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# Cooper Pairing and Superconductivity in a Spin Fluctuation Model for High- $T_c$ Cuprate Superconductors

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We study the formation of Cooper pairs in high- $T_c$  cuprate superconductors within a spin fluctuation model for doped quantum Heisenberg antiferromagnets. The charge of the dopants (chargons) is associated to quantum skyrmion excitations of the  $Cu^{++}$  antiferromagnetic spin background. We then compute the quantum skyrmion effective interaction potential as a function of doping and temperature in order to study charge pairing. It becomes clear that Cooper pair formation is determined by the competition between the spin fluctuations of the  $Cu^{++}$  magnetic ions and the spins of the  $O^{--}$  doped holes (spinons). The superconducting transition occurs when the effective potential allows for skyrmion bound states. Our theoretical predictions for the superconducting phase diagram of  $La_{2-x}Sr_xCuO_4$  and  $YBa_2Cu_3O_{6+x}$  are in good agreement with experiment.

#### I Introduction

Strongly correlated electron systems have been the object of intense studies, both theoretical and experimental, after numerous indications that the high temperature superconductivity, discovered in cuprate perovskites [1], arises from the doping of a Mott-Hubbard antiferromagnetic insulator. The strong correlating system deviates significantly from the usual Fermi liquid and a number of anomalies are observed in the so called underdoped regime. Among the most interesting ones are: Néel and metal-insulator transitions, linear dependence of the resistivity with temperature [2], the reduction of the density of states previous to superconductivity (pseudogap) [3], different responses for the optical probes of the spin and charge degrees of freedom (spincharge separation) [4], etc., which have inspired a large amount of theoretical and experimental work for about fifteen years. In spite of that, even the nature of the ground state and of its elementary excitations have not yet been fully determined and many different pictures are available, ranging from a resonating liquid of spin singlets (Anderson's RVB) [4] until the recent proposed staggered flux phase (SF-phase) of Wen and Lee [5].

One of the most fundamental points yet to be understood is the mechanism of charge pairing. It is by now well established that antiferromagnetic spin correlations play an important role in the dynamics of the system, even after the destruction of the Néel state. Indeed, different spin-fluctuation models have been successfully used to explain the observed spectral weight in ARPES data of high- $T_c$  materials [6], as well as other

anomalies [7]. Moreover, the idea of spin-fluctuation induced charge pairing and superconductivity has been used recurrently [8].

In this work we propose a theory for high- $T_c$ cuprates that takes into account the spin fluctuations of the Cu<sup>++</sup> magnetic ions and of the O<sup>--</sup> doped holes as independent degrees of freedom. The charge of the dopants (chargons) is associated to skyrmion quantum spin excitations of the Cu<sup>++</sup> background, which in the Néel phase are finite energy defects closely related to their classic counterparts whereas in the quantum disordered phase are nontrivial zero energy purely quantum mechanical excitations. The spin of the doped holes (spinons), on the other hand, is represented by chargeless, massless Dirac fermion fields [9]. We calculate the effective interaction potential between these quantum skyrmion topological excitations in order to study charge pairing. It becomes clear that Cooper pairing is controlled by the competition between the spin fluctuations of Cu<sup>++</sup> magnetic ions and those of the O<sup>--</sup> doped holes. Our predictions for the  $T_c$  line are in good agreement with experiment for both La<sub>2-x</sub>Sr<sub>x</sub>CuO<sub>4</sub> and  $YBa_2Cu_3O_{6+x}$ .

