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Dementia and Other Chronic Diseases in Older Adults in Havana and Matanzas: The 10/66 Study in Cuba

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ABSTRACT

INTRODUCTION Chronic non-communicable diseases are the leading cause of death worldwide, except in Sub-Saharan Africa. Nonetheless, one of these conditions, dementia, is the major contributor to disability-adjusted life years in people aged ≥ 60 years. Few epidemiological studies exist of the prevalence and impact of dementia and selected chronic diseases in older adults in Latin America.

OBJECTIVE Describe prevalence of dementia, other chronic vascular diseases and cardiovascular risk factors, as well as resulting disabilities and care needs generated in adults aged ≥ 65 years in Havana City and Matanzas provinces, Cuba.

METHODS The 10/66 study is a prospective longitudinal study involving a cohort of 3015 adults aged ≥ 65 years in municipalities of Havana City and Matanzas provinces, divided into two phases: a cross-sectional door-to-door study conducted in 2003–2006, and a follow-up and assessment phase in 2007–2010. This article reports findings from the first phase. Hypertension diagnosis was based on criteria from the International Society for Hypertension; diabetes mellitus on American Diabetes Association criteria; stroke according to WHO definitions; and dementia according to criteria of the American Psychiatric Society's Diagnostic and Statistical Manual of Mental Disorders DSM-IV and the 10/66 International Dementia Research Group. Ischemic heart disease was defined by self-report of previous physician diagnosis. Study variables included age, sex, educational level, substance use (alcohol, tobacco) and dietary habits. A structured physical and

neurological exam, including blood pressure measurement, was performed on all participants. Laboratory tests included complete blood count, fasting blood glucose, total cholesterol and lipoprotein fractions, triglycerides and apolipoprotein E genotype. Prevalence and standardized morbidity ratios (crude and adjusted) were calculated for chronic diseases studied with 95% confidence intervals, using a Poisson regression model and indirect standardization.

RESULTS The study assessed 2944 older adults (response rate 97.6%) and found high prevalence of vascular risk factors and of chronic non-communicable diseases: hypertension 73.0% (95% CI 71.4–74.7), diabetes mellitus 24.8% (95% CI 22.9–26.5), ischemic heart disease 14.1% (95% CI 12.9–15.4), dementia 10.8% (95% CI 9.7–12.0) and stroke 7.8% (95% CI 6.9–8.8). The majority of participants (85%) had more than one cardiovascular risk factor. The main cause of disability and dependency in the study population was dementia.

CONCLUSION The high prevalence of chronic diseases observed in the elderly—with the consequent morbidity, disability and dependency—highlights the need for prevention, early diagnosis and risk factor control, particularly given the demographic and epidemiologic transition faced by Cuba and other developing countries.

KEYWORDS Dementia, chronic disease, cardiovascular diseases, heart disease, stroke, diabetes mellitus, disability, hypertension, aging, prevalence, epidemiology, Cuba

INTRODUCTION

Population aging is occurring worldwide and faster than anticipated.[1] Populations are growing slowly, with the proportion of older people increasing as a result of declining fertility and replacement rates coupled with longer life expectancies. Latin America, China and India are experiencing an unprecedented acceleration in population aging. As in other developing countries, chronic non-communicable diseases (NCDs) are becoming a bigger burden.

NCDs are the leading cause of death worldwide, except in Sub-Saharan Africa, and in 2005, 80% of the 35 million deaths from NCDs occurred in low- and middle-income countries.[2] In part, this is due to the fact that 60% of the world's older adults now live there, a figure expected to reach 80% by 2050.

