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# Using hybrid associative classifier with translation (HACT) for studying imbalanced data sets

## Estudio de conjuntos de datos desbalanceados usando un modelo asociativo con traslación de ejes

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### RESUMEN

En diversos problemas de reconocimiento de patrones, se ha observado que el desequilibrio de clases puede disminuir el desempeño del clasificador, principalmente en los patrones de las clases minoritarias. Una estrategia para resolver el problema del des-balance, consiste en tratar por separado las clases incluidas en el problema (clase minoritaria o mayoritaria), a fin de equilibrar los conjuntos de datos. En este sentido, la motivación del presente artículo estriba en el hecho de que el modelo asociativo visto como Clasificador Híbrido Asociativo con Traslación (CHAT), es muy sensible al des-balance de las clases. Por ello, se analiza el impacto que los conjuntos de datos des-balanceados pueden tener sobre el rendimiento del CHAT. Adicionalmente, se analiza la conveniencia de utilizar métodos de bajo-muestreo para disminuir los efectos negativos que el modelo asociativo pueda sufrir. La viabilidad de este estudio se sustenta con los resultados experimentales obtenidos de once conjuntos de datos reales. Finalmente, el presente trabajo se considera como una investigación analítica-sintética.

**Palabras clave:** Modelo asociativo, bajo-muestreo, clase des-balanceada, pre-procesamiento.

### ABSTRACT

Class imbalance may reduce the classifier performance in several recognition pattern problems. Such negative effect is more notable with least represented class (minority class) Patterns. A strategy for handling this problem consisted of treating the classes included in this problem separately (majority and minority classes) to balance the data sets (DS). This paper has studied high sensitivity to class imbalance shown by an associative model of classification: hybrid associative classifier with translation (HACT); imbalanced DS impact on associative model performance was studied. The convenience of using sub-sampling methods for decreasing imbalanced negative effects on associative memories was analysed. This proposal's feasibility was based on experimental results obtained from eleven real-world datasets.

**Keywords:** data set, associative model, under sampling, class imbalance, pre-processing.

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### Introduction

Karl Steinbuch introduced the first associative model, called Lernmatrix, in 1961 (Santiago, 2003); it can be used as a binary pattern classifier. Various associative models have been developed since,

for example the HACT, morphological and alpha beta models (Santiago, 2003).

Classifier performance is strongly related to two aspects in pattern recognition, regardless of application (Japkowicz, 2002; Huang *et al.*, 2006): a learning model used by the classifier and the quality of the data set (DS) used for training. Some inherent DS problems are imbalanced DS, redundant patterns, atypical and high dimension (Barandela *et al.*, 2005). This paper is focused on the imbalance problem.

Imbalance occurs when one class (minority) is heavily under-represented compared to other classes (majority) (Weiss, 2004). Real cases (text categorisation, credit analysis) typically have few minority class samples (Tan, 2005; Huang *et al.*, 2006). Low minority class representation complicates classifier learning (Weiss, 2004) and there is currently no universal solution for addressing such problem. Proposed solution strategies have included sampling (*over sampling* or *under sampling*) or adjusting the training algorithm (Barandela *et al.*, 2005; Chawla *et al.*, 2002).

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This study analyses an associative model's (HACT) performance in imbalance involving two aspects: how model training is affected when using unbalanced DS and the desirability of using low DS sampling.

### The imbalance problem

The negative effect of imbalance on classifier performance is basically due to the false assumption of balanced distribution of classes (Japkowicz, 2002; Huang *et al.*, 2006). Research in this area can be categorised into three large groups: addressing data (Japkowicz, 2002) or algorithm imbalance (Ezawa *et al.*, 1996), measuring classifier performance in unbalanced domains (Ranawana *et al.*, 2006), (Daskalaki, 2006) and analysing the relationship between class imbalance and data complexity (Prati *et al.*, (a) 2004; Prati *et al.*, (b) 2004).