#### II The model

It is generally accepted that the relevant electronic degrees of freedom in the perovskites are confined to two dimensions and reside in Copper-Oxide planes [10], like the one shown in Fig. 1. Our starting point will then be the generalized spin-fermion model described by the

square lattice Hamiltonian

$$\mathcal{H} = -t_p \sum_{\langle i,j \rangle, \alpha} (c_{i,\alpha}^{\dagger} c_{j,\alpha} + h.c.) + U_p \sum_{i,\alpha} n_{i,\alpha} n_{i,\alpha}$$
$$+ J_K \sum_{i,\alpha,\beta} \vec{S}_i \cdot c_{i,\alpha}^{\dagger} \vec{\sigma}_{\alpha\beta} c_{i,\beta} + J \sum_{\langle i,j \rangle} \vec{S}_i \cdot \vec{S}_j, \quad (1)$$

which arises from the strong coupling limit of the three band Hubbard Model (3BHM) [11]. In the above expression,  $\vec{S}_i$  represent the localized spins of Copper ions, which interact through the superexchange  $J, \, c_{i,\alpha}^{\dagger}, \, \alpha = 1..N = 2$ , is the hole creation operator,  $t_p$  is the hopping term for holes,  $J_K$  is a Kondo like coupling between the spins of Cu<sup>++</sup> ions and the spins of O<sup>--</sup> holes, and we have retained the usually ignored onsite Coulomb repulsion between O<sup>--</sup> holes,  $U_p \neq 0$  with  $n_{i,\alpha} = c_{i,\alpha}^{\dagger} c_{i,\alpha}$ . Since realistic estimates from the 3BHM suggest that  $U_p/t_p \sim 10$  [12], being rather large, and thus we can perform a  $t_p/U_p$  expansion. Second order perturbation theory in  $t_p/U_p$  will give rise to a superexchange  $J_p = 2t_p^2/U_p$  between oxygen spins and we end up with a t-J model for the holes.

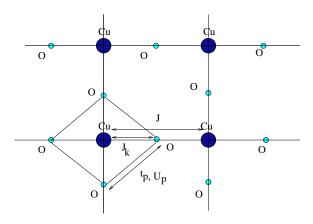


Figure 1. Unit cell of the CuO<sub>2</sub> plane.

The mean field (large N) solutions of the t-J model are well known and it has been established that a  $\pi$ -flux phase has minimum energy, at least at the saddle-point level  $(N \to \infty)$  [9]. We can write the electron in terms of a charged spinless boson  $\mu_i$  (chargon) and a chargeless spin-1/2 fermion  $f_{i,\alpha}$  (spinon),

$$c_{i,\alpha}^{\dagger} = \mu_i^{\dagger} f_{i,\alpha}^{\dagger}, \tag{2}$$

in such a way that the above object has exactly the same quantum numbers as the electron. We decouple the four particle interactions by introducing the d-wave auxiliary fields

$$\chi_{ij} = \left\langle f_{i,\alpha}^{\dagger} f_{j,\alpha} \right\rangle$$

$$\Delta_{ij} = \left\langle f_{i,\uparrow} f_{j,\downarrow} - f_{i,\downarrow} f_{j,\uparrow} \right\rangle, \tag{3}$$

which acquire a nonzero expectation value for  $T < T^*$ , where  $T^*$  is the so called pseudogap temperature.

In terms of the new degrees of freedom,  $\mu_i$  and  $f_{i,\alpha}$ , we see that the Hilbert space is twice as large as in the original t-J Hamiltonian. Furthermore, the resulting Hamiltonian has an extra  $Z_2$  (Ising) symmetry [13] since now each piece is invariant under a change of sign in both the chargon and spinon fields

$$\mu_i \to -\mu_i 
f_{i,\alpha} \to -f_{i,\alpha}.$$
(4)

We shall then impose a constraint in order to account for the above discussed facts. We can write

$$\sum_{i} \left[ f_{i,\alpha}^{\dagger} f_{i,\alpha} + \mu_{i}^{\dagger} \mu_{i} \right] = N, \tag{5}$$

where  $N \geq 0$  is an even number. With this constraint the only possible states in the Hilbert space are: a) N=0, no chargons and no spinons (a hole); b) N=2, one chargon and one spinon (one electron), or two chargons and no spinons (one Cooper pair), or no chargons and two spinons (a spin singlet); c) N>2, N chargons and no spinons (N/2 Cooper pairs), or two spinons and N-2 chargons (one spin singlet and (N-2)/2 Cooper pairs). It is clear how the extra  $Z_2$  symmetry forbids states with a single chargon and no spinon, and vice versa, since all physical states must be  $Z_2$  invariant.

