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International Series: Adherence

Adherence: a review of education, research, practice and policy in Spain

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ABSTRACT*

Aims: To describe medication adherence education, practice, research and policy efforts carried out by pharmacists in Spain in the last decade. Methods: A literature review using Medline and Embase was conducted covering the last ten years. Additional pharmaceutical bibliographic sources in Spain were consulted to retrieve articles of interest from the last decade. Articles were included if a pharmacist was involved and if medication adherence was measured or there was any direct or indirect pharmacist intervention in monitoring and/or improving adherence. Articles focusing on the development of tools for adherence assessment were collected. Pre- and post-graduate pharmacy training programs were also reviewed through the Spanish Ministry of Education and Science website. Information regarding policy issues was gathered from the Spanish and Autonomous Communities of Education and Health Ministries websites. Results: Pharmacists receive no specific training focused on adherence. There is no specific government policies for pharmacists in Spain related to medication adherence regardless of their practice setting. A total of 24 research studies met our inclusion criteria. Of these, 10 involved pharmacist intervention in monitoring and/or improving adherence and 14 assessed only adherence. Ten studies involved hospital pharmacists working in collaboration with another healthcare professional.

Conclusions: At present in Spain, the investigative role of the pharmacist is not well developed in the area of medication adherence. Adherence improvement services provided to patients by pharmacists are not implemented in a systematic

way. However, recent efforts to implement new initiatives in this area may provide the basis for offering new cognitive services aimed at improving patient adherence in the near future.

Keywords: Medication Adherence. Pharmacists. Spain.

CUMPLIMIENTO: REVISIÓN DE LA EDUCACIÓN, INVESTIGACIÓN, PRÁCTICA Y POLÍTICA EN ESPAÑA

RESUMEN

Objetivo: Describir la educación, práctica e investigación sobre adherencia farmacológica llevadas a cabo en la última década por farmacéuticos en España.

Métodos: Se hizo una revisión de la bibliografía de los últimos 10 años en Medline y Embase. Además, se consultaron otras fuentes bibliográficas farmacéuticas españolas para recuperar artículos de interés publicados en la última década. Los artículos se incluyeron si contaban con la participación de un farmacéutico y se medía la adherencia a la medicación, o si existía una intervención farmacéutica para monitorizar y/o mejorar la adherencia, de forma directa o indirecta. Se seleccionaron también los artículos que desarrollaban herramientas para la evaluación de la adherencia. También, se revisaron los programas de formación impartidos en pre y postgrado de farmacia. La evaluación de las políticas sobre este asunto se realizó analizando las páginas web de los Ministerios de Educación y de Sanidad y Política Social a nivel estatal, así como sus homólogos a nivel autonómico.

Resultados: Los farmacéuticos no reciben formación ninguna enfocada específicamente a la adherencia. Tampoco existe política ni práctica sanitaria obligatoria que deban seguir los farmacéuticos independientemente del ámbito sanitario donde ejerzan su profesión. Respecto a la investigación, se encontraron 24 estudios que cumplieron con los criterios de inclusión. De ellos, 10 trataban de alguna intervención farmacéutica para monitorizar y/o mejorar la adherencia y 14 sólo pretendían evaluar la adherencia. En diez de los estudios, participaron farmacéuticos hospitalarios en colaboración con otro profesional de la salud.

Conclusiones: Actualmente en España, la actividad investigadora del farmacéutico está poco desarrollada en el campo de la adherencia farmacológica. Los servicios que proporcionan los farmacéuticos a los pacientes para mejorar la

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adherencia no se implementan de forma generalizada. No obstante, parece ser que los esfuerzos que se están realizando últimamente para implantar nuevas iniciativas pueden constituir una base para que en un futuro cercano se comiencen a prestar servicios cognitivos encaminados a mejorar la adherencia de los pacientes.

Palabras clave: Adherencia a la medicación. Farmacéuticos. España.

INTRODUCTION

Non-adherence to drug therapy is a frequent and well known phenomenon in our environment and constitutes a major problem, particularly with chronic diseases, where it has a direct impact on patient health and is a principal cause of treatment failure. In fact, the World Health Organization (WHO) considers non-adherence to be a high priority public health issue. 1 In addition to its health impact, the economic impact of non-adherence must be considered.^{2,3} Ineffective treatment resulting from undiagnosed non-adherence to therapy is quite frequent.4 Resultant unresolved symptoms may lead to additional diagnostic testing as well as dosage increases and/or unnecessary additional medications. Large amounts of time and money are invested in the search for new and more effective medications, while little attention is paid to whether or not patients are taking their medications. or taking them correctly.

The magnitude of non-adherence to drug therapy in Spain varies across studies depending on the type of treatment given and the measurement method applied. For example, a number of studies related to adherence by hypertensive patients to the recommendations given by their healthcare professionals have shown that non-adherence in hypertension varies between 40% and 71%. ⁵⁻⁷ Thus it is critical to identify and monitor non-adherence as part of daily clinical practice.

There are direct and indirect methods for measuring adherence.8 Direct methods consist of measuring the medication, its metabolites, or other biochemical markers in bodily fluids. Though objective and specific, such methods are seldom used in routine practice due to their technical difficulty and high cost. Indirect methods are based on information provided by the patient via instruments such as clinical interviews, self-administered adherence questionnaires, pill counts, medication electronic monitoring systems (e.g. MEMS™ electronic monitors), and adherence to medication refills. These methods are useful in daily practice, although they may overestimate adherence. Patients are known to improve their adherence when they are being observed.8 Thus results must be interpreted with some caution. However, electronic monitoring and pill count have shown the best validity and are usually used as gold standards to calculate the validity of other indicators.8

Currently, several terms are used to describe when patients take their medication according to the instructions of healthcare professionals. Terms such as compliance or adherence to treatment are used in both healthcare practice and research. Differences notwithstanding, it is critical that this concept reflects patients' active participation in selecting and maintaining a therapeutic regimen⁹, as well as their understanding of the information given about their specific diseases and treatment. WHO states that adherence must be understood as a behavior in which the patient acts rationally on all recommendations provided by the team of professionals in the treatment of a disease.