However, changes in risk factor patterns are also contributing to this picture. The third stage in the epidemiologic transition is exemplified in Latin America, where, along with increased life expectancy, fat-rich diets, smoking and physical inactivity have become more common. As a result, cardiovascular diseases have become the main population health problem, more so than in stage two regions—e.g., China and India—where risk factors are less prevalent, or in stage four regions—e.g., Europe—where public health policies have reduced risk exposure levels.[3] INTERHEART, a multinational, case-control study, hypothesizes

that risk factors for myocardial infarction operate similarly in all regions of the world, including Latin America and China.[4]

Twice as many people die annually from NCDs as from infectious diseases—including HIV/AIDS, tuberculosis, and malaria—maternal and perinatal causes and nutritional deficiencies combined. Thus, 388 million people worldwide will die from one or more NCDs over the next decade.[5,6] However, 80% of premature deaths due to cardiovascular disease, stroke and diabetes mellitus could be prevented with healthy lifestyles, early diagnosis and drug therapy.[7,8]

Although there is growing interest in assigning higher priority to NCDs in national and global health strategies, mental illnesses in general and dementia in particular are absent from the policy agendas of most low- and middle-income countries.[9]

Cuba is a developing country of 11.9 million with health indicators comparable to those of developed countries and a rapidly aging population. By the year 2020, it is predicted that Cuba will have the highest proportion of older adults in Latin America, with 25% aged ≥ 60 years.[10] Currently, NCDs—and within them, in order of decreasing frequency, ischemic heart disease, cancer and stroke—are the leading causes of death, together accounting for about 60% of all deaths.[11]

Given the demographic and health transitions described, more current and detailed information on the epidemiology of NCDs in older adults is urgently needed. The aim of this study is to describe in a cohort of older adults the prevalence of chronic diseases—including dementia—and their risk factors, as well as the disability and dependence they engender. We have included dementia because, in addition to being an NCD, it is the major contributor (11.2%) to disability-adjusted life years in people aged ≥ 60 years, accounting for more than stroke (9.5%), musculoskeletal diseases (8.9%), cardiovascular diseases (5.0%) or cancer (2.4%).[3]

METHODS

The 10/66 Study is a population-based, door-to-door study, involving a total sample of 21,000 participants aged ≥ 65 years from 11 countries: Brazil, Cuba, Mexico, Peru, Puerto Rico, the Dominican Republic and Venezuela in Latin America; China and India in Asia; and Nigeria and South Africa in Africa. Instruments used were validated in pilot research carried out simultaneously in 26 countries including Cuba.[12]

The study's methodology enables diagnosis of dementia and its subtypes, other mental and physical health conditions as well as description of anthropometric measures and socio-demographic characteristics. An extensive questionnaire was administered to each participant, with questions on NCDs and risk factors, disability and functional levels, health services utilization, care arrangements and caregiver strain. This was rounded out with physical and neurological exams, complementary tests, and an interview with a reliable informant.

In Cuba, the 10/66 Study is a prospective, longitudinal cohort study of 3015 adults aged ≥ 65 years, 2100 in Havana City province and 915 in Matanzas province. Two phases were adopted: the first, a cross-sectional prevalence study carried out door-to-door from June 2003 through December 2006; and the second, a follow-up and assessment study of the cohort from 2007 through 2010. Details of the research protocol and methods have been published elsewhere.[13–15] This article reports results from the first phase.

Variables The following variables were included:

- Sociodemographic characteristics: age, sex and educational level.
- Self-reported NCDs, based on questions such as: "Has a doctor ever diagnosed you with cerebrovascular disease / heart attack / angina / diabetes?", as well as description of symptoms.
- Smoking habits described as: current smoker, ex-smoker, never smoked; type of tobacco used (cigarettes, cigars, pipe tobacco, chewing tobacco, snuff); average number of units used per day; age at onset and age of quitting, if applicable (the last two used to compute length of exposure).
- Alcohol consumption and units of alcohol ingested per week, by amount consumed weekly before and after age 65. The cut-off level for high-risk drinkers was 14 units per week for women and 21 units per week for men. Participants were asked, "Was there ever a time in your life when you would have said you were a heavy drinker? Have you ever had treatment or help for problems with alcoholism?"
- Mean systolic and diastolic blood pressure, measured sitting and standing on two separate occasions. Two methods were used to diagnose hypertension: self-report of physician diagnosis ("Have you ever been told you had high blood pressure? When were you first told? Did you start a treatment? Are you still being treated?"); and/or a blood pressure reading meeting criteria of the International Society for Hypertension (systolic pressure ≥ 140 mm Hg and/or diastolic pressure ≥ 90 mm Hg).[15]
- Diagnosis of dementia followed the criteria and diagnostic algorithm of the 10/66 Study[12,13] involving: a) a structured clinical interview, the Geriatric Mental State and its computerized algorithm (AGECAT);[16] b) the battery of cognitive tests from the Community Screening Interview for Dementia (CSI 'D') COGSCORE[17] c) the verbal fluency task and 10-word list learning task with delayed recall, developed by the European Consortium to Establish a Registry for Alzheimer's Disease (CERAD);[18] d) an interview with a reliable informant, the CSI'D' RELSCORE[17] for evidence of cognitive and functional decline; and, e) additional information on onset and course of dementia provided by the Program for Diagnosis of Dementia and its Subtypes, History, and Etiology.[19] Criteria of the DSM-IV and American Psychiatric Society were also used.[20]
- Stroke diagnosis, defined by the WHO, based on information from the participant and a reliable informant about sudden or rapidly developing signs of focal or global neurological dysfunction lasting >24 hours with no apparent nonvascular cause (cranial trauma; neoplasia; coma attributable to metabolic disorder or electrolyte imbalance; vasculitis; central nervous system infection; or peripheral neuropathy).[21]