If we then neglect charge fluctuations,  $\langle \mu_i \mu_j^{\dagger} \rangle \simeq |\mu_i|^2 = const.$ , and if we recall that the order parameter  $\Delta_{ij}$  has d-wave symmetry, thus vanishing linearly along the four Dirac points  $(\pm \pi/2, \pm \pi/2)$ , we find that the lowest lying excitations of the  $\pi$ -flux phase are massless, chargeless, spin carrying Dirac Fermi fields [9] whose dynamics is described by the Lagrangian

$$\mathcal{L} = \sum_{\alpha,\lambda} i \overline{\psi}_{\alpha,\lambda} \left( \gamma_0 \partial_\tau - v_F \vec{\gamma} \cdot \vec{\nabla} \right) \psi_{\alpha,\lambda}, \tag{6}$$

where  $\lambda=1,2$  label the only two inequivalent Fermi points at  $(\pi/2,\pm\pi/2)$  (see Fig. 2),  $\partial_{\mu}=(\partial_{\tau},\vec{\nabla})$ ,  $\gamma_{\mu}=(\gamma_{0},\vec{\gamma})=(\mathrm{i}\sigma_{z},\sigma_{x},\sigma_{y}), v_{F}=2a\chi$  is the dopant Fermi velocity (with a being the lattice spacing and  $\chi$  the constant amplitude of  $|\chi_{ij}|$ ) and

$$\psi_{\alpha,\lambda} = \begin{pmatrix} f_{\alpha,\lambda}^e \\ f_{\alpha,\lambda}^e \end{pmatrix}, \tag{7}$$

for (o)dd and (e)ven lattice sites.

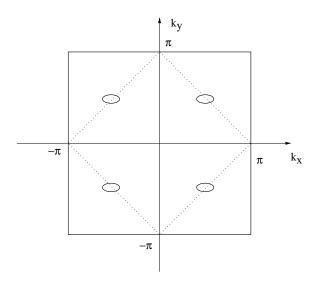


Figure 2. Fermi surface for the low energy excitations of the t-J model at low doping in the  $\pi$ -flux phase. The small pockets are located at  $(\pi/2, \pi/2)$  and symmetry related points in the Brillouim zone.

The long wavelength fluctuations of the localized  $\mathrm{Cu}^{++}$  spins, on the other hand, are described by the  $\mathrm{CP}^{N-1}$  Lagrangian [14, 15]

$$\mathcal{L}_{CP^{N-1}} = \frac{1}{2q_0} \left| (\partial_{\mu} - i\mathcal{A}_{\mu}) z_i \right|^2, \tag{8}$$

where  $\vec{S} = z_i^{\dagger} \vec{\sigma}_{ij} z_j$ , with  $z_i^{\dagger}, z_i$ , i = 1..N = 2, being Schwinger boson fields such that  $z_i^{\dagger} z_i = 1$ ,  $\mathcal{A}_{\mu} = -i\bar{z}_i \partial_{\mu} z_i$ ,  $g_0$  is a bare coupling constant, and we are using units where c = 1. It is now convenient to perform the local canonical transformation  $\psi \to U\psi$ , where

$$U = \exp\left[q \begin{pmatrix} z_1 & -\bar{z}_2 \\ z_2 & \bar{z}_1 \end{pmatrix}\right] \tag{9}$$