#### Data pre-processing

Typical sub-sampling proposals for solving class imbalance would include majority class under-sampling or minority class over-sampling; under sampling is aimed at striking a balance between classes by eliminating negative patterns and thus reducing majority class cardinality by using strategies such as random algorithms, cleaning, condensate and genetic algorithms (Barandela *et al.*, 2005; Kuncheva *et al.*, 1999), using unsupervised hierarchical algorithms (Cohen *et al.*, 2006; Batista *et al.*, 2000).

Wilson editing (WE) is the most popular data cleaning algorithm (Wilson, 1972). The idea is to identify and remove noisy or atypical patterns, especially those in the overlap area between two or more classes. It involves applying the rule of  $k$  nearest neighbour rule (typically with  $k = 3$ ) to estimate the class label corresponding to each pattern in the training set (TS) and eliminate patterns whose class label does not match the class for most of its  $k$  nearest neighbours. The WE method is expressed as follows:

Input:  $TS$  original,  $x_i =$  training set patterns

Output:  $S =$  edited DS.

Begin

1.  $S = TS$
2. For each  $x_i$  in  $TS$  do
3. If  $x_i$  is misclassified do //applying the nearest neighbour rule
4. Discard  $x_i$  from  $S$
5. End If
6. End for

End

Condensate algorithms consider building a small TS representative group. The algorithm uses a type of pruning to remove patterns considered unnecessary (Hart, 1968). The resulting subset is called selective subset (SSM) and contains patterns nearest to a boundary decision considered proto-types of an original DS (Barandela *et al.*, 2001). The method for a two-class problem can be described as follows:

Input:  $T$  // original training set

Output:  $SSM$  selective subset of  $T$

Begin 1.  $S = T$ ,  $C = T$

2.  $D_i = \text{mind}(x_i, x_j), \text{class}(x_i) \neq \text{class}(x_j), \forall x_i, x_j \in T$
3.  $y_i = \text{argmind}(x_i, y_j), \text{class}(x_i) \neq \text{class}(y_j) \forall y_j \in T$
4.  $v_i = x_i \in T \mid \text{class}(x_i) = \text{class}(x_j), d(x_i, x_j) < d(x_i, y_j)$
5. While  $C \neq 0$  do  $x_k = \text{argmin} D_i C = C - x_k S_k = x_i \in S \mid x_k \in v_i$
6. IF  $S_k \cap S \neq 0$  then  $SSM = SSM \cup x_k S = S - S_k$
7. End if
8. End while

End

### Associative memories

An associative memory is constructed from a finite set of associations called a fundamental set, denoted as:

$$\{(x^\mu, y^\mu) \mid \mu=1,2,\dots,p\} \quad (1)$$

where  $p$  is fundamental set cardinality.

Associative models involve two phases (Aldape, 2007): learning and recalling. Associative memory is constructed during the learning phase making associations between input and output patterns while patterns learned during the learning phase are recalled during the recalling phase.

#### Hybrid associative classifier (HAC)

HAC is a classifier combining linear associator (learning phase) (Santiago, 2003) and Lernmatrix (recalling phase), eliminating each one's disadvantages. Input patterns must be binary (0 and 1) in the Lernmatrix model the input patterns are orthonormal in the linear associator model. HAC accepts real values in each input pattern component to solve this situation, as described in the following steps (Santiago, 2003):

1. Fundamental set input patterns are real values; they are integrated by  $n$  components and separated into  $C$  classes;
2. Output patterns are considered "one hot" vectors:  $n - th$  output pattern component values are zeros, except in the component representing the class having a value of one;
3. The learning phase concerns the associative model linear associator, the sum of each fundamental set association's external products being found to obtain the memory:

$$M = \sum_{\mu=1}^p (y^\mu)(x^\mu)^t; \text{ and} \quad (2)$$

4. Input pattern class is determined during the operation (Lernmatrix) phase.

HAC performance is affected when input patterns are grouped in the same quadrant, input pattern magnitudes greatly differ and the HAC tends to classify lesser magnitude patterns into pattern classes having greater magnitude. This situation leads to misclassification.