All individuals surveyed were given a structured physical and neurological exam for objective and quantitative measurement of focal signs, parkinsonism, ataxia, apraxia and primitive reflexes (NEUROEX)[22] to detect stroke symptoms and signs using the US National Institutes of Health Stroke Scale (NIHSS).[23]

If a participant had had an imaging study (computerized axial tomography or magnetic resonance imaging), a written report from the study was sought, including neuroimaging findings and time elapsed since the event that prompted the study.

- Diabetes mellitus, diagnosed in two ways: 1) self-report of physician diagnosis of diabetes ("Have you ever been told you have diabetes? When were you first told? Did you start treatment? Are you still being treated?"); and/or 2) fasting glucose of ≥ 7.0 mmol/L confirmed on two different days.[24]
- Central obesity, defined according to criteria of the Third Report of the Adult Treatment Panel of the National Cholesterol Education Program (NCEP–ATP III): abdominal circumference >102 cm in men and >89 cm in women.[25]
- Disability, determined using the short, 12-item version of the WHO Disability Assessment Schedule II (WHODAS-II), which assesses six domains: communication, mobility, self-care, interpersonal interaction, activities of daily living and social participation.[26] This version showed strong internal consistency in the validation conducted in Cuba and in various centers involved in the 10/66 Study.[27] The cut-off for severe disability was the 90th percentile of sample's score distribution.

- Physical impairment, assessed through self-report from a list of 11 commonly occurring limiting conditions:[13] paralysis, weakness or loss of limb; vision problems; gastrointestinal problems; arthritis or rheumatism; heart problems; hypertension; hearing loss or deafness; breathlessness; difficulty breathing or asthma; fainting or blackouts; skin disorders; persistent cough. These were coded as present if they interfered with activities to any degree.
- Self-reported health status, based on the following question: "How would you describe your general health over the past 30 days?" (very good, good, fair, poor, very poor).
- Dependency, assessed with the following instruments:
 - Gilleard et al.'s scale for caregiver time spent on elder care[28]
 - Davis et al.'s Caregiver Activity Survey of time spent assisting elder with daily living activities.[29]
- Older adults were placed in three categories: dependent (care needed most of the time), occasionally dependent (care needed occasionally), and independent (care not needed).

Analysis Prevalence by sex and age group was calculated of hypertension, diabetes mellitus, ischemic heart disease, dementia, and stroke; as was prevalence of one or more cardiovascular risk factors (smoking, hypertension, diabetes and central obesity). Prevalence ratios with 95% confidence intervals for the effects of age in the presence of one or more cardiovascular risk factors were calculated using Poisson regression.[30]

Age- and sex-specific international prevalence comparisons were made for hypertension, ischemic heart disease, diabetes mellitus and stroke, using indirect age standardization and the standardized morbidity ratio (SMR) with 95% confidence intervals, using as reference populations the US National Health and Nutrition Examination Survey (NHANES)[31] and data provided by US National Heart Lung and Blood Institute.[32] The same approach was used for dementia, using as a reference EURODEM, a meta-analysis of 12 European studies.[33]

Population-attributable fractions for association between chronic disease and disability were calculated using the WHODAS-II score, estimating the proportion of disability avoidable if each health condition were eliminated, assuming causality and absence of confounding between health condition and outcome.

