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Study of the Influence of Microwave and Conventional Heating on the Lipase-Catalyzed Esterification of Lauric Acid with Different Alcohols

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Abstract. In a systematic study, three commercial lipases were used for the esterification of lauric acid with six different alcohols: methanol, ethanol, propanol, butanol, pentanol, and allyl alcohol, under conventional heating and microwave irradiation. Optimization of reaction conditions included reaction time and effect of temperature under microwave irradiation and conventional heating. The optimum reaction temperature was previously established in enzymatic esterification by conventional heating. Novozyme 435, lipase (*Pseudomonas cepacia*) immobilized in diatomite (PS-DI) and lipase (*P. cepacia*) immobilized in ceramic (PS-CI) were used in this study. The latter showed the highest activity, therefore, this enzyme was used to investigate the variation of reaction time under microwave heating. The stability of immobilized lipases under classical heating and under the microwave irradiation was studied by visual analysis of the immobilized matrix by scanning electron microscopy (SEM).

Keywords: Lipases, alcohols, esterification, microwave irradiation, lauric acid.

Resumen. En este estudio sistemático, tres lipasas comerciales se utilizaron para la esterificación del ácido láurico con seis diferentes alcoholes: metanol, etanol, propanol, butanol, pentanol, y alcohol alílico, utilizando calentamiento convencional y la radiación por microondas. Se optimizaron las condiciones de reacción; tiempo de reacción y la temperatura por calentamiento convencional y por radiación de microondas. Se estableció previamente la temperatura óptima de reacción en la esterificación enzimática por calentamiento convencional. Las lipasas Novozyme 435, aislada de la *Pseudomonas cepacia* e inmovilizada en tierra de diatomeas (PS-DI) y la aislada de la *P. cepacia* inmovilizada en cerámica (PS-CI), fueron utilizadas en este estudio. La última presentó la mayor actividad, por lo tanto se utilizó para investigar el efecto del tiempo de reacción con calentamiento por microondas. La estabilidad de las lipasas inmovilizadas tanto por calentamiento convencional como con radiación por microondas fue monitoreada al estudiar la morfología de la matriz por microscopía electrónica de barrido (SEM).

Palabras clave: Lipasas, alcoholes, esterificación, irradiación por microondas ácido láurico.

Introduction

Preparation of organic esters plays a major role in the production of flavors, fragrances, solvents, plasticizers, pesticides herbicides, medicinal and surface-active agents [1]. The intermediate products are obtained as extracts from naturally occurring materials, but they are not sufficient to fulfill the growing demands [2]. The use of enzymes in organic synthesis has been recognized as a very powerful tool [3], particularly after the discovery of the stability and activity of enzymes in organic solvents [4]. The role of biocatalysis is expected to increase in the near future [5], with the easy and low cost production of enzymes from recombinant organisms, and the expansion of the substrate scope of enzymes, relying on a high throughput selection and direct evolution [6].

Lipases are one of the important enzymes and are well known as biocatalysts. They are very useful in organic synthesis, mainly for hydrolysis, esterification, transesterification, etc [7a-c]. Lipase-catalyzed reactions have recently received greater consideration in the traditional chemical synthetic methods, particularly in the production of fragrances and natural flavors [8]. This is due to the substrate specificity and regiospecificity, expressed by most lipases, the mild reaction conditions, the degree of purity of the products, and they do not require labile

cofactors [9, 10]. Lipases have been immobilized on different solid supports and their stability has been proven [11a-c]. Enzyme reactions have been activated by microwave and good yields were obtained [12a-d].

One of the new approaches towards clean and green chemistry is the application of microwaves, which is an alternative to conventional heating technique, because it provides an effective activation method, which reduce the potential barrier and produce the thermodynamic product in an easy way; this effect occurs through the interaction between the microwave energy and dipole moments of the starting materials [13].

Since lipase-catalyzed reactions are rather sluggish in nature, the synergism with microwave can be expected to enhance the reaction rates. Such an exploration has been tried out earlier to witness rate enhancement in microwave irradiated lipase-catalyzed reactions [14].