#### Hybrid associative classifier with translation (HACT)

HACT is an improved model of HAC associative memory in which translation axes solve some HAC difficulties (Santiago, 2003). Figure 1 (a) shows that input patterns are grouped in the same quadrant and new pattern sets become placed in different quad-

rants following translation (Fig. 1b); such aspect strengthens HAC associative classification.

HACT considers the following steps for axis translation (Santiago, 2003):

- 1) A mean vector is obtained from input patterns:

$$\bar{x} = \frac{1}{p} \sum_{\mu=1}^p x^{\mu} \quad (3)$$

- 2) Mean vector is taken as the new coordinate axis centre; input and test patterns are thus translated:

$$x^{\mu} = x^{\mu} - \bar{x} \quad (4)$$

- 3) The linear associator's learning phase is carried out and
- 4) The Lernmatrix's recalling phase is performed.

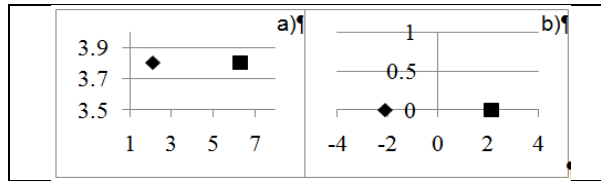


Figure 1. Translation coordinate axes

## Methodology

This section explains the tools, methods and scenarios used in this study.

### Data sets

The experimental results came from experiments involving 11 DSs with deferent classes (Cl) and features (Fe) obtained from [www.ics.uci.edu/~mlearn](http://www.ics.uci.edu/~mlearn) (Table 1). A 5-fold cross-validation was used for each DS.

Table 1. Data sets

DS	Number		Pattern	
	Cl	Fe	Training	Test
Canc	2	9	546	137
Glass	6	9	174	40
Heart	2	13	216	54
lsm	2	9	10,065	1,118
Liver	2	6	276	69
Pima	2	8	615	153
Son	2	60	167	41
Vehic	4	18	678	168
Germ	2	24	800	200
Satim	6	36	5,147	1,288
Phon	2	5	4,322	1,082

Several DS (having more than two classes) were transformed as a two-class problem for increasing imbalance level, as follows:

- DS Glass: class 6 was the minority class (24 patterns) and remaining classes the majority class (150 patterns);
- DS Vehic: class 1 was the minority class (170 patterns), remaining classes the majority class (508 patterns); and

- DS Satim: class 4 was the minority class (500 patterns), remaining classes the majority class (4647 patterns).

### Classifier performance evaluation

Overall accuracy is usually used (eq. 5) for evaluating classifier performance regarding imbalance, assuming that the cost of error associated with each class is equal. This has been challenged as being unrealistic because a DS having severe imbalance usually has no uniform error cost. For example, in a hypothetical case involving only 0.2% positive pattern labelling, identifying all negative patterns may be 99.8% accurate overall but with the inconvenience that any positive pattern will also be identified.

$$Acc = 1 - \frac{n_e}{n_t} \quad (5)$$

where  $n_e$  is the number of misclassified examples and  $n_t$  is the total number of examples tested.

The geometric mean is commonly used as a criterion for determining classifier imbalance context performance (Álvarez, 1994):

$$g = \sqrt{a^+ * a^-} \quad (6)$$

where  $a^+$  is minority class accuracy and  $a^-$  is majority class accuracy.

### Study scenarios

This study was aimed at analysing HACT associative model behaviour when working with imbalanced data. The study scenarios involved:

- 1) E1 DS without pre-processing;
- 2) E2 DS edited (with WE,  $k = 3$ );
- 3) E3 DS condensate (with modified selective (SS); and
- 4) E4 DS edited and condensate (WE+SS,  $k = 3$ ).

