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## Original Research

# Assessment of patient knowledge of diabetic goals, self-reported medication adherence, and goal attainment

Heather P. WHITLEY, Joli D. FERMO, Kelly RAGUCCI, Elinor C. CHUMNEY.

### ABSTRACT\*

**Background:** Medication adherence is an integral aspect of disease state management for patients with chronic illnesses, including diabetes mellitus. It has been hypothesized that patients with diabetes who have poor medication adherence may have less knowledge of overall therapeutic goals and may be less likely to attain these goals.

**Objective:** The purpose of this study was to assess self-reported medication adherence, knowledge of therapeutic goals (hemoglobin A1C [A1C], low density lipoprotein cholesterol [LDL-C] and blood pressure [BP]), and goal attainment in adult patients with diabetes.

**Methods:** A survey was created to assess medication adherence, knowledge of therapeutic goals, and goal attainment for adult patients with diabetes followed at an internal medicine or a family medicine clinic. Surveys were self-administered prior to office visits. Additional data were collected from the electronic medical record. Statistical analysis was performed.

**Results:** A total of 149 patients were enrolled. Knowledge of therapeutic goals was reported by 14%, 34%, and 18% of surveyed patients for LDL-C, BP, and A1C, respectively. Forty-six percent, 37%, and 40% of patients achieved LDL-C, BP, and A1C goals, respectively. Low prescribing of cholesterol-lowering medications was an interesting secondary finding; 36% of patients not at LDL-C goal had not been prescribed a medication targeted to lower cholesterol. Forty-eight percent of patients were medication non-adherent; most frequently reported reasons for non-adherence were forgot (34%) and too expensive (14%). Patients at A1C goal were more adherent than patients not at goal ( $p=0.025$ ). **Conclusion:** The majority did not reach goals and were unknowledgeable of goals; however, most were provided prescriptions to treat these parameters. Goal parameters should be revisited often amongst multidisciplinary team members with frequent and open communications. Additionally, it

is imperative that practitioners discuss the importance of medication adherence with every patient at every visit.

**Keywords:** Patient Compliance. Diabetes Mellitus. Comprehension. Treatment Outcome. United States.

### RESUMEN

**Antecedentes:** La adherencia al tratamiento es un aspecto integral de la gestión de la enfermedad para pacientes con enfermedades crónicas, como la diabetes mellitus. Se ha sugerido que los pacientes con diabetes que tienen baja adherencia a la medicación pueden tener peor conocimiento de los objetivos terapéuticos generales y puede ser menos probable que los alcancen.

**Objetivo:** El propósito de este estudio fue evaluar la adherencia auto-comunicada a la medicación, el conocimiento de los objetivos terapéuticos (hemoglobina A1C [A1C], lipoproteínas de baja densidad [LDL-C] y presión arterial), y la consecución de objetivos en adultos con diabetes. **Métodos:** Se creó un cuestionario para evaluar la adherencia a la medicación, el conocimiento de objetivos terapéuticos, y la consecución de objetivos para adultos con diabetes seguidos en un departamento de medicina interna o de medicina de familia. Los cuestionarios se entregaron antes de la visita a la clínica. Se recogieron datos adicionales de las historias clínicas electrónicas. Se realizó un análisis estadístico.

**Resultados:** Se incluyó un total de 140 pacientes. El conocimiento de los objetivos terapéuticos fue comunicado por el 14%, 34% y 18% de los pacientes encuestados para LDL-C, PA y A1C, respectivamente. El 46%, el 37% y el 40% de los pacientes alcanzó los objetivos de LDL-C, PA, y A1C, respectivamente. La baja prescripción de hipolipemiantes fue un hallazgo secundario interesante; el 36% de los pacientes no tenían prescrito un medicamento para bajar el colesterol. El 48% de los pacientes eran incumplidores; los motivos más frecuentemente comunicados para incumplir fueron el olvido (34%) y demasiado caro (14%). Los pacientes en el objetivo de A1C eran más cumplidores que los que no estaban en el objetivo ( $p=0.025$ ).