In the present work three different lipases, which were used in the catalyzed esterification of lauric acid with several n-alcohols in the presence of three commercial lipases were investigated. The reactions were performed under conventional heating and under the microwave irradiation; the stability of immobilized lipases matrix were studied under both irradiation methods by scanning electron microscopy (SEM).

Pentyl dodecanoate: ^1H NMR: δ 4.06 (t, 2H, $J = 6.7$, Hz, $-\text{CH}_2\text{O}$), 2.31 (dd, 4H, $J_1 = 6.7$, $J_2 = 14.0$ Hz, $-\text{CH}_2\text{COO}$), 1.58 (m, 4 H, $-\text{CH}_2\text{C-COO}$, $-\text{CH}_2\text{C-O}$), 1.27 (m, 20H, $-(\text{CH}_2)_8-$, $-\text{CH}_2\text{CH}_2-$), 0.89 (q, 6 H, $J = 6.7$ Hz, $\text{CH}_3\text{C-C-O}$, CH_3C). ^{13}C NMR: δ 174.1, 64.4, 34.4, 31.9, 29.5, 29.3, 29.3, 29.1, 28.3, 28.12, 27.9, 25.0, 22.7, 22.5, 22.3, 14.1, 13.9. MS (m/z): 270(3%).

Characterization of supported enzymes

Scanning electron microscopy

For scanning electron microscopy (SEM) visual analysis, Novozym 435, PS-CI and PS-DI, before and after the esterification process were mounted directly on the holders and then observed at 20 Kv in a JEOL JSM-590-LD electron microscopy. The microanalysis was done with an EDS (Energy X-ray Dispersive Spectroscopy) system.

Surface Areas, BET (S^{BET})

The BET specific areas were determined by standard multipoint techniques of nitrogen adsorption using a Belsorp max instrument (Bel Japan, Inc). The samples were heated at 373K for 2 h before specific surface areas were measured.

Results and discussion

Optimum temperature for enzymatic esterification

The influence of temperature on esterification reactions was evaluated systematically with three different lipases (Novozym 435, PS-CI and PS-DI), lauric acid and butanol. They were used at different temperatures (35 °C, 45 °C and 55 °C). Butanol was selected since in these conditions it showed good kinetics, allowing more accurate measurements. The effects of reaction temperature can be attributed to its effect on substrate solubility as well as to its direct influence on the reaction and the enzyme [13]. Higher reaction temperatures also promote collisions between substrate and the enzyme resulting in accelerated reaction rates [14].

The behavior of the esterification reaction with lipase of Novozym 435 with increasing temperature is shown in Figure 1. Initially, the conversion percentage of butyl ester was increased with increasing temperature from 35 °C to 55 °C. The conversion was almost constant at maximum range of 45-55

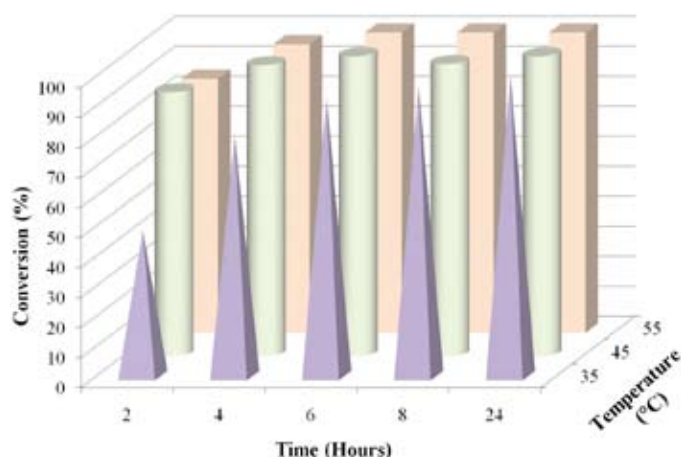


Fig. 1. Effect of temperature on Novozym 435-catalyzed esterification. 15 mg of enzyme, 0.01mol of lauric acid, 0.01 mol of *n*-alcohol (butanol) and 15 mL of hexane were introduced at different temperatures (35-55 °C) and two hours of reaction time.

