segmentation techniques. Based on this procedure, new digital demodulators for BFSK waverform can be developed by means of curve segmentation techniques with reduction in complexity.

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