## Results

Table 3 shows resulting DS sizes after applying pre-processing algorithms.

Table 3. DS size after pre-processing

DS	Study scenario			
	E1	E2	E3	E4
Canc	546	529	42	21
Glass	174	166	16	9
Heart	216	138	127	34
lsm	10,065	9,897	3,554	246
Liver	276	185	148	63
Pima	615	426	296	80
Son	167	130	71	38
Vehic	678	496	300	157
Germ	800	546	419	129
Satim	5,147	4,883	825	608
Phon	4,322	3,890	1,024	912

Table 3 shows a considerable reduction in DS; for example, the condensate method of (E3) DS reduction was higher compared to the editing method (E2); however, DS reduction became much greater by combining both pre-processing methods (E4),

Classification was made after the reduction step. Table 4 shows the results (rounded up) as geometric mean, the original DS (E1) and associative memory trained with pre-processed DS. Values in brackets indicate standard deviation and values in bold indicate the best result for each DS.

Table 4. Experimental results obtained with HACT

DS	Under-sampling methods			
	E1	E2	E3	E4
<b>Canc</b>	98(2)	98(2)	98(2)	98(2)
<b>Class</b>	90(9)	79(15)	89(9)	72(21)
<b>Heart</b>	64(6)	64(9)	64(7)	67(6)
<b>ism</b>	47(3)	67(3)	54(10)	45(2)
<b>Liver</b>	56(5)	58(6)	54(6)	55(4)
<b>Pima</b>	57(5)	56(4)	58(5)	58(5)
<b>Son</b>	58(7)	67(10)	61(10)	64(8)
<b>Vehic</b>	65(3)	65(3)	65(3)	65(3)
<b>Germ</b>	53(3)	57(4)	56(4)	56(4)
<b>Satim</b>	67(6)	50(13)	67(6)	55(14)
<b>Phon</b>	70(10)	69(10)	70(10)	70(10)

Table 4 shows that HACT had better results (presented in E2) for all DS regarding low sampling strategies than non-pre-processed DS (E1), except for Glass and Satim DS.

Since HACT involves the spread of information DS, study stage performance may indicate that training HACT was highly susceptible to imbalanced DS or that HACT required the decision boundary to be well defined for proper performance, plus maintaining excessive pattern removal. The best performance obtained with HACT involved using the Wilson editing method (E2) as a low sampling strategy.

### Conclusions

When DS are imbalanced HACT classifier performance becomes affected by not adequately recognising the patterns of the lesser classes represented. This study examined using two well-known algorithms for under sampling DS using associative models, intending to maintain or increase accuracy rates using eleven DS.

The results showed that using the WE algorithm tended to improve accuracy rates and reduced DS size as added value, resulting in computational cost reduction, for example, the Heart database (216 patterns) was reduced to 34 patterns.

It was proved that Wilson editing was the most conductive method for HACT performance, establishing an interesting situation. This clearly defined decision boundary and class density needed for good pattern conditions; an open study line was thus focused on using known over sampling algorithms for low density DS.

Further study with other filtering algorithms and incorporating cost-based functions to address imbalance represented an alternative for future study of imbalance without affecting class density (a priori) or probability.

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## Nomenclature

<i>DS</i>	Data set
<i>E1</i>	DS without pre-processing
<i>E2</i>	DS edited (with Wilson (WE))
<i>E3</i>	DS condensate (with modified selective (SS))
<i>E4</i>	DS edited and condensate (WE+SS).
<i>HAC</i>	Hybrid associative classifier
<i>HACT</i>	Hybrid associative classifier with translation
<i>SS</i>	Selective subset EPA, Title 40 Subchapter I-Solid waste, 258 criteria for municipal solid waste landfills, Environmental Protection Agency, USA, 2000.