Poisson regression was used to evaluate associations between the various chronic diseases and dependency, estimating the population-attributable fraction for association between each chronic disease and dependency and between the combination of hypertension, diabetes and ischemic heart disease and dependency.

Data were entered into Epidata, exported to SPSS, and then to STATA version 9.2 for analysis.[34]

Ethical aspects Participants were asked for verbal and signed informed consent. If not capable of consent, permission was obtained from the responsible relative or guardian; confidentiality was protected of all information obtained in interviews. The study protocol was approved by the ethics committee of the Medical University of Havana.

RESULTS

Of 3015 older adults enumerated, 2944 interviews were completed, for a response rate of 97.6% overall, 97.3% (2043/2100) in Havana and 98.5% (901/915) in Matanzas. Data were missing for some variables, hence variations reported in tables.

Sociodemographic characteristics The samples from Havana City and Matanzas were similar in sociodemographic characteristics, health profiles and behavior. The mean age of the study population was 74 years and over one quarter were aged ≥80 years; 64.7% were women; 75% had completed primary school or beyond; only 9% of participants lived alone (Table 1).

Risk factors One-fifth of those surveyed were current smokers and approximately 90% did not consume alcohol; 7.5% were classified as high-risk drinkers before age 65 and 3.6% were still in this category. Only 6.4% perceived their health status as poor,

Table 1: Sociodemographic and health characteristics in selected populations: the 10/66 Study in Cuba

| | Havana City Province (n=2043) | Matanzas Province (n=901) | Total (n=2944) |
|---------------------------------|-------------------------------------|---------------------------------|-------------------|
| | n (%) | n (%) | n (%) |
| Sex | | | |
| Female | 1349 (66.0) | 555 (61.6) | 1904 (64.7) |
| Male | 694 (34.0) | 346 (38.4) | 1040 (35.3) |
| Age group (years) | | | |
| 65–69 | 542 (26.5) | 218 (24.2) | 760 (25.8) |
| 70–74 | 566 (27.7) | 223 (24.8) | 789 (26.8) |
| 75–79 | 445 (21.8) | 194 (21.5) | 639 (21.7) |
| ≥80 | 483 (23.6) | 266 (29.5) | 749 (25.4) |
| Unknown | 7 (0.3) | 0 (0.0) | 7 (0.2) |
| Living alone | 183 (9.0) | 78 (8.7) | 261 (8.9) |
| Marital status | | | |
| Married | 876 (43.0) | 395 (43.8) | 1271 (43.2) |
| Widowed | 631 (30.9) | 297 (33.0) | 928 (31.5) |
| Separate or divorced | 357 (17.5) | 105 (11.7) | 462 (15.7) |
| Never married | 172 (8.4) | 103 (11.4) | 275 (9.3) |
| Education | | | |
| None | 49 (2.4) | 26 (2.9) | 75 (2.5) |
| Incomplete primary | 458 (22.4) | 197 (21.9) | 655 (22.2) |
| Primary completed | 661 (32.4) | 318 (35.3) | 979 (33.3) |
| Secondary completed | 469 (23.0) | 259 (28.7) | 728 (24.7) |
| University completed | 398 (19.5) | 101 (11.2) | 499 (16.9) |
| Physical inactivity | 629 (30.8) | 324 (36.0) | 953 (32.4) |
| Smoking | | | |
| Never smoked | 1125 (55.1) | 490 (54.4) | 1615 (54.9) |
| Ex-smoker | 513 (25.1) | 251 (27.9) | 764 (26.0) |
| Currently smokes | 403 (19.7) | 162 (18.0) | 565 (19.2) |
| Alcohol use | | | |
| Abstainer | 1754 (85.9) | 785 (87.1) | 2539 (86.2) |
| High-risk drinker | 77 (3.8) | 28 (3.1) | 105 (3.6) |
| ≥ 3 limiting conditions | 201 (9.8) | 91 (10.1) | 292 (9.9) |
| Poor perceived health | 151 (7.4) | 37 (4.1) | 188 (6.4) |
| Risk factors^a | | | |
| None | 282 (13.8) | 135 (15.0) | 417 (14.2) |
| One | 767 (37.5) | 375 (41.6) | 1142 (38.8) |
| Two | 685 (33.5) | 323 (35.8) | 1008 (34.2) |
| Three or more | 292 (14.3) | 85 (9.4) | 377 (12.8) |