is a SU(2) matrix, and q is arbitrary. Now the Kondo coupling term in (1) reduces to a chemical potential term, since  $U^{\dagger}\vec{S} \cdot \vec{\sigma}U = \sigma_z$ . Also, since  $U^{\dagger}\partial_{\mu}U = iq\sigma_z \mathcal{A}_{\mu} + \text{negligible nondiagonal terms}$ , we end up with the effective theory

$$\mathcal{Z} = \int \mathcal{D}\bar{z}\mathcal{D}z\mathcal{D}\overline{\psi}\mathcal{D}\psi\mathcal{D}\mathcal{A}_{\mu} \ \delta[\bar{z}z - 1]e^{-S}, \qquad (10)$$

where

$$S = \int_0^{\beta\hbar} d\tau \int d^2 \mathbf{x} \left\{ \sum_{i=1..N} \frac{1}{2g_0} |(\partial_\mu - i\mathcal{A}_\mu) z_i|^2 + \sum_{\alpha=1..N,\lambda=1,2} \overline{\psi}_{\alpha,\lambda} \gamma_\mu (i\partial^\mu - q\sigma_z \mathcal{A}^\mu) \psi_{\alpha,\lambda} \right\}$$
(11)

where  $\beta = 1/k_BT$  and we have set, for now,  $v_F = 1$ .

# III Chargons as quantum skyrmions

In previous works [16], we have proposed a model for doping quantum Heisenberg antiferromagnets, that successfully described the magnetization curves and the AF part of the phase diagrams of both LSCO and YBCO. One of the important consequences of that model was the observation that each hole added to the CuO<sub>2</sub> planes creates a skyrmion topological defect on the Cu<sup>++</sup> spin background, in agreement with earlier proposals [17]. The dopant charge, in particular, was found to be attached to the skyrmion charge and consequently its dynamics becomes totally determined by the quantum skyrmion correlation functions. Despite the fact that the model proposed in [16] is restricted to the antiferromagnetic part of the phase diagram, we shall nevertheless pursue the picture in which skyrmions are in general the charge carriers of the doped holes. This will allow us to treat the bosonic variable  $\mu_i$  introduced above as a quantum skyrmion operator. In particular, we shall exploit this idea in the quantum disordered phase,  $\delta \geq \delta_{AF}$ , where the skyrmions are purely quantum mechanical and have zero energy ( $\delta$  is the in-plane doping parameter).

The full treatment of the quantum skyrmions of the theory described by (11) has been carried out in [18]. In the renormalized classical regime,  $g_0 < g_c$  ( $g_c = 8\pi/\Lambda$ ), we have

$$\langle \mu(x)\mu^{\dagger}(y)\rangle = \frac{e^{-2\pi\rho_s|x-y|}}{|x-y|^{q^2/2}},\tag{12}$$

where  $\rho_s = 1/g_0 - 1/g_c$  and q is the spinon coupling. Conversely, for the theory studied in [16] the corresponding correlator was found to be

$$\langle \mu(x)\mu^{\dagger}(y)\rangle = \frac{e^{-2\pi\rho_s(\delta)|x-y|}}{|x-y|^{\alpha(\delta)}},\tag{13}$$

where the expressions for  $\rho_s(\delta)$  and  $\alpha(\delta)$  have been carefully determined in [16]. In particular,

$$\alpha(\delta) = \left[ \frac{64}{\pi^2 + 16} + \frac{\alpha_{EM}}{4\pi^2} \right] (n\delta)^2, \tag{14}$$

with n=1 for YBCO and n=4 for LSCO, the factor of four being a consequence of the existence of four branches in the Fermi surface for this compound, as discussed in [16]. In the above expression  $\alpha_{EM}$  is the electromagnetic fine structure constant and we see that the contribution from the electromagnetic coupling is negligible. In this sense, we shall assume  $\alpha_{EM}=0$  in all the subsequent calculations.