Understanding the reasons that drive a patient to nonadherence may help in meeting the stated therapeutic objectives. ¹¹ Non-adherence to treatment depends not only on the patient and the treatment characteristics, but also on factors related to the healthcare professionals treating the patient. Thus the problem must be addressed in a multidisciplinary basis.

In this context, community pharmacist is considered a professional both with the knowledge and the best availability to collaborate in ensuring correct use of medications and optimal patient adherence to treatment. A study on the effect of pharmacist intervention via written patient information on patients' adherence to antibiotic treatment showed an increase in adherence, and thus improved treatment results. 12

These pharmacist patient support activities are part of the concept of pharmaceutical care, which is understood as the participation of the pharmacist in the assessment of clinical outcomes related to the use of drug therapy 13,14, and more generally, the pharmacist's role in promoting and preserving health. In dispensing and over-the-counter (OTC) prescribing, the pharmacist must instruct the patient on the use of medications. Another patient-related task, which aims among others things at rationalizing and improving the use of medications, is medication review with follow-up. 15-17 This activity includes the implementation of appropriate processes for preventing, monitoring and improving adherence to treatment in order to achieve healthcare outcomes.

This paper is a review that covers key aspects of the role of the pharmacist in Spain as it relates to adherence, specifically: Spanish national health policies in this area, the relevant training given to pharmacists in Spain, the research studies carried out and the services implemented to measure, monitor and improve adherence.

METHODS

Education in medication adherence

Presently, there are 18 Pharmacy Schools in Spain. Of these, nine offer the title of Graduate Pharmacist in compliance with the Bologna Declaration (whose aim is to regulate university degree programs across all of Europe). ¹⁸ The remaining nine schools offer the title of Bachelor of Pharmacy, which is

being phased out. All Pharmacy School programs in Spain were reviewed to identify any pre- or post-graduate courses related to medication adherence in their curricula. This was done by reviewing the Spanish Ministry of Education website. ¹⁹ Both Graduate Pharmacist and Bachelor of Pharmacy degrees, as well as postgraduate educational courses, offered by Spanish Universities were reviewed. From this information, a search was performed to reveal which Universities offer pharmaceutical care courses that include any adherence related topic.

Research, practice and policy in medication adherence

A literature review was conducted to identify published literature on research projects or programs implemented in Spain that have focused on medication adherence in a pharmacy setting. The databases consulted were MEDLINE and EMBASE; both searches were limited to Spain in the last ten years.

The Medline search was performed on the basis of the following MeSH terms ("Patient Compliance", Adherence", "Pharmacists", "Medication "Pharmaceutical Services", "Pharmacy Administration", "Legislation, Pharmacy", "Societies, Pharmaceutical". Pharmaceutical "Insurance, Services"). In Embase, a number of search terms were used: "Patient Compliance", "Pharmacists", 'Health "Pharmacy", care organization", "Management", "Spain". The following professional journals were also manually searched to identify additional research projects and adherence programs implemented by pharmacists within Spain: Pharmaceutical Care, Offarm, Farmacia Profesional and Ars Pharmaceutica.

Articles retrieved in these searches were reviewed by three independent researchers to verify that they met the inclusion criteria. Namely, articles were included in the review if a pharmacist was involved and if medication adherence was measured or there was direct or indirect pharmacist intervention in monitoring and/or improving adherence. Articles focusing on the development of tools for adherence assessment were also selected.

To assess policy projects on medication adherence in Spain, Ministry of Education and Ministry of Health and Social Policy websites, as well as corresponding websites from every 17 Autonomous Community, were searched.

RESULTS

Pharmacist education in adherence

Pre-graduate education

None of the programs offered by the 18 universities had courses which focused specifically on adherence. However, a "pharmaceutical care" course was offered in seven universities. In particular, three of the schools that award the Graduate Pharmacist title had made this course mandatory while four others offer it as an elective course. These courses cover the three main

services that, according to the Spanish Forum of Pharmaceutical Care¹⁵, constitute the philosophy of practice.

The Pharmacy White Paper [Libro Blanco]²⁰, published by the Spanish National Institute of Accreditation and Quality Evaluation (ANECA) [Agencia Nacional de Evaluación de la Calidad y Acreditación] calls for making pharmaceutical care a mandatory subject, and is the basis for a recent national regulation (CIN/2137/2008) delineating the competencies that pharmacy students must acquire. Key among these competencies are the following: the proficiency to identify and assess medication related problems, preparedness for participation in pharmacovigilance activities, and the ability to carry out the clinical and social roles of a pharmacist in accordance with the pharmaceutical care cycle.

Post-graduate education

A growing number of pharmaceutical care specialization courses are being offered by Spanish universities. While not exclusively focused on medication adherence, these courses teach the required skills to prepare pharmacists to implement adherence improvement strategies in any professional setting. Notably, students learn about how to deal with patients, with a focus on improving communication skills.

Research in adherence to treatment

Search results and inclusion of studies

Our Medline and Embase searches yielded 61 and 59 articles, respectively. Of these 120 articles, only 16 met all of the predefined inclusion criteria. In addition, we included eight articles found by manual search of Spanish pharmaceutical journals. Of the total group of 24 included articles, 10 involved pharmacist interventions to monitor or improve adherence 12.21-29 while 14 were descriptive articles.

Characteristics of the intervention studies

Three studies were randomized clinical trials ^{12,22,26}, 4 were guasi-experimental studies without a control group ^{23,24,27,29}, 2 were observational studies ^{21,25} and another was ambispective. ²⁸ Three of the studies were conducted in hospital pharmacies ²¹⁻²³, six in community pharmacies ^{12,24-28}, and one in a primary care setting in collaboration with nearby community pharmacies. ²⁹ All of the studies were published in pharmacy journals except one that had been published in a medical journal. ²⁸ The articles were found among 10 journals, including eight national and two international journals.