°C (72-98%) after 6 h. Figure 1 shows the effect of temperature on initial reaction rate: as the temperature is increased the reaction rate increased. This could be due to an increase in the rate constant with temperature and partly due to an increase in interfacial area.

The conversion was nearly 99% in the presence of PS-CI; this conversion was consistent within a range of temperature 35 °C-55 °C. The highest yield of butyl ester (99%) was achieved at 55 °C after 6 h of reaction and then the product remained constant for 24 h. These results are displayed in Figure 2.

As shown in Figure 3, a conversion of more than 40% was achieved by heating at 35 °C after 24 h of reaction using PS-CI.

Effect of chain length of *n*-alcohol

The effect of chain length of *n*-alcohol for enzymatic esterification has been investigated in this study. Six different *n*-alco-

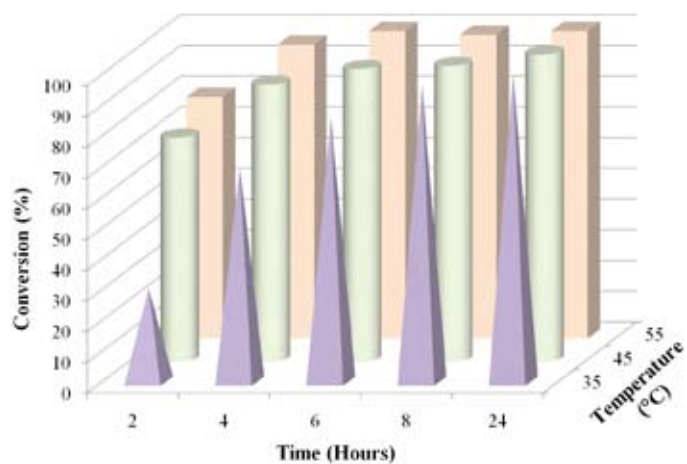


Fig. 2. Effect of temperature PS-CI catalyzed esterification. Were introduced 15 mg of enzyme, 0.01mol of lauric acid, 0.01 mol of *n*-alcohol and 15 mL of hexane at different temperatures (35-55 °C).

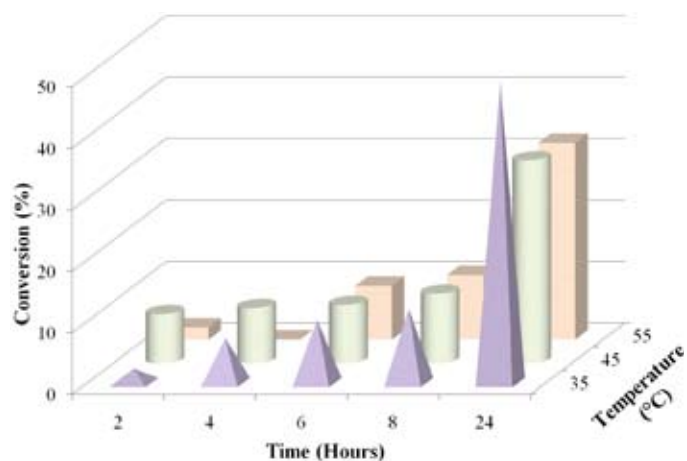


Fig. 3. Effect of temperature PS-DI-Catalyzed esterification. Were introduced 15 mg of enzyme, 0.01mol of lauric acid, 0.01 mol of *n*-alcohol and 15 mL of hexane at different temperatures (35-55 °C).

hols; methanol, ethanol, *n*-propanol, *n*-butanol, *n*-pentanol and allyl alcohol were used 0.01 mol of each alcohol was reacted with 0.01 mol lauric acid to study the effect of chain length (Scheme 1). The conversion of lauric acid is a clear function of the type of alcohol. The conversion was nearly 99% in the presence of Novozym 435 for all alcohols, after six hours of reaction. These results show that the reaction rate is higher for most alcohols except for butane, which shows a lower rate; this allowed to study their kinetics (Figure 4).