^a Smoking, hypertension, diabetes, obesity

the percentage being higher in Havana City than in Matanzas. More than 80% of those interviewed had one or more risk factors (smoking, hypertension, diabetes, or central obesity), including those without previous cardiovascular disease. Frequencies of the selected risk factors and prevalence ratios by age groups are shown in Table 2.

Over 85% of these adults aged ≥ 65 years exhibited cardiovascular risk factors, a very high prevalence; and over half of them did, even when hypertension was excluded. Prevalence of having one or more risk factors declined in those aged ≥ 75 years (p value for trend <0.001), except in the group aged 75–79 years when hypertension was excluded as a risk factor (Table 2).

NCDs Elevated prevalence of NCDs was found, in order of decreasing frequency: hypertension 73.0%, diabetes mellitus 24.8%, ischemic heart disease 14.1%, dementia 10.8% and stroke 7.8%. All these conditions except stroke were more prevalent in women in all age groups; stroke showed higher prevalence in men in all age groups (Table 3).

Subsequent to indirect standardization, the standardized morbidity ratio was obtained for hypertension, ischemic heart disease, diabetes mellitus, stroke, and dementia. A standardized morbidity ratio (SMR) of 100 implies that NCD prevalence in our study is similar to that found in the reference population; an SMR of less than 100 implies that prevalence is lower than that found in the reference population, and an SMR greater than

Table 2: Prevalence of ≥ 1 risk factor by age and sex: the 10/66 Study in Cuba

| Risk Factors | Sex | Age group (years) | | | | | Overall prevalence (%) |
|---|-----------------|----------------------------|----------------------------|----------------------------|----------------------------|----------------------------------|------------------------|
| | | 65–69 (n=760) | 70–74 (n=780) | 75–79 (n=639) | 80+ (n=749) | All Ages (n=2928) | |
| | | Prevalence (%) (95% CI) | Prevalence (%) (95% CI) | Prevalence (%) (95% CI) | Prevalence (%) (95% CI) | Prevalence (%) (95% CI) | |
| \geq Risk Factor ^a | F | 87.9 (85.0–90.8) | 91.1 (88.6–93.6) | 86.3 (83.0–89.6) | 82.9 (79.7–86.2) | 87.0 (85.5–88.5) | 85.8 (84.8–87.0) |
| | M | 86.4 (82.4–90.5) | 87.0 (83.1–90.9) | 81.7 (76.7–86.7) | 78.1 (72.8–83.4) | 83.6 (81.3–85.8) | |
| | PR ^b | (ref.=1.00) | 0.99 (0.97–1.03) | 0.96 (0.93–0.99) | 0.93 (0.90–0.91) | 0.97 (0.96–0.99) ^c | |
| \geq Risk Factor ^a excluding HT | F | 67.1 (63.0–71.3) | 52.7 (52.4–62.0) | 58.2 (52.4–64.1) | 50.9 (44.9–57.3) | 60.8 (58.7–63.0) | 57.3 (55.5–59.1) |
| | M | 67.8 (63.7–71.9) | 51.2 (46.8–55.5) | 53.4 (47.7–59.2) | 38.6 (33.3–44.9) | 50.8 (47.8–53.9) | |
| | PR ^b | (ref.=1.00) | 0.98 (0.90–1.07) | 0.86 (0.78–0.95) | 0.70 (0.63–0.78) | 0.89 (0.86–0.92) ^c | |

^aAny of: smoking, hypertension, diabetes, central obesity

^b Prevalence ratios adjusted for sex, education, and remaining covariates in the model (smoking, hypertension, diabetes, and central obesity)