Medication adherence was the primary parameter assessed in five of the studies $^{12,25\text{-}28}$, and a secondary parameter in the remaining five studies. $^{21,22\text{-}24,29}$ In terms of disease type, six studies focused on chronic diseases: acquired immunodeficiency syndrome (AIDS) 23 , type II diabetes 26,29 , hypertension 24,29 , dyslipidemia 29 , hepatitis C^{21} , and cardiac insufficiency. 22 Two studies dealt with antibiotic adherence 12,28 , and in two studies, no disease type was specified. 25,27

Adherence monitoring was carried out by several methods or combinations of methods: clinical interview^{24,25}, modified Morisky-Green questionnaire^{23,26}, Simplified Medication Adherence Scale, SMAE (Escala Simplificada de Adherencia a la Medicación) together with the Simplified Medication Adherence Questionnaire (SMAQ) and self-reported patient adherence²³, a non-validated questionnaire²⁷, pill count^{21,22,29}, prescription record analysis^{23,27}, adherence to scheduled pharmacy visits²⁸, and Direct Observed Treatment (DOT).²⁸

Four studies involved specifically designed educational interventions. 12,21,22,28 Six studies involved Medication Review with Follow-up (five via the Dader Method^{24-27,29} and one with a different method²³). All of them utilized one of the abovementioned measurement methods or combination of methods. Seven of these articles showed significant adherence improvement after pharmacist intervention $^{12,22-27}$, although only three correlated pharmacist pharmacist intervention with clinical parameters to demonstrate the intervention^{23,24,26} (effectiveness ٥f (reduction of glycosylated hemoglobin, blood pressure and viral load). The key characteristics of these studies are summarized in Table 1.

Characteristics of descriptive articles

Of the 14 articles, six appeared in Spanish national publications³⁰⁻³⁵ publications³⁰⁻³⁵ and eight in international publications.³⁶⁻⁴³ Nine articles were published in medical journals^{30,31,36-42} and five in pharmacy journals. In all studies, adherence was the primary stated objective. In terms of healthcare setting, 11 studies were carried out in hospital pharmacies 31,32,35-43, two in community pharmacies^{31,32,35-43}, two in community pharmacies^{30,34} and one study in a hospital emergency department.³³ The target population was primarily AIDS patients (11 of 14 studies).^{31,32,35-43} The 3 remaining studies involved hypertensive patients³⁴, pharmacy customers³⁰, and emergency department patients without a specific disease focus.33 Hospital pharmacists were the primary healthcare professionals who measured adherence in collaboration with other healthcare professionals (in 10 of 14 studies): 8 with physicians 31,33,3 and 2 with nurses. 38,41 Two of the Two of the 4 _ with studies pharmacists 32,39 cc involved only hospital ^{2,39} and the two others pharmacists.^{30,34} The to involved community The tools for measuring adherence were very diverse: structured interviews, prescription records from hospital pharmacies, specially designed questionnaires (e.g. Morisky-Green, modified Gao-Nao, Haynes-Sackett, and others not validated), pill count, medication refill, therapeutic drug monitoring, and/or selfreported adherence. In 10 of the studies, a combination of tools was used to measure adherence 31,34-38,40-43, and in the remaining 4, one tool was used exclusively. 30,32,33,39 The key characteristics of these studies are summarized in Table 2.

Pharmacy practice on adherence

In the Spanish pharmacy environment, there is no established service offered specifically for adherence. However, reports studied for this review indicated that pharmacists do offer services that

enable measurement, monitoring, and improvement of patient adherence to medication therapy. These services include pill-organizers Medication Review with Follow-up services 23-27,29, and hospital protocol programs aimed at detecting adherence problems. 45

National policies related to medication nonadherence

Spain is a European Union member with a population of 46 million. It has a semi-federal structure with 17 "Autonomous Community" states, which are further divided into 52 provinces. 46 There which are further divided into 52 provinces.4 are no specific government policies related to medication adherence currently in place in Spain. However, it is important to note that there are health policies at the professional level that address the role of the pharmacist in patient adherence to treatment. The recent health policy and consensus document on hypertension in Spain⁴⁷ is a good example of such a professional level policy. In this document, the various scientific societies and signatory organizations specify the need to develop pharmacy educational programs that raise patients' awareness of the impact of adherence on their

The recent consensus document published by a panel of experts from the Spanish Pharmaceutical Care Forum [Foro de Atención Farmacéutica]¹⁵ defines common terms and services and describes procedures for the practice of pharmaceutical care, including a proposal to Spanish software companies recommending implementation of certain agreed upon procedures in software programs for Spanish pharmacies. In this sense, a national strategy is emerging, at least in the area of pharmacy software, for the most important pharmaceutical care services, namely dispensing and OTC prescription, and medication review with follow-up. 15 Although the outcomes of this development are not yet known, it is seen as a good opportunity for the further development of the pharmacist's role in patient adherence.

Nevertheless, at the governmental level, initiatives being carried out in some of the Autonomous Communities in Spain have shown improvement in addressing the issue of adherence by community pharmacies. ⁴⁹ For example, the broad adoption of electronic prescriptions enables pharmacists to know their patients' prescription and dispensing histories. This strategy could serve to strengthen the role of the pharmacist in improving adherence.