As shown in Figure 5, the esterification of lauric acid catalyzed by PS-CI was most selective for alcohol chain lengths of C1, C2, C3 and C4 with overall conversion of 94-100% in 24 h, C5 conversion was less than 5%, and allyl alcohol showed no reaction.

The conversions were less than 16% with methanol in the presence of PS-DI (Figure 6) for 2 h. C2, C3, C5 began to react

after 4 h, C4 after 6 h and allyl alcohol showed no evidence of reaction. This lipase was the one that showed the lowest rate of esterification reaction. The variation of the conversion with chain length of the alcohol can be attributed to the influence of factors such as molecular size of the alcohol, solubility of the alcohol in the solvent, and affinity of the lipase for the particular alcohol. This phenomenon could also be explained in terms of the binding energy that is released when a substrate binds at the active site [17].

Effect of temperature on esterification under microwave irradiation

The effect of temperature on the esterification reaction was determined using Novozym 435 as biocatalyst in the range of 40-100 °C. The results are shown in Figure 7. The highest percentage of conversion was reached at 90 °C with 73.91%, while the lowest conversion was obtained at 40 °C. The results show that the enzyme activity increased as the temperature increased, followed by a decrease at higher temperature. The optimum reaction temperature on the activity for both PS-CI and PS-DI was 60 °C; the maximum conversion was 35.74% and 15.1%, respectively. It was evident that initial conversion increased with the increase of reaction temperature. However, a decrease in the conversion was observed at temperatures higher than 60 °C. This could be attributed to the deactivation of lipase at these temperatures. Microwave irradiation in the absence of the enzyme does not induce any reaction. Thus, there is a definite synergism between enzyme catalysis and microwave irradiation[18]. It is suggested that the use of microwaves as a heat source to change the interactions between the enzyme and its microenvironment prevent the thermal denaturation of the enzyme. Also, the support provides stability to the enzyme so that it does not degrade at high temperatures[19]. The effect of microwave radiation on enzyme activity is a function of enzyme hydration [20]. The microwave process, however, is fundamentally different than with conventional heating. In

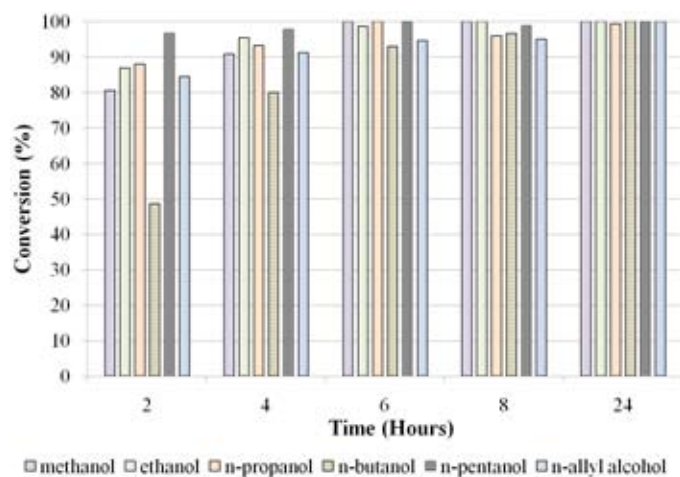


Fig. 4. Effect of chain length of *n*-alcohol on Novozym 435- catalyzed esterification. 15 mg of enzyme, 0.01 mol of lauric acid, 0.01 mol of *n*-alcohol and 15 mL of hexane at 55 °C were introduced.