^c p value for trend <0.001

Table 3: Prevalence of selected chronic diseases in Cuban population samples and international comparisons: the 10/66 Study in Cuba

| | Sex | Age (years) | | | | | Overall prevalence (%) | SMR adjusted for age and sex (95% CI) |
|------------------------|-----|---------------------|---------------------|---------------------|---------------------|----------------------|------------------------|---------------------------------------|
| | | 65–69 (n=760) | 70–74 (n=780) | 75–79 (n=639) | 80+ (n=749) | All ages (n=2928) | | |
| Hypertension | F | 70.4 (66.4–74.5) | 80.0 (76.5–83.7) | 76.0 (71.9–80.1) | 73.4 (69.6–77.2) | 75.0 (73.0–76.9) | 73.0 (71.4–74.7) | 104 ^a (100–109) |
| | M | 71.4 (66.1–76.8) | 70.9 (65.7–76.1) | 67.8 (61.8–73.9) | 66.1 (60.0–72.2) | 69.2 (66.3–71.9) | | |
| Stroke | F | 4.6 (2.7–6.4) | 6.7 (4.5–8.8) | 7.1 (4.6–9.6) | 9.4 (6.9–12.0) | 7.0 (5.8–8.1) | 7.8 (6.9–8.8) | 88 ^b (74–96) |
| | M | 6.3 (3.4–9.2) | 9.2 (5.9–12.2) | 8.0 (4.4–11.6) | 15.1 (10.4–19.7) | 9.5 (7.7–11.3) | | |
| Dementia | F | 2.9 (1.4–4.4) | 6.3 (4.1–8.4) | 9.8 (6.9–12.6) | 26.8 (23.0–30.7) | 11.6 (10.3–13.1) | 10.8 (9.7–12.0) | 116 ^c (105–122) |
| | M | 2.8 (1.0–4.9) | 6.1 (3.4–8.9) | 7.0 (3.7–10.4) | 23.3 (17.8–28.7) | 9.2 (7.6–11.1) | | |
| Diabetes | F | 26.3 (21.9–30.6) | 30.0 (25.6–34.5) | 29.2 (24.2–34.2) | 24.8 (20.5–29.1) | 27.5 (25.2–29.8) | 24.8 (22.9–26.5) | 117 ^a (107–126) |
| | M | 19.6 (14.1–25.0) | 22.2 (16.9–27.6) | 18.1 (12.2–23.9) | 17.2 (11.7–22.7) | 19.4 (16.6–22.2) | | |
| Ischemic Heart Disease | F | 12.6 (9.8–15.7) | 15.1 (12.0–18.3) | 15.6 (12.1–19.2) | 14.8 (11.7–17.9) | 14.5 (12.9–16.1) | 14.1 (12.9–15.4) | 106 ^a (101–112) |
| | M | 12.8 (8.8–16.8) | 13.4 (9.5–17.2) | 15.3 (10.7–20.0) | 12.4 (8.2–16.7) | 13.4 (11.3–15.5) | | |

^a US National Health and Nutrition Examination Survey (=100, reference)[31]

^b US National Heart Lung and Blood Institute (=100, reference)[32]

^c EURODEM (=100, reference)[33]

Table 4: Prevalence of chronic diseases in older adults and contribution to disability and dependency: the 10/66 Study in Cuba

| Chronic Disease | Prevalence (CI 95%) | Disability | | Dependency | | |
|------------------------|------------------------|-----------------------------|-------------------------|------------------------|-------------------------------|-------------------------|
| | | RR ^a (95% CI) | PAF ^b (%) | Rank order (by PAF) | RR ^c (95% CI) | PAF ^d (%) |
| Dementia | 10.8 (9.7–12.0) | 2.44 (2.19–2.71) | 43.6 | 1 | 17.3 (11.2–26.7) | 64.6 |
| Stroke | 7.8 (6.9–8.8) | 1.38 (1.23–1.55) | 11.4 | 2 | 2.5 (1.9–3.4) | 23.1 |
| Ischemic Heart Disease | 14.1 (12.9–15.4) | 1.07 (0.95–1.21) | 3.1 | 3 | 1.5 (1.2–1.8) ^e | 3.1 |
| Diabetes | 24.8 (22.9–26.5) | 1.10 (1.01–1.16) | 2.5 | 4 | | |
| Hypertension | 73.0 (71.4–74.7) | 0.99 (0.91–1.08) | 2.1 | 5 | | |

^a Relative risk (estimated by prevalence ratio) of disability given chronic disease

^b Population attributable fraction for contribution of chronic disease to disability

^c Relative risk (estimated by prevalence ratio) of dependency given chronic disease

^d Population attributable fraction for contribution of chronic disease to dependency

^e Relative risk (estimated by prevalence ratio) of dependency given combination of hypertension, diabetes and ischemic heart disease

100 implies that prevalence is higher than that of the reference population.