The  $\rho_s(\delta)$  function is given by  $\rho_s(\delta) = \rho_s(0)[1 - A\delta^2]$ , for YBCO and  $\rho_s(\delta) = \rho_s(0)[1 - B\delta - C\delta^2]^{1/2}$ , for LSCO, and again the different behavior being ascribed to the form of the Fermi surface in each case [16]. The constants A,B and C have been evaluated

from first principles in [16]. In order to obtain the  $\delta$ dependence of the spin stiffness  $\rho_s$  and of the spinon coupling q in our model (11), we now match the two correlation functions in (12) and (13) (ordered phase), obtaining  $\rho_s = \rho_s(\delta)$  and

$$q = \sqrt{\frac{128}{\pi^2 + 16}} (n\delta). \tag{15}$$

The sublattice magnetization in the ordered phase is given by  $M(\delta) = \sqrt{\rho(\delta)}$ , and consequently  $\delta_{AF}$  can be obtained from  $\rho(\delta_{AF}) = 0$ , both in good agreement with experiment, see [16]. For  $\delta > \delta_{AF}$ , on the other hand, where  $\rho_s = 0$ , we shall assume that the expression for  $q(\delta)$  still holds. This is quite reasonable since q was introduced by a local canonical transformation, and at least locally there still is short range AF order.

#### IVCooper pair formation

Let us now investigate the conditions for Cooper pairing. We shall first introduce in the partition function (10) the skyrmion current,  $\mathcal{J}^{\mu} = \frac{1}{2\pi} \epsilon^{\mu\alpha\beta} \partial_{\alpha} \mathcal{A}_{\beta}$ , through the identity

$$1 = \int \mathcal{D} \mathcal{J}_{\mu} \, \, \delta[\mathcal{J}_{\mu} - \frac{1}{2\pi} \epsilon^{\mu\alpha\beta} \partial_{\alpha} \mathcal{A}_{\beta}]. \tag{16}$$

Integrating over  $z_i^{\dagger}, z_i$  and  $\overline{\psi}_a, \psi_a$ , we obtain, at leading order, the effective Lagrangian

$$\mathcal{L}_{eff}[\mathcal{A}_{\mu}] = \frac{N}{2} \mathcal{A}_{\mu}(\mathbf{x}, \tau) \Pi^{\mu\nu}(\mathbf{x} - \mathbf{y}, \tau - \tau') \mathcal{A}_{\nu}(\mathbf{y}, \tau'), \tag{17}$$

where  $\Pi^{\mu\nu}(\mathbf{x}-\mathbf{y},\tau-\tau')$  has Fourier transform given by  $\Pi^{\mu\nu}(\mathbf{p},i\epsilon_m)=\Pi^{\mu\nu}_B(\mathbf{p},i\epsilon_m)+\Pi^{\mu\nu}_F(\mathbf{p},i\epsilon_m)$ , which are respectively the contributions to the finite temperature vacuum polarization coming from the complex

scalar fields  $z_i$  (Schwinger bosons) and fermions  $\psi_{\alpha,\lambda}$ (spinons), see Fig. 3.

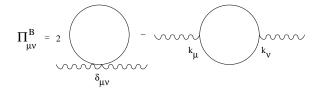


Figure 3. Leading order graphs for the polarization tensor  $\Pi_{\mu\nu} = \Pi_{\mu\nu}^B + \Pi_{\mu\nu}^F$ 

In order to obtain the effective current-current interaction between skyrmions, we use an exponential representation for the  $\delta$ -function in (16) and integrate over  $A_{\mu}$  and the corresponding Lagrange multiplier field.