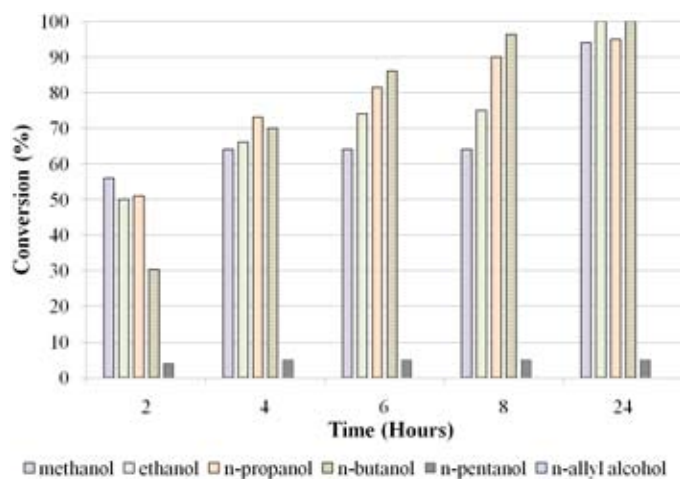


Fig. 5. Effect of chain length of *n*-alcohol on PS-CI- catalyzed esterification. 15 mg of enzyme, 0.01 mol of lauric acid, 0.01 mol of *n*-alcohol and 15 mL of hexane at 55 °C were introduced.

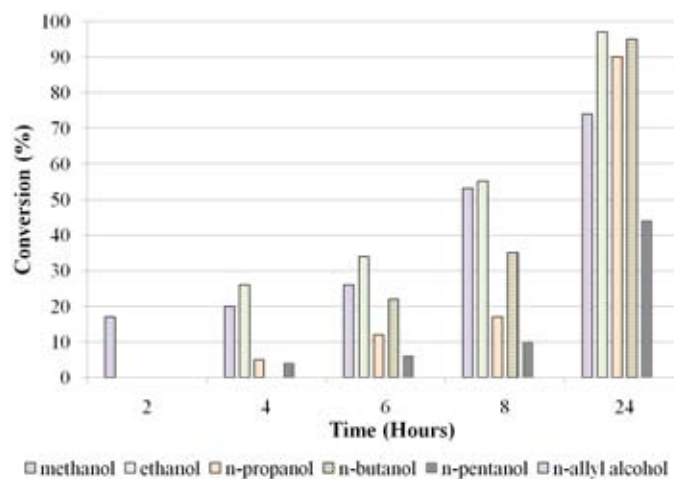


Fig. 6. Effect of chain length of *n*-alcohol on PS-DI-catalyzed esterification. 15 mg of enzyme, 0.01 mol of lauric acid, 0.01 mol of *n*-alcohol and 15 mL of hexane at 55 °C were introduced.

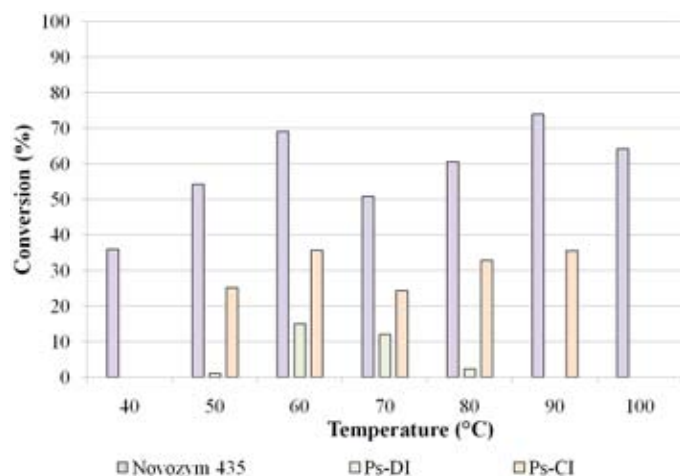


Fig. 7. Effect of temperature under microwave heating on lipase-catalyzed esterification. 15 mg of enzyme, 0.01 mol of lauric acid, 0.01 mol of *n*-alcohol and 15 mL of different temperatures (40- 100 °C) were introduced. Power 20 W, time, 4 min.

a conventionally heated system, heat must be transferred from source into the reaction vessel via conduction and convection. In a microwave heated vessel, the microwave energy is converted to heat within the vessel through coupling with polar compounds (solvent or substrate), by the traditional mechanism of heat transfer [21].

Optimization of the reaction time for esterification of lauric acid

The effect of reaction time was also examined using Novozym 435 and butanol. From the results it can be seen that as reaction time was extended from 2 to 8 min, the conversion of the desired product improved from 55.45% to 69.03%. However, when the reaction time exceeded 4 min, there was a decrease in the conversion (Table 1).