**Conclusión:** La mayoría no alcanza los objetivos y eran desconocedores de los objetivos; sin embargo, a la mayoría e les habían proporcionado medicamentos para tratar esos parámetros. Los parámetros objetivos deberían revisarse más a menudo entre los miembros del equipo

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multidisciplinario con comunicaciones abiertas y frecuentes. Además, es necesario que los facultativos discutan la importancia del cumplimiento de la medicación con cada paciente en cada visita.

**Palabras clave:** Cumplimiento. Diabetes mellitus. Comprensión. Resultados del tratamiento. Estados Unidos.

## (English)

### INTRODUCTION

Diabetes is a chronic illness that requires continuous medical care and patient education in order to prevent microvascular and macrovascular complications. Nearly 21 million people in the United States have this disease and it remains the most common cause of blindness, kidney failure, and amputations in adults. Furthermore, the risk of heart disease and stroke is two to four folds greater among people with diabetes.<sup>1</sup> At least 65 percent of people with diabetes will die from a heart attack or stroke, yet many individuals remain unaware of these risks.<sup>2</sup> Recent randomized controlled trials have emphasized the importance of goal attainment in order to prevent these long-term complications of both type 1 and type 2 diabetes.<sup>3-6</sup>

According to the American Diabetes Association, the target for long-term glycemic control in patients with diabetes is a A1C value of less than 7%.<sup>7</sup> Since patients with diabetes are at increased risk for cardiovascular events, additional treatment goals include achieving BP less than 130/80 mmHg and LDL-C less than 100 mg/dL (or less than 70 mg/dL for those at "very high risk").<sup>7-10</sup> Limitations to patients achieving these goals may include underutilization of medications, poor medication adherence, under appreciation of goal attainment importance, or lack of goal knowledge. The purpose of this study is to assess patients' knowledge of therapeutic goals, self-reported adherence to goal-related medications, and attainment of therapeutic goal targets (A1C, LDL-C, and BP) in adult patients with diabetes mellitus.

### METHODS

A standardized survey was created for adult patients ( $\geq 18$  years of age) with type 1 or type 2 diabetes in order to assess their knowledge of therapeutic goals, medication adherence, and goal attainment (Appendix 1). Survey questions were derived from Morisky, et al in an effort to provide an additional level of validity.<sup>11</sup>

The study was conducted between October 2005 and March 2006 at two primary care clinics where family medicine and internal medicine attending and resident physicians practice. The majority of patients followed at each of the clinics had multiple chronic disease states and many were indigent. All patients 18 years of age or older, who maintained a diagnosis of type 1 or type 2 diabetes, were eligible

for inclusion if they were followed by a physician within either clinic. Exclusion criteria included age less than 18 years, pregnant, mentally impaired, or without a diagnosis of type 1 or type 2 diabetes. While in the waiting room, prior to the office visit, eligible patients self-administered the one-page survey and returned the completed survey to the clinical pharmacist.

Although definitions and goal values of A1C, BP, and cholesterol were not explained to patients until after the survey was completed, so as to decrease bias, the clinical pharmacist was available if questions arose. This often provided the opportunity to educate patients and identify adherence problems. Patients with known or discovered low literacy levels were offered help reading and completing the survey. These functions were performed in an effort to increase survey validity by ensuring patient comprehension of survey questions and to eliminate errors due to misunderstanding.

Demographic information and objective data, including prescribed medications, were collected from the electronic medical record and entered into a Microsoft Access database and analyzed with StataTM statistical software (Stata Corporation, College Station, TX). For analysis, patient survey answers of "not sure" were equivalent to "no." A series of analyses to investigate the relationships between patient survey responses and objective data recorded in the electronic medical record was conducted. Pearson correlation coefficients were used to examine pair wise relationships in the data. T-tests were used to compare differences in adherence levels between those who did and did not attain various clinical goals.

The Institutional Review Board at the Medical University of South Carolina (MUSC) approved this study; all patients provided consent to participate. All collected data was kept in a locked drawer in the primary investigator's office. Only the primary and co-investigators had access to the collected information and all published results were de-identified to further ensure patient confidentiality. Actions to ensure patient confidentiality were discussed with each patient during the review of informed consent.