Using US NHANES as a reference population, our study's SMR was elevated for diabetes: SMR 117 (95% CI SMR 107–126); ischemic heart disease: SMR 106 (95% CI 101–112); and hypertension: SMR 104 (95% CI 100–109); and was reduced for stroke: SMR 88 (95% CI 74–96). For dementia, the prevalence rates by age and sex were higher than those reported by EURODEM: SMR 116 (95% CI 105–122).

Disability and dependency Table 4 shows prevalence of the chronic diseases studied and the contributions of these to disability and dependency, demonstrated by prevalence ratios and population attributable fractions. A strong association was found between risk of severe disability and dementia and stroke in older adults. The highest population attributable fraction for disability resulted from dementia, 43.6%; the second highest was for stroke.

A participant with dementia was 17 times more likely than those without to need care most of the time (Table 4). In the case of people with stroke, need for such care was 2.5 times more likely. For hypertension, ischemic heart disease and diabetes mellitus, the probability was 1.5 times higher than in those who did not present with any of these conditions. Population attributable fractions for care needs were 64.6% for dementia, 23.1% for stroke, and 3.1% for the three other chronic diseases combined.

DISCUSSION

The study presents evidence of a high prevalence of NCDs and their vascular risk factors in older adults in Havana City and Matanzas. The prevalence of hypertension, diabetes, ischemic heart disease, and dementia was higher than reported for the same diseases in two studies used as references performed in developed countries: US NHANES[31] and EURODEM.[33]

Salud, Bienestar y Envejecimiento en las Américas (Health, Welfare, and Aging in the Americas, known by its Spanish acronym, SABE),[35] was a door-to-door, cross-sectional study carried out in the year 2000, in which 1905 people aged ≥60 years in Havana

City were interviewed. In this study, 44% of the older adults reported having hypertension, a majority of them women, and 14.8% of participants reported a history of diabetes mellitus. The higher prevalences of hypertension and diabetes mellitus found in our study may relate to the fact that SABE used only self reports, while we combined self reporting with blood pressure and fasting blood glucose measurements. The prevalence of stroke based on self reports was 9.7% in Havana City in SABE; we found a slightly lower prevalence of 7.8% using WHO criteria.[21]

The prevalence of hypertension in the population studied is as high as that reported in the United States. US NHANES (1999–2004) found a hypertension prevalence of 60% among those surveyed aged 60–69 years, increasing to 72% in those aged 70–79 years and to 77% in those aged ≥80 years.[31]

Numerous studies have demonstrated that adequate treatment and control of hypertension reduce cardiovascular morbidity and mortality in patients aged 60–80 years.[36,37] Given that the absolute risk of experiencing a cardiovascular event is much greater in older people, the number of people with hypertension who need to be treated to prevent an adverse event is smaller in older adults: 22 in a 5-year period to prevent stroke and 45 to prevent a cardiovascular episode, compared with 113 to prevent stroke and 180 to prevent a cardiovascular episode in those aged <65 years.[38] Despite this evidence, available studies unfortunately indicate that knowledge, treatment and control of hypertension is inadequate.

Diabetes prevalence in our study is slightly higher than that reported in US NHANES 1999–2002,[39] in which prevalence increased with age, from 2.4% in those aged 20–39 years to 21.6% in people aged ≥65 years; overall diabetes prevalence increased slightly from 5.1% in 1988–1994 to 6.5% in 1999–2002, with greatest increases occurring in the elderly.[39] With population aging and increased prevalence of obesity, diabetes prevalence is expected to double by 2030.[24]

Self-reported diagnosis of ischemic heart disease in our study was confirmed by medical specialists; prevalence was higher than symptomatic ischemic heart disease prevalence reported in those aged ≥65 years by US NHANES.[31] By the year 2020, cardiovascular diseases—and first among them, arteriosclerosis—are projected to be the leading causes of worldwide disease burden, as measured by disability-adjusted life years.[40]