Influence of chain length of *n*-alcohol using microwave heating.

Applying microwave irradiation, a series alcohols has been examined for the esterification reaction using the temperature previously established as optimal. It was found that using Novozym 435 as biocatalyst high conversions were obtained; ethanol (81.9%)>, propanol (80%)>, methanol (77%)>, butanol (73%)>, allyl alcohol (68%)>, pentanol (13%). The PS-CI showed the highest percentage of conversion with propanol

Table 1. The effect of the reaction time on Novozym 435 catalyzed esterification under microwave heating using butyl alcohol.

Time (min)	Conversion (%)
2	66.75
4	69.03
6	55.45
8	63.01

(65%) and Ps-DI the lowest conversion. All these results are shown in the Figure 8.

Characterization of supported enzymes

Scanning electron microscopy

Figure 9 (A, B, C, D) shows the morphology of PS-CI as small balls with a cavity in the center. (A) Corresponds to fresh material, (B) shows the morphology of PS-CI at 55°C, (C) at 60°C and (D) at 90°C. Little changes in the morphology occur after the microwave heating process and the important feature was the increase in the elemental and atomic percentage of carbon and oxygen after the process (Table 2).

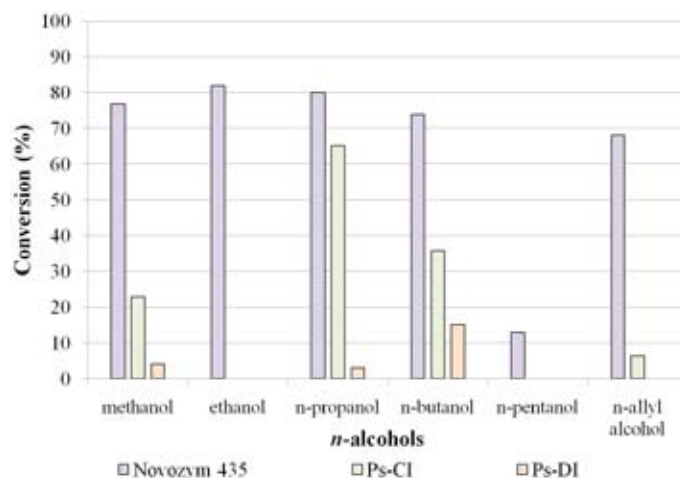


Fig. 8. Effect of chain length of *n*-alcohol under microwave heating on lipase-Catalyzed esterification. 15 mg of enzyme, 0.01 mol of lauric acid, 0.01 mol of *n*-alcohol and 15 mL of hexane were introduced. Power 20 W, time 4 min.

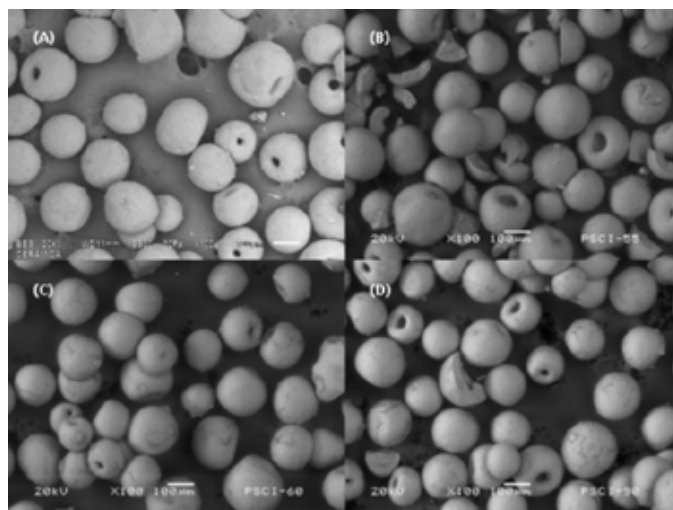


Fig. 9. Scanning electron micrograph of the lipase of PS-CI. A) fresh enzyme B) 55 °C , C) 60 °C and D) 90 °C.

Table 2. Elemental analysis of PS-Cl.