$$\mathcal{Z} = \int \mathcal{D} \mathcal{J}_{\mu} \ e^{\left\{-2\pi^{2} \int d^{3}x \int d^{3}y \mathcal{J}_{\mu}(x) \Sigma^{\mu\nu} (x-y) \mathcal{J}_{\nu}(y)\right\}},$$
(18)

where  $\Sigma^{\mu\nu}(p) = \Pi^{\mu\nu}(p)/p^2$ ,  $x = (\tau, \mathbf{x})$  and  $p = (i\epsilon_m, \mathbf{p})$ . The real time effective interaction energy between static skyrmions ( $\epsilon_m = 0$ ) is then

$$\mathcal{H}_I = 2\pi^2 \int d^2 \mathbf{x} \int d^2 \mathbf{y} \, \rho(\mathbf{x}) \, \Sigma^{00}(\mathbf{x} - \mathbf{y}; 0) \, \rho(\mathbf{y}), \quad (19)$$

where  $\rho(\mathbf{x}) = \mathcal{J}_0(\mathbf{x})$  is the dopant charge density and  $\Sigma^{00}(\mathbf{x} - \mathbf{y}; 0)$  has Fourier transform given by  $\Sigma^{00}(\mathbf{p}) =$  $\Pi_B(\mathbf{p}) + \Pi_F(\mathbf{p})$  with

$$\Pi_B(\mathbf{p}) = -\frac{\Delta}{2\pi} + \frac{1}{2\pi} \int_0^1 dx \, \sqrt{|\mathbf{p}|^2 x (1-x) + m^2} \coth\left(\frac{\sqrt{|\mathbf{p}|^2 x (1-x) + m^2}}{2k_B T}\right),\tag{20}$$

and

$$\Pi_F(\mathbf{p}) = \frac{q^2}{\pi} \int_0^1 \mathrm{d}x \,\sqrt{|\mathbf{p}|^2 x (1-x)} \tanh\left(\frac{\sqrt{|\mathbf{p}|^2 x (1-x)}}{2k_B T}\right). \tag{21}$$

In the above expressions, m is the inverse correlation length of the quantum disordered phase of the  $CP^{N-1}$ model, where

and  $\rho_s = 0$ . At order N, it is given exactly by [15]

$$\xi^{-1}(T) = m(T) = \Delta + 2k_B T e^{-\Delta/k_B T}.$$
 (23)

For two charges at positions  $\mathbf{x}_1$  and  $\mathbf{x}_2$ , we have (22) $\rho(\mathbf{x}) = \delta^{(2)}(\mathbf{x} - \mathbf{x}_1) + \delta^{(2)}(\mathbf{x} - \mathbf{x}_2)$ . After discarding

$$\Delta = 8\pi \left(\frac{1}{a_0} - \frac{1}{a_0}\right) \tag{22}$$

self-interactions, we obtain  $(\mathbf{r} = \mathbf{x}_1 - \mathbf{x}_2)$ 

$$V(\mathbf{r}) = \int d^2 \mathbf{p} \ \Sigma^{00}(\mathbf{p}) \ e^{i\mathbf{p}\cdot\mathbf{r}} + V_l(\mathbf{r}), \qquad (24)$$

where we have also introduced the centrifugal barrier potential between the two charges that form the Cooper pair,

$$V_l(\mathbf{r}) = \frac{l(l+1)\hbar^2}{2M^*\mathbf{r}^2},\tag{25}$$

with l specifying the relative orbital angular momentum of the pair and  $M^*$  the effective mass of the charges.

#### IV.1 Determination of $\delta_{SC}$

It is well known that in high-T<sub>c</sub> cuprates, Cooper pairs form at relatively short distances. In this limit, large  $|\mathbf{p}|$ , we have, at T=0

$$V(\mathbf{r}) \to \int d^2 \mathbf{p} \left[ \frac{1}{8|\mathbf{p}|} - \frac{2q^2}{8|\mathbf{p}|} \right] e^{i\mathbf{p}\cdot\mathbf{r}} + V_l(\mathbf{r}).$$
 (26)