Among the strategies for improving adherence, three initiatives implemented so far in several Autonomous Communities deserve mention. ^{28,50,51} In one case, a pilot collaborative effort is being carried out between local pharmacist professional associations and municipal home care services with the aim of improving medicine use of home-bound patients. The program consists of a dose administration aid, which is given to the patient at twice-monthly pharmacy visits and returned by the patient or caregiver at the next visit with any "pills not taken". ⁵⁰ Another national project, proposed in 2009 by Spanish health authorities, involves

improvements in care for chronic and multiple-drug patients. Specific project activities include the contracting of qualified healthcare professionals for medication management, specifically pharmacists who can systematically review treatment effectiveness, promote rational drug use, and help patients adhere to their drug treatment.⁵¹ Finally, reimbursement of community pharmacist cognitive services has been established as part of a multidisciplinary care program focused on tuberculosis patients at risk for non-adherence. Pharmacists receive a standard fee per patient per month for directly supervising the delivery of tuberculosis medication, and for educating patients on adherence.28

DISCUSSION

Pre-graduate pharmacy education in Spain seems to be insufficient to achieve the skills required to manage adherence issues in the pharmacist's daily practice. With regard to the Spanish policies on adherence in the pharmacy setting, it appears there are also not sufficient efforts being implemented at the professional level to adequately enable implementation of new cognitive services and growth in the pharmacist's role. Such professional level efforts should be reinforced by government level strategies, as has been done in other countries 52-54, through the establishment of reimbursement to pharmacists and promotion of collaborative programs within the health care team.

It should be noted that the studies selected for this review revealed certain problem areas in research methodology related to adherence. One key problem is the reliability of adherence measurement methods. Many studies measured adherence with methods that were unreliable or not often recommended, such as the use of the Morisky-Green test regardless of its validity for the specific disease, or the use of clinical interviews. Indeed, the researchers themselves when using a combination of methods revealed that there is little agreement between them. 34-36,41 None of the studies reviewed used electronic monitors, the method recommended as the reference standard to measure the validity indicators for the other indirect methods. On the whole, few studies have monitored adherence via electronic monitors in Spain, likely because of the high cost of MEMS devices. MEMS have likewise not been used much in Australia according to a similar review conducted there.

In terms of pharmacist involvement, more than half of included studies were carried out by hospital pharmacists. This highlights the low level of involvement of community pharmacists in adherence related activities, when in fact these pharmacists are in a unique position to promote medication adherence, being the last point of

contact with the patient before beginning use of the medications. Strategies should be formulated to achieve greater involvement of the community pharmacist in promoting adherence.

Only four of the studies^{24-26,33} looked at the impact of improved adherence on health results, even while ethical standards for adherence research specify that any study which evaluates and improves adherence should not only contribute these isolated data points, but should also describe the clinical outcome benefits obtained.⁵⁵ The only studies found to correlate adherence with health outcomes did not use the most valid designs and therefore could lead to erroneous conclusions.^{24,25,33}

The above limitations should be taken into account in the design of future research studies. However, despite the possibility that these limitations may have introduced biases in prior studies, it is noteworthy that the results of most of the studies suggested that pharmacist intervention can improve patient adherence and/or patient's health status. 12,21,22,24,26-28 In the experimental studies with control groups that were identified in our search, adherence in the experimental group patients was higher than in controls. 12,22,26 These findings reinforce the statement that a pharmacist can play an important role in improving adherence when delivering information about medication, thereby helping patients to understand and remember prescribed dosages and educating them about the importance of following the recommendations of health care professionals.

CONCLUSIONS

Presently, the investigative role of the pharmacist in Spain is not yet well developed in the area of medication adherence. Adherence improvement services provided by pharmacists to patients are not widely implemented in a systematic basis. However, recent efforts to implement new initiatives in this area may provide the basis for offering new cognitive services aimed at improving patient adherence in the near future. Pharmacists, regardless their practice setting, should be aware of the existence of a societal need for the rational use of medicines and, more specifically, for improving adherence to prescribed medical treatments.

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CONFLICT OF INTEREST

None declared.

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Study Characteristics	Description of Intervention	Adherence Assessment	Study Outcomes Assessed	Quantitative Results for Medication Adher and Health Results			dherence
Andrés NF et al. ²⁶ Design : randomized clinical trial	 Medication Review with Follow-up via the Dáder Method. 	Modified Morisky-Green	No. of errors in medication/		Controls	Inter- vention	p-value
Objective: To carry out Medication Review with Follow-up and individualized educational	 Educational intervention specifically designed for the study, both to 	questionnaire	medication adherence	Adherence failures (%)	0.6 ± 0.9	0.2 ± 0.5	< 0.001
interventions; to evaluate patients' knowledge and medication adherence. Duration: 1 year Study Population: 112 patients (56 in the control	increase patient knowledge to medications and to improve patient medication adherence, which was		• Level of HbA _{1c}	HbA _{1c} levels(mg/d L)	8.4 ± 1.8	7.9 ± 1.7	< 0.001
group and 56 in the intervention group) diagnosed with type 2 diabetes. Setting: Community pharmacy.	carried out in parallel to Medication Review with Follow-up.						
Arias JL et al. ²⁴ Design: Quasi-experimental, no controls Objective: Analyze and classify drug-related	 Medication Review with Follow-up via the Dáder Method. Individualized educational 	Clinical interview	DRP identified and classified.	Non-adherence was the most frequent DRP Ident patients in follow-up, with a frequency of 26% (NOMs ^d).			
problems (DRP) ^b detected via Medication Review with Follow-up. Duration: 5 years Study Population: 36 patients with diagnosed Hypertension or elevated levels of blood pressure (BP), meaning blood pressure levels higher than 140/90 mmHg without hypertension diagnosis.	intervention to increase knowledge about disease, treatment, diet and hygiene measures and promote medication adherence.			Medication adherence was achieved in nearly all pa after the pharmacist's intervention.		irly all patients	
Setting: Community pharmacy. Bofil C et al. ²⁹ Design: Quasi-experimental, no controls Objective: To conduct Medication Review with Follow-up and measure of medication adherence	Primary care Medication Review with Follow-up phase: Pharmacist recorded patient data, e.g. medication-related and state of	Pill count ^e .	NOM ^d identified via Medication Review with Follow- up in primary care	Of 232 patients, 117 (50.4%) were nonadherent, and (49.6%) were adherent. Reasons for nonadherence: forgetfulness (76.1 symptom improvement (15.4%), and adverse effe (8.5%). Note: conditions not mutually exclusive.			ess (76.1%)
Duration: 6 months. Study Population: 280 patients for Medication Review with Follow-up, 232 for medication	health, and applied Dáder Method to detect possible NOM. In addition, periodic meetings were held with		enter Percentage of non- adherence g				lverse effects
adherence, with the following pathologies: arterial hypertension, type 2 diabetes or dyslipidemia. Setting: Primary care centers (for Medication	primary care center doctors to determine acceptability of interventions.	are center doctors to e acceptability of ons. acy-setting adherence edication adherence ent in all study patients and	non danorone		Type 2 Diabetes (%)	Dys- lipidemia (%)	Hyper tension (%)
Review with Follow-up) and community pharmacies (for medication adherence).				Adherant	45 (56.6)	62 (45.9)	105 (51.0)
				Nonadherant	36 (44.4)	73 (54.1)	101 (49.0)
	intervention for nonadherant patients.	r.		Total	81 (34.9)	135 (58.2)	206 (88.8)