Element	PSCI-55 Element%	PSCI-55 Atomic%	PSCI-60 Element%	PSCI-60 Atomic%	PSCI-90 Element%	PSCI-90 Atomic%
C	29.12651	40.6572	42.80531	55.30415	35.62479	47.80749
O	38.0637	39.88836	31.89564	30.93696	35.5538	35.81921
Al	7.082833	4.401097	5.242926	3.015357	6.005168	3.58735
Si	24.40524	14.56871	18.00128	9.946094	20.92361	12.00796
K	0.5074	0.21756	0.544945	0.216268	0.549803	0.226637
Ti	0.45371	0.158807	0.32069	0.103894	0.297193	0.100006
Fe	0.360617	0.108262	0.26983	7.50E-02	0.140899	4.07E-02
Ca			0.437964	0.16957	0.508489	0.204492
P			0.347559	0.174128	0.396241	0.206198
Cl			0.133863	5.86E-02		

This lipase is stabilized in a ceramic support, which also behaves as adsorbent as shown by elemental analysis. The increasing content of carbon and oxygen are indicative of retention of lauric acid and its ester in the support; other elements such as calcium, phosphorus and chlorine elements have been retained by the ceramic which were dissolved in the reaction solvent.

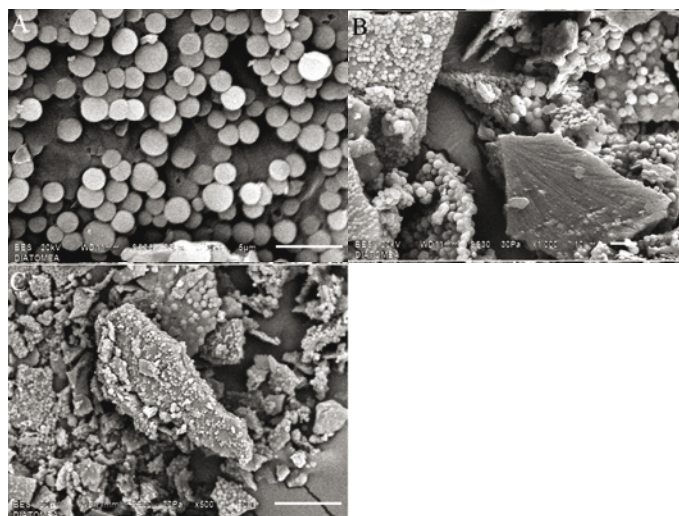
**Fig. 10.** Scanning electron micrograph of the lipase of PS-DI. A) fresh enzyme, esterification reaction; B) at 55 °C and C) at 90 °C.

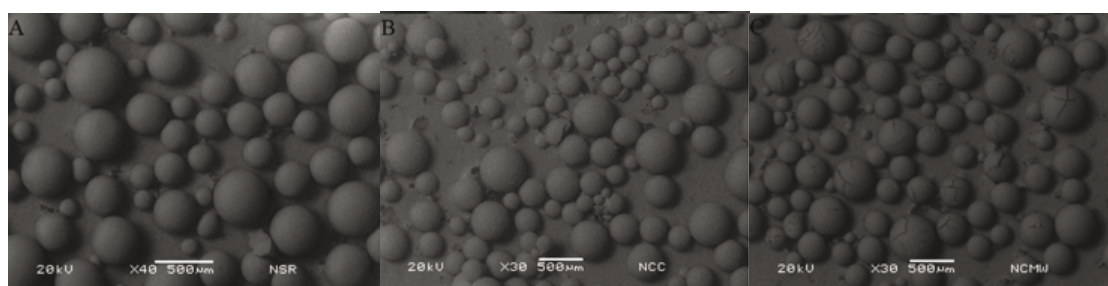
Figure 10A shows the SEM of fresh PS-DI which showed unchanged biocatalyst particles with uniform and firm distribution. Figures 10 B and 10 C show the SEM of PS-DI used at 55 °C and 90 °C, respectively. Figure 10 B shows the SEM of PS-DI at 55 °C; the enzyme layer over the matrix support has peeled off from the surface in the esterification, reducing the amount of enzyme present of the matrix. The effect of PS-DI at 90 °C under microwave irradiation induce disintegration of the matrix and consequently degradation of the enzyme.