The above expression clearly shows a competition between the spin fluctuations of the Cu<sup>++</sup> spins (first term) and of the  $O^{--}$  doped spins (second term). For small enough doping,  $q^2 < 1/2$ , the potential is always repulsive and there is no charge pairing. For  $q^2 > 1/2$ , on the other hand, the potential has a minimum and charge (skyrmion) pairing occurs. We conclude that the critical doping for the onset of superconductivity is determined by the condition  $q^2(\delta_{SC}) = 1/2$ . We observe that without the Cu<sup>++</sup> background, the interaction potential (26) would always have bound states for any  $q \neq 0$ , at zero temperature, and  $\delta_{SC} = 0$ . This is what happens in the mean field phase diagram of Kotliar and Liu [19]. We see that the effect of the Cu<sup>++</sup> background is to shift the value of  $\delta_{SC}$  to its correct position in the phase diagram.

From expression (15) we see that  $\delta_{SC}$  is only determined by the geometry of the Fermi surface of the compound. Using that  $q^2(\delta_{SC}) = 1/2$  we get  $\delta_{SC}^{YBCO} = 0.318$  and  $\delta_{SC}^{LSCO} = 0.079$ , which have a fairly good agreement with experiment. In particular, we see that  $\delta_{SC}^{YBCO} = 4\delta_{SC}^{LSCO}$ , a result that is verified by experiments, if we take in account the relation between  $\delta$  and the stoichiometric doping parameter x, namely  $\delta = x$  for LSCO and  $\delta = x - 0.20$  for YBCO. Another prediction of our model is that compounds with similar Fermi

surfaces should have the same superconducting critical doping  $\delta_{SC}$ .

#### IV.2 Disorder

We can improve even further our agreement with experimental data for  $\delta_{SC}$  by considering disorder in the bonds of the Cu<sup>++</sup> antiferromagnetic lattice. In fact, disorder may be modelled in the ordered Néel phase of a doped antiferromagnet by considering a continuous random distribution of spin stiffnesses [20]. The effect of introducing a Gaussian× $|\rho-\rho_s|^{\nu-1}$  distribution, with exponentially suppressed magnetic dilution, in the original model [16], is a correction for  $\alpha(\delta)$ , namely  $\alpha(\delta) \to \alpha'(\delta) = \alpha(\delta) + \nu$  [20], and consequently we end up with

$$q(\delta) = \sqrt{\frac{128}{\pi^2 + 16}(n\delta)^2 + 2\nu},\tag{27}$$

Choosing  $\nu=\frac{1}{8}$  for both compounds, we get  $\delta_{SC}=\frac{1}{n}\sqrt{\frac{\pi^2+16}{512}}$ , or equivalently  $x_{SC}^{YBCO}=0.425$  and  $x_{SC}^{LSCO}=0.056$ , in good agreement with experiment.

#### IV.3 The superconducting transition line $T_{SC}$

The computations at finite temperature are a bit more involved. Since the integrals over the Feynman parameters in (20) and (21) can not be solved exactly, we shall make use of some temperature expansions. For  $\Pi_B$  we shall expand in  $k_BT/m$ , since it is clear that  $m(T) > k_BT, \forall T$ .  $\Pi_B$  will then be simply given by its zero temperature limit, where  $m = \Delta$ . For  $\Pi_F$ , on the other hand, such a low T expansion is not necessarily valid even for  $|\mathbf{p}| \gg k_BT$ . We will then have to split the integral over the Feynman parameter x in (21) into three parts. For  $0 \le x \le x_c$  and  $1 - x_c \le x \le 1$ ,  $x_c = (k_BT/|\mathbf{p}|)^2$ , we use a high T expansion, while for  $x_c \le x \le 1 - x_c$  we use the low T expression. We obtain

$$\Sigma^{00}(\mathbf{p}) = \frac{(1 - 2q^2)}{8|\mathbf{p}|} - \frac{m}{\pi|\mathbf{p}|^2} + \frac{m^2}{2|\mathbf{p}|^3} + \frac{16q^2T^3 - 4m^3}{3\pi|\mathbf{p}|^4}.$$
(28)