Study Characteristics	Description of Intervention	Adherence Assessment	Study Outcomes Assessed		and H	for Medication <i>I</i> ealth Results	Adherence	
García-Jiménez E et al. 25 Design: Observational cross-sectional study Objective: Analysis of interventions with negative results associated with medication (NOM) ^c to understand issues related to medication nonadherence. Duration: unknown Study Population: 660 interventions in 496 patients. Setting: Community pharmacy.	Medication Review with Follow-up via the Dáder Method	Clinical interview	NOMs due to medication nonadherence	Distribution of the 660 NOMs: - NOM associated with patient receiving necessary medication: 196 (29.7%). - NOM associated with use of a medication patient does not need: 24 (3.6%). - NOM associated with effectiveness lever medication: 407 (61.7%). - NOM associated with safety level medication: 33 (5.0%).				
Juan G et al. ²⁸ Design: Ambispective (composed of an observational prospective and an observational retrospective) Objective: To compare directly observed treatment of tuberculosis in pharmacies (DOT, prospective study) with self-administered treatment (SAT, retrospective) in patients at risk of non-medication adherence. Duration: 3 years (retrospective) + 3 years (prospective) Study Population: 243 tuberculosis (TB) patients at risk for non-medication adherence (101 DOT and 142 SAT). Setting: Community pharmacy.	 In the DOT program, pharmacies provided medication and social services to outpatients previously diagnosed with tuberculosis who were at risk for non-medication adherence. Delivery of TB medications (with social / health support and incentives) carried out at the pharmacy by trained pharmacist. Each patient visit to the pharmacy served as an opportunity to reinforce the importance of medication adherence, by inquiring about the occurrence of any drug-related events and reminding the patient about forthcoming pharmacy and hospital appointments. 	Drug ingestion at the pharmacy Adherence to medication refill.	Medication adherence Detection of drug-related events; incremental cost per patient associated with DOT implementation Confirmation of clinical cure by bacteriology: sputum culture of Tuberculosis Mycobacterium	Of 101 DOT patients, 69 (68%) fully completed treat without incident, while 32 (32%) missed appointments. Of these, 12 were tracked by the pro and did not interrupt treatment for more than consecutive doses, while the remaining 20 were lof follow-up. Of the 81 (i.e., 69 □ 12; 80.2%) patients who foll DOT, 76 (75.2%) completed treatment, two died o related disease and 23 were lost to follow-up By contrast, in the SAT group, only 30 (27%) par completed treatment, four died of TB-related disease 78 were lost to follow-up, most because they droppe of treatment.			missed some by the program ore than two 0 were lost to who followed wo died of HIV up (27%) patients ed disease and	
López C et al. ²²	Evaluated medication adherence.	Pill count f	Number of re-	Number of po	st-intervent	on adherent patie	nts:	
Design: Randomized clinical trial	 Individualized educational 		hospitalized		Control	Intervention	p-value	
Objective : To evaluate efficacy of multifactorial educational intervention in patients with cardiac	intervention focused on: - Disease information		patients. • Medication	2 months.	26 (60.5%)	45 (88.2%)	0.002	
insufficiency. Duration: 1 year Study Paraletian: 124 patients (67 in each group)	Diet education Medication treatment	adherence. • Quality of life • Patient satisfied with intervention	adherence. • Quality of life 6 months. 20 (69.0%) 4	41 (91.1%)	0.015			
Study Population: 134 patients (67 in each group) hospitalized with cardiac insufficiency.	information		with intervention	12 months.	17 (73.9%)	34 (85.0%)	Not significant	
Setting: Hospital pharmacy.			received.	Number of re-hospitalizations decreased i received the intervention compared to patie receive it:				
					Control	Intervention	p-value	
				2 months.	16 (25%)	8 (11.4%)	0.041	
				6 months.	27 (42.2%)	17 (24.3%)	0.028	
				12 months.	31 (48.4%)	23 (32.9%)	Not significant	