Stroke represents the third leading cause of death and the second cause of disability and dementia in the adult population over 65 worldwide. Stroke prevalence in our participants was lower than in the same age group reported by US National Heart, Lung and Blood Institute,[32] similar to that in an urban area of Madrid, 8.5% (95% CI 5.5–11.5)[41] and higher than that reported in other Latin American studies.[42] In a recent publication,[43] we reported association of stroke in older Cuban adults with various risk factors, among them: hypertension, low HDL cholesterol, male sex, anemia, history of ischemic heart disease, carriage of one or two alleles of the apolipoprotein E (APOE) genotype, and older age.

Dementia has become one of public health's most important problems, as the leading cause of disability and major contributor to dependency in older adults in Cuba and developed countries. [13,44] Recent systematic reviews of prevalence data and expert consensus estimate that 36 million people suffer from dementia globally, with 4.6 million new cases annually (similar to the annual incidence of non-fatal stroke). [45,46] Dementia prevalence in this study, using 10/66 criteria, was higher than that reported in EURODEM. We have demonstrated that, at least for Cuba, 10/66 dementia criteria coincide better with specialists' clinical diagnosis than do DSM-IV criteria, which exclude a high proportion of mild dementia cases. [47] The Playa Study on Dementia and Alzheimer's (EDAP, its Spanish acronym) was a cross-sectional, door-to-door study carried out in 2002 of 18,000 people aged ≥ 65 years in Playa municipality, Havana City province. EDAP found dementia in 9.3% of older adults, most female. Prevalence progressively increased with age. Education and cognitive training had a protective effect. [48]

Globally, cardiovascular diseases (32.9% of years of potential life lost) and cancer (22.5% of years of potential life lost) constitute the leading causes of premature death. However, they occupy eighth and ninth places among leading causes of disability, while dementia is the second leading cause of disability among chronic conditions in the elderly. [46] Dementia is not a simple unavoidable fact of aging but the final result of many risk factors and chronic vascular diseases—therefore preventable in a many cases.

A cohort effect as a result of the epidemiologic transition and increases in risk factors in the older adult population could explain the higher prevalence of NCDs reported in this study. The population-based Cardiovascular Risk Factor Multiple Evaluation (CARMELA), a study of seven Latin American cities, [49] reported high frequency of cardiovascular risk factors, including obesity prevalence of 23% and smoking prevalence of 30% among those aged 25–64 years.

The much greater association between dementia and both disability and dependency compared to the remaining NCDs studied is indicative of the magnitude of this growing health problem's impact on individuals, families and society. The observed population attributable fractions indicate that assuming a causal relationship between dementia and disability, if dementia did not exist as a health problem, the prevalence of severe disability would diminish by almost half in adults aged ≥ 65 years.

Our research was based on a single-phase, door-to-door study, with a high response rate, and addressed prevalence of NCDs and risk factors as well as their impacts on disability and dependency in older adults. One limitation is that because they are local estimates, they cannot be generalized to the whole country.


Nonetheless, the strategy of surveying the entire population within selected areas facilitated longitudinal followup. Surveying the selected population in a single phase with a standardized questionnaire applied by specialized personnel, as described here, is a strength of the study. The questionnaire allowed us to obtain detailed information related to participants' physical and mental health, risk factors and care needs, as well as impact on family and community.

Cross-sectional studies provide local estimates of the prevalence of given diseases and health conditions needed for setting priorities among health conditions, developing health policies and strategies and planning service improvements. However, such studies need to be followed up to elucidate causal associations and assess changes in risk factor prevalence, disease prevalence and incidence, disability and dependency. Longitudinal studies are indispensable for exploring these additional dimensions; results from our 2007–2010 followup will provide further understanding of the dynamic of chronic vascular diseases in older adults and enable confirmation of associations observed in the first, cross-sectional phase.

CONCLUSIONS

In view of the challenges presented by the demographic and epidemiologic transitions in developing countries, the high prevalence of NCDs in the elderly and their consequent morbidity, disability and dependence highlight the need for prevention, early diagnosis and risk factor control.

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