Inserting this in (24), we get  $V(\mathbf{r})$ , and from the threshold conditions for the formation of bound states, namely  $V'(\mathbf{r}_0) = 0$  and  $V''(\mathbf{r}_0) = 0$ , we obtain the relation

$$(k_B T_{SC})^3 = -\frac{\pi (1 - 2q^2)\alpha^3}{512q^2} + \frac{m\alpha^2}{32q^2} - \frac{3\pi m^2 \alpha}{128q^2} + \frac{m^3}{4q^2} - \frac{3\pi^2 l(l+1)\alpha^4}{q^2 M^* v_F^2},\tag{29}$$

where  $\alpha = \hbar v_F/\mathbf{r}_0$ ,  $m = \Delta$ , and  $\mathbf{r}_0$  is the minimum of the potential (it also measures the size of the Cooper pair).

## V Comparison with experiment

In order to make contact with experimental data we need the doping dependence of  $\Delta$ . For YBCO, we use  $\Delta(\delta) = \Delta_0[(\delta/\delta_{AF})^2 - 1]$ , in agreement with the results of [16], with  $\Delta_0 = 8.0$  meV. For LSCO, we shall use an expression that fits the experimental data of [21], namely  $\Delta(\delta) = \Delta_0[(\delta/\delta_{AF})^2 - 1]^{1/2}$ , with  $\Delta_0 = 0.87$  meV. For the T = 0 AF quantum critical point  $\delta_{AF}$ , we know from experiments that  $\delta_{AF} = 0.22$  for YBCO and  $\delta_{AF} = 0.02$  for LSCO. Inserting in (29) the values of  $\delta_{SC}$  at T = 0, obtained previously, we get a relation that fixes  $M^*v_F^2$  with respect to  $r_0$ . In Figs. 4 and 5 we plot the curve (29) for LSCO and YBCO, respectively, with  $r_0 = 38$  Å,  $\hbar v_F = 0.1$  eV Å for LSCO and  $\hbar v_F = 1.15$  eV Å for YBCO, and l = 2 (d-vave pairing).

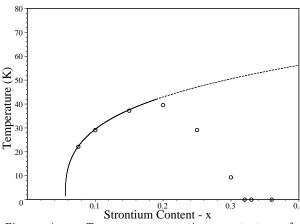


Figure 4.  $T_{SC}$  versus strontium content x for  $La_{2-x}Sr_xCuO_4$ . The lines correspond to the theoretical prediction (Eq. 29). Experimental data from [21].

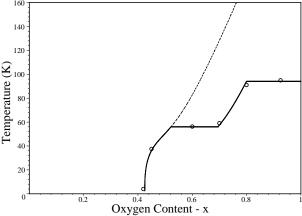


Figure 5.  $T_{SC}$  versus oxygen content x for YBa<sub>2</sub>Cu<sub>3</sub>O<sub>6+x</sub>. The lines correspond to the theoretical prediction (Eq. 29). Experimental data from [22].

In Fig. 4 (LSCO), the dashed part is in the region where  $T > T^*$  and we should move to a new saddle-point. In Fig. 5 (YBCO) we have shifted the curve (dashed part) to the right in the regions x = [0.52, 0.7] and x = [0.8, 1] in order to comply with the effects of the out-of-plane O-Cu-O chains, which produce the observed 60 K and 90 K plateaus, where the extra holes do not enter in the CuO<sub>2</sub> planes. Furthermore, for YBCO,  $T^*$  is higher than  $T_{max}$  (x = 1) and therefore imposes no restrictions to our results.

#### VI Final remarks

We would like to remark that our theory (11) also gives a simple interpretation for the pseudogap phenomena. Indeed, for  $T_{SC} < T < T^*$  spinons are paired into d-wave singlets but chargons (skyrmions) repel each other and there is no superconducting state. Only for  $T < T_{SC}$  we do have Cooper pair formation and superconductivity.

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