Study Characteristics	Description of Intervention	Adherence Assessment	Study Outcomes Assessed	Quantitative Results for Medication Adherence and Health Results
Machuca M et al. ¹² Design: Randomized clinical trial Objective: Determine the effect of written information on patient medication adherence to antibiotic treatment and analyze possible causes for non-medication adherence. Duration: 2 months. Study Population: 214 patients (105 intervention, 109 control) Setting: Community pharmacy	 Both control and intervention groups: Provide treatment information such as dosage and treatment duration, as well as life-style. Intervention group only: reinforce the information transmitted in writing. 	Clinical interview (adherence was defined as the patient taking 100% of the doses prescribed by the doctor)	Medication adherence. Causes for nonadherence.	Adherent subjects in control group: 51 (46%). Adherent subjects in intervention group: 64 (61%). Causes for non-medication adherence (in 99 nonadherent subjects): - Dropped out of treatment: 31 - Inadequate dosage: 56 - Adverse reaction: 7 - Other: 5
Marino EL et al. ²⁰ Design: Prospective, open-label study Objective: To describe pharmacist intervention results in optimizing response in treatment-naïve patients with chronic hepatitis C virus infection. Duration: 48 weeks 42 months. Study Population: 50 patients with chronic Hepatitis C virus infection. Setting: Hospital pharmacy.	 Pharmacist provided patients medication therapy management (including adherence), education and support, to make them active participants in their therapy. If required, patients were given follow-up visits with the pharmacist to monitor patients closely and assess whether strategies were effectively promoting medication adherence. 	 Pill count^a. Adherence monitored at scheduled visits at wks 4, 8, 14, 20, 24, 32, 40, and 48 by inspecting pill boxes . 	Medication adherence Early viral response Sustained viral response Adverse effects	The mean medication adherence rate was 85.7% in the overall sample and 95.5% in patients who achieved sustained viral response. The rate of early viral response was 68.0% (n = 34). Of the patients who had an early viral response, 70.6% (n = 24) achieved a sustained viral response.
Rodríguez MA et al. ²⁷ Design: Quasi-experimental, no controls Objective: To measure the degree of nonadherence in Medication Review with Follow-up patients, to determine types of NOM due to medicine non-adherence, to identify types of non adherence. Duration: not specified Study Population: 89 Medication Reviews with Follow-up Setting: Community pharmacy.	Medication Review with Follow-up via the Dáder Method	 Clinical interview Non- validated questionnaire Pharmacy records. 	 Levels and types of non-medication adherence Types of NOM^d due to medication nonadherence 	275 NOM interventions, of which 43 (16%) were due to medication nonadherence: - Necessity NOM: 17 (39.6%) - Non-necessity NOM: 1 (2.3%) - Effectiveness NOM: 22 (51.2%) - Safety NOM: 3 (7.0%) The most common reasons for medication nonadherence was patient's lack of confidence in the treatment, present in 8 cases (18.6%); 95.3% of the interventions were acceptable to the doctor and 74.4% of the health issues were resolved.
Ventura JM et al. ²³ Design: Quasi-experimental, no controls Objective: Describe a Pharmacy Care program. Duration: 1 year Study Population: 355 HIV patients. Setting: Hospital pharmacy.	Medication Review with Follow-up using their own methodology.	SMAE ^h scale Prescription records Non adherent patients: -SMAQ -Modified Morisky-Green test Self-reported medication adherence.	 DRPs Medication nonadherence Viral Load CD4 T-Lymphocytes 	The most frequent DRPs were due to medication adherence problems (30% of patients). Significant differences were found in viral loads between the 1st and 5th visit. No direct relationship between DRPs and adherence was found, although increasing the number of visits between the first and the fifth visit did significantly affect viral load.

Table 1. Characteristics of Intervention Studies.				
Study Characteristics	Description of Intervention	Adherence Assessment	Study Outcomes Assessed	Quantitative Results for Medication Adherence and Health Results
3 4 11 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1				

^a A patient was considered adherent if 80-110% of the prescribed medication was used.

Simplified medication adherence questionnaire (SMAQ)

Table 2. Characteristics of descriptive studies.						
Study Characteristics	Adherence Measurement Methods	Study Outcomes				
Alcoba et al. ³⁸	Clinical interview with a nurse: Doses missed during the 4 days			N/106 (%)	95%CI	
Design: Cross-sectional study. Setting: HIV outpatient clinics.	before the visit. Patients were considered nonadherent if they had taken <90% of prescribed doses of at least one drug. • Adherence to medication refill: Delay in collection of drugs at the pharmacy. Patients were considered nonadherent when they reached a	Total non-adherence		53 (50.0%)	37.7- 56.7	
Study Population: HIV-positive patients treated with Indinavir Number of Subjects at Inclusion: 106		Nonadherence, interview criteria	clinical	23 (21.7%)	13.9- 29.5	
Objective: To explore the contribution of Indinavir plasma levels for evaluating self-reported adherence	cumulative delay of more than 9 days. Indinavir levels: Non-adherence was determined when plasma levels were undetectable.	Nonadherence, medica criteria	tion refill 4	5 (42.5%)	3.0- 51.9	
and compare it to hospital pharmacy drug records.	were undetectable.	Nonadherence, undetectable Indinavir levels criteria		65 (61.3%)		
		Correlation of medication levels	n adherence r	ence measures with p		
				OR	95%CI	
		Clinical interview		1.77	0.5-5.9	
		Medication refill		8.01	2.9- 22.0	
Baena MI et al. ³³ Design: Observational cross-sectional study. Setting: Hospital Urgent Care Service, Study Population: Patients attending a Hospital	 Validated adherence questionnaire (not specified in the paper) Description of health problems obtained from patient clinical history^b. 	Percentage of patient with non-adherence to medica categories: nonadherent, p	ation. Patients	tients were classified dherent and adherent		
emergency unit.	,		Nonadhere	Partially	adheren	
Number of Subjects at Inclusion: 2556 Objective: Determine the proportion of negative			nt	adheren t	t	
clinical results associated with medications (NOM) ^a ,		Necessity NOM	55.9%	15.0%	29.1%	
which are related to non-adherence or to the level of		Effectiveness NOM	0.9%	14.0%	85.1%	
patients' knowledge about their drug therapy.		Safety NOM	3.1%	12.5%	84.4%	

^b Drug-related problem (DRP): Circumstances that have caused or might cause a negative result associated with the medication.

^c Negative outcomes associated with medication (NOM): Undesirable changes in patient's state of health attributed to the use (or non-use) of a medication.

d NOM refers to a patient health problem or undesirable health state attributed to use or non-use of medications. In the article this appears as drug-related problem (DRP) which is the older nomenclature, the new nomenclature, a DRP became a NOM. Nowadays a DRP is defined as a situation in which in the process of use of medicines cause or may cause the appearance of a negative outcome associat with medication⁵⁶

^e Does not specify criteria for non-medication adherence.