SEM micrographs of Novozym 435 before and after reaction at 55 °C and 90 °C, respectively are shown in Figure 11. The external texture of the beads exposed to microwave heating shows a scratched surface compared with non-treated Novozym 435 as observed in Figures 11B and 11 C, respectively.

The effect of microwaves on the surface of the immobilized enzymes was observed by microscopy techniques; minor structural changes were observed on the surface of the support-lipase of PS-Cl. The external texture of Novozym 435 of the beads exposed under microwave heating shows an evident scratched surface. However, lower yields may occur due to inhibition of enzyme. While activity measurement is with respect to lauric acid, the yields referred to here are for the formation of laurate ester.

Surface Areas, BET (S^{BET})

The studies of fisisorption were made on supported enzymes, Figure 12 shows the isotherms of the adsorption/desorption

**Fig. 11.** Scanning electron micrograph of Novozym 435. A) fresh enzyme, esterification reaction; at B) 55 °C and C) 90 °C.

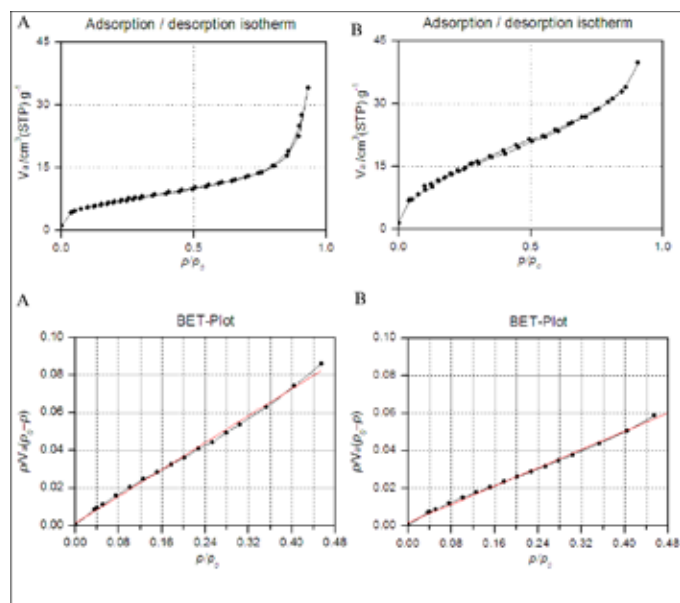


Fig. 12. Adsorption/desorption isotherm and BET of the lipase *Pseudomonas cepacia* supported on ceramic.

process and BET. In this way it was possible to determine the surface area and its behavior on fresh material and after the esterification reaction under microwave heating (90 °C). There were no significant changes in the areas before (24.96 m²g⁻¹) and after (26.96 m² g⁻¹) the synthetic process.

Conclusion

The behavior of three commercial lipases was established in the esterification of lauric acid with six linear *n*-alcohols (methanol to pentanol and allyl alcohol) in hexane. These lipases maintained their activity for several cycles (three times) at temperatures up to 90 °C; this is indicative of the stability that support provides. The optimum temperatures were established: for the lipases Novozym 435 and PS-CI was 55 °C, and for PS-DI was 45 °C. A comparative study using microwave heating was performed, and the optimum temperatures were established: for the lipases PSCI and PS-DI was 60 °C, and Novozym 435 was 90°C. Novozym 435 was found to be the most effective among the three enzymes studied; the rates of enzymatic esterification of lauric acid showed the trend, methanol>ethanol>propanol> butanol. The same behavior was observed under microwave and conventional heating. The selectivity order was established for each lipase with the respective alcohols. The lipases have shown to possess a high discriminating ability toward the different alcohols depending upon chain length. The microwave effect was to reduce the reaction time from 24 h to 6 min, maintaining its activity (three cycles). The technique of SEM allows the determination of the physical state of the Enzyme support and EDS provides the elemental analysis in the process.

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Supplementary Materials

¹H NMR and ¹³C NMR spectra for all reactions products are available.

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