Patients were defined as adherent if 95% to 100%, partially adherent if 85%-95%, and nonadherent if less than 85% of the prescribed medication was used.

⁹To estimate the percentage of adherence the following formula was used: Number of pills used/Number of pills that should have been used x 100.Patients are considered adherent when their scores located between 80-100%. Patients are described as hyper-adherent if they get a score higher than 110% and nonadherent with less than 80%.

^h Simplified Medication Adherence Scale (SMAE)

Table 2. Characteristics of descriptive studies.					
Study Characteristics	Adherence Measurement Methods		Study Outco		
Bueno Gómez M et al. 30 Design: Observational cross-sectional study. Setting: Community pharmacy. Study Population: Any patient coming in the pharmacy to get medicines Number of Subjects at Inclusion: 139 Objective: Measure adherence and investigate causes.	 Morisky-Green questionnaire. A second non-validated questionnaire was given to nonadherent patients to determine possible causes for non-adherence. 	108 patients (78%) were nonadherent. The primary cause for nonadherence was patient's percimprovement in health in 87 patients (81%). Adherence by each method: Pill count: 72.5% Clinical Interview: 85.5% Medication refill: 81.2%			
Codina et al. ⁴¹ Design: Prospective observational study Setting: Outpatient clinic. Study Population: HIV Ambulatory patients treated with HAART. Duration: 12 months. Objective: To compare three methods for assessing medication adherence Number of Subjects at Inclusion: 69	 Pill count: Adherence was calculated according to: [dispensed medication – returned medication]/[(return date – dispensing date) × number of pills/day] × 100 Clinical interview: Questionnaire combining Morisky-Green instrument with other questions. Adherence was quantified based on the number of days in the last month in which the patient had omitted a dose. Adherence to medication refill: Patients were considered nonadherent if they failed to keep pharmacy appointments. 				
Cuevas González et al. 42 Design: Prospective cohort study Setting: Outpatient clinic. Study Population: HIV-infected patients treated with Indinavir	ting: Outpatient clinic. dy Population: HIV-infected patients treated with prescribed doses of at least one drug. • Adherence to medication refill: Information from the hospital		Virologic failure Adjusted OR [95% CI]	Area under the curve predicting virologic failure [95% CI]	
Number of Subjects at Inclusion: 112 Duration: 24 months.	nonadherent if they had cumulated a delay of <9 days in collecting their medication during the previous 3 months.	Questionnaire s	2.55 [1.64- 3.94]	0.629 [0.503- 0.755]	
Objective: To explore the contribution of a single determination of plasma levels for measuring	Plasma levels: patients were nonadherent if plasma levels of Indinavir were undetectable.	Medication refills	1.76 [1.13- 2.75]	0.672 [0.552- 0.791]	
adherence as a means of predicting virologic failure.		Plasma levels	1.55 [0.97- 2.47]	0.602 [0.468- 0.718]	
Escobar et al. 43 Design: Cross-sectional study. Setting: HIV outpatient clinics. Study Population: Ambulatory HIV patients treated with highly active antiretroviral therapy (HAART) Number of Subjects at Inclusion: 357 Objective: To determine the variables related to HAART adherence.	 Medication refill: Correlation of plasma levels of every antiretroviral drug with the number of dosage forms dispensed by the pharmacy department and the dispensing interval. A subject was defined as adherent if the percentage of dispensed dosage forms was < 95% of the total prescribed. Variables measured: anxiety, recreational drugs and alcohol consumption, number of drugs prescribed. 	Adherent subjects: 52% [95%Cl 46.0:57.9] Variables related to non-adherence (p < 0.005): Score on the anxiety trait test 75% greater than that of the general population. Recreational drugs or alcohol consumption. Number of drugs prescribed. 3-year survival rates for adherent and non-adherent patients (p = 0.003): Adherent subjects: 81%; [95%Cl 80:84.8] Nonadherent subjects: 73%; [95%Cl 66.3:78.4]			
García de Olalla et al. ³⁹ Design: Cohort study. Setting: Hospital. Study Population: HIV-infected patients Duration: 9 years Number of Subjects at Inclusion: 1219 Objective: To assess the effect of antiretroviral therapy adherence on survival in HIV-infected patients.	Self Report: Doses missed in the month before the visit. Patients were considered nonadherent if they declared that they took <90% of the total doses of antiretroviral drug(s) prescribed. Medication refill at the pharmacy: Patients were considered nonadherent if they failed to keep pharmacy appointments.				

Table 2. Characteristics of descriptive studies.						
Study Characteristics	Adherence Measurement Methods		St	tudy Outcom	es	
Girón-González et al. ⁴⁰ Design: Prospective observational study	• Patient self-reporting: Patients were considered nonadherent if they took <80% of their prescribed zidovudine in the month before the visit.	Occurrence of operates:	pportunis			
Setting: Outpatient clinic in a tertiary care hospital.	Medication refill at the pharmacy: Patients were considered	<u> </u>	3		patients N (%	
Study Population: HIV-infected patients treated with	The state of the s			6	9	12
antiretroviral therapy (zidovudine plus zalcitabine [N =	Changes in mean corpuscular volume: Patients were considered as		months		months.	months.
55] or zidovudine plus zalcitabine plus saquinavir [N = 32]).	adherent if the mean volume was >97 mm³ or the increase was >18 mm³ above the baseline mean volume.	Double- therapy	30 (54.5%)		27 (49.0%)	27 (49.0%)
Number of Subjects at Inclusion: 87 Duration: 12 months.		Triple- therapy	17 (53.1%)	12 (37.5%)	12 (37.5%)	12 (37.5%)
Objective: To determine non-adherence to HAART		· Double-therapy	group: (6 (31.6%) noi	n-adherent pat	tients and 0
over time and describe its effects on clinical		adherent patients	s had a	at least one	opportunistic	event. The
outcomes.		difference between	n both g	roups was sta	tistically signifi	cant.
		· Triple-therapy of	group: 4	(16%) non-	adherent pation	ents and 0
		adherent patients				
		difference between				
Martín J et al. ³⁷	Non-validated questionnaire and pharmacy records of medication	Comparison of			ults obtained	
Design: Cross-sectional study.		questionnaire vs	. prescri			
Setting: Hospital.		Questionnaire			ription record	
Study Population: HIV-infected patients.				<90%		:80%
Number of Subjects at Inclusion: 242				nonadherei		dherent
Objective: To examine the validity of a questionnaire		Nonadherence		25 (10.3%		(8.7%)
for detecting non-adherence in HIV-infected patients under antiretroviral treatment.		Adherence		104 (43%)		(25.6%)
		Total		129 (53.3%		(34.3%)
Martín MT et al. ³¹ Design: Observational cross-sectional study.	Pill count.Pharmacy records of medication refill (if pill count was unavailable)	33% of subject occurrences of AF				nip between
Setting: Ambulatory pharmacy unit (hospital)	Patients were considered adherent if they took ≥90% of the prescrib	OCCUTICACO OF ALL			Patients (%)	
Study Population: HIV patients treated with HAART	doses.			rith	with	_
Number of Subjects at Inclusion: 1936					medication	P
Objective: To assess the relationship between					dherence ≥	value
adverse reactions (AR) and non-adherence to HAART			9	0%	90%	
treatment.		w/o AR	3	0%	70%	0.007
		With AR		8%	62%	
Martín-Sanchez et al. ³⁶	Clinical interview: Doses missed in the 4 days prior to the interview.	 Total non-adher 				CI 45.6: 59.2]
Design: Cross-sectional study.	Patients were considered nonadherent if they took < 90% of the total	Clinical interview:				
Setting: HIV outpatient clinics.	prescribed dose of at least one drug.	Medication refill: 8				
Study Population: HIV infected patients treated with	Medication refill: Delay in collection of prescribed drugs from the	- Poor treatment a				0.01) were:
HAART.	hospital pharmacy over the previous 3 months. Patients were	Cocaine consump				
Number of Subjects at Inclusion: 206	considered nonadherent when they reached a cumulative delay of	Self-reported effect				
Objective: To determine adherence to HAART and the associated variables.	more than 9 days.	Not being presonated (adjusted OR 1.9)		a Zidovudine	e-Lamivudine	combination
the associated variables.	 Variables: clinical information, sociodemographic information, risk factors for HIV infection, adverse effects, self beliefs and TARGA self- 	(adjusted OR 1.9)				
	perceived effectiveness, alcohol and recreational drugs consumption,					
	symptoms of depression in the last week, and capability for self-control in the last month.					

Table 2. Characteristics of descriptive studies.					
Study Characteristics	Adherence Measurement Methods	Study Outcor	mes		
Méndez Lora M et al. ³⁴ Design: Observational cross-sectional study. Setting: Community pharmacies Study Population: Patients with hypertension. Number of Subjects at Inclusion: 400 Objective: To describe adherence to hypertension treatment and its determining factors in the ASE model (attitude, social influence and self-reported efficacy) in order to learn which factors best explain adherence behavior. Morillo Verdugo R et al. ³² Design: retrospective. Setting: Hospital. Pharmacy service Study Population: Patients treated with Abacavir, Lamivudine and Zidovudine (ABC-3TC-AZT) Number of Subjects at Inclusion: 114 outpatients. Objective: To identify causes of discontinued antiretroviral treatment with simplified therapeutic	Validated questionnaire to evaluate adherence in hypertensive patients and associated psycho-social factors. It combines a number of instruments: Batalla, Morisky-Green, Haynes and Sackett, ASE model variables, Prochaska and DiClemente stages of change variables. • Multi-interval dispensing adherence: Pharmacy records of medication refill. This indicator is calculated as: number of days of medication dispensed/total number of days observed. Patient was considered adherent when this indicator was greater than 95%.	Adherent subjects (%) according to each method: • Batalla Test: 50%. • Haynes Test: 77%. • Morisky-Green Test: 63%. • Self-reported medication adherence: 97%. • Self-reported pills missed method: 90%. • Self-reported pills taken: 85%. • Prochaska and DiClemente: 92%. • Correlation to direct questions answered by the patient rega medication adherence: 78%. 46.1% of treatment discontinuations were due to volu withdrawal. 43.8% of the previously non-adherent patients became adhwith change in therapy, while 13.9% of adherent patients becamenon-adherent (p=ns).			
regimen ABC-3TC-AZT in hospital patients. Ventura-Cerdá JM et al. ³⁵ Design: Observational cross-sectional study.	Spanish scale ESPA [Escala Simplificada para Detectar Problemas de Adherencia], used to measure adherence problems with	method	% of nonadherent patients		
Setting: Hospital. Pharmacy Service	antiretroviral treatment, compared with: (1) medication refill (cutoff	ESPA	60.3		
Study Population: HIV-infected patients.	point between adherent and nonadherent patients was defined at	Prescription records 47.6			
Number of Subjects at Inclusion: 63	95%), and (2) Questionnaire developed by Gao & Nau ^a , which	•			
Objective: To describe a simplified scale that can measure adherence problems (Spanish ESPA) with	included four-item Morisky type scale and a continuous adherence measure based on the percentage of doses taken as prescribed in	Morisky-Green type scale	61.0		
antiretroviral treatment and compare it to other methods commonly used in clinical practice.	the 2 wks prior to the interview. Cutoff point here was also set at 95% between adherent and nonadherent patients.	Continuous measurement 14.6			

a NOM refers to a health problem or an undesirable health state in the patient attributed to the use (or non-use) of medications. In the article it appears as Medication-Related Problem (MRP) which is the older nomenclature. In the new nomenclature the MRP becomes an NOR. Discontinuation does not directly correspond to nonadherence; rather a patient is said to have discontinued treatment if there was a change in therapy, when the patient missed two or more consecutive clinical checkups, or when the pharmacy did not dispense the medication during more than three months.