### RESULTS

A total of 149 patients were enrolled in the study, all of whom completed the survey. All were diagnosed with type 2 diabetes; by chance no patient had a diagnosis of type 1 diabetes. Three quarters of patients were female (n=112), the average age was 61 years, and 77% (n=114) were African American. Average BP was 136/71 mmHg (37%, n=55 at goal of  $\leq 130/80$  mmHg), average A1C was 8.1% (40%, n=59 at goal of  $\leq 7\%$ ), and LDL-C was 105 mg/dl (46%, n=69 at goal of  $\leq 100$  mg/dl). Eighty-eight percent of patients (n=131) were taking at least one antihypertensive medication, 69% (n=103) were taking a medication for hyperlipidemia, and 83% (n=124) were using medication to control diabetes; 44% (n=66) were using an oral antidiabetic agent as monotherapy, 16% (n=24) using insulin as

monotherapy, and 23% (n=34) were using combination therapy with oral agents and insulin. Of those patients who were not at goal and should have been taking medicine to control their condition, 9% (n=8 of 94 not at BP goal) were not using any antihypertensive therapy, 36% (n=29 of 80 not at LDL-C goal) were not using a cholesterol-lowering agent, and 8% (n=7 of 90 not at A1c goal) were not using either an oral agent or insulin to control blood glucose. Additional patient demographics are described in Table 1.

Table 1: Patient Demographics (n=149)	
Variables	Mean or % (SD)
Patient demographics	
Male	25%
Age	61 (13.9)
Caucasian	22%
African American	77%
Other	1%
Medication use	
Antihypertensive therapy	88%
Hyperlipidemic therapy	69%
Oral antidiabetic therapy	67%
Oral antidiabetic monotherapy	44%
Insulin therapy	46%
Insulin monotherapy	16%
Both oral and injectable antidiabetic therapy	23%
Either oral or injectable antidiabetic therapy	85%
Patient clinical and treatment measures	
SBP	136 (22.7)
DBP	71 (11.4)
BP $\leq$ 130/80 mmHg	37%
A1C	8.1 (2.2)
A1C $\leq$ 7%	40%
LDL-C	105 (35.7)
LDL-C $\leq$ 100 mg/dl	46%
SD= Standard Deviation	

Table 2 describes patient-reported knowledge of therapeutic goals and current levels. Overall, more patients reported knowing their therapeutic BP goal and current BP level (34% and 39% respectively) than their LDL-C and A1C goals and levels. For those patients who attained their LDL-C, BP, or A1C goal, only 35, 29, and 33% reported knowledge of the respective therapeutic goal. Also of note,

approximately one-fifth of patients reported knowledge of A1C interpretation.

Although 14, 39, and 10% of patients reported knowledge of their A1C, BP, and LDL-C respectively, very few patients provided actual values in support, and only a portion of those reported values were accurate. Only 12 self-reported their current LDL-C, whereas 16 reported their A1C, 40 reported their systolic BP, and 37 reported their diastolic BP. Low or even negative correlations between the self-reported levels and those listed in medical records were detected. When comparing the patient-reported LDL-C with their actual LDL-C found in the electronic medical record, no correlation existed ( $r=0.003$ ). There was a surprisingly negative correlation between A1C values that patients reported on their survey and actual values recorded in the electronic medical record ( $r=-0.299$ ). Correlation statistics between the patient-reported and actual BP values were more encouraging, with both demonstrating a relatively strong positive relationship ( $r>0.40$ ) (See Table 3). This strong positive relationship could be attributed to a larger sample size for this portion of the analysis, with over 35 patients completing BP values on the survey.

Medication non-adherence was defined as patients self-reporting at least one reason for missed doses. By this definition, a total of 71 patients (48%) were deemed to be non-adherent with their medications. In this population, the most frequently identified reasons for medication non-adherence included the following: forgetfulness (34%), the patient felt better (11%), medications too expensive (14%), and other (13%), as further described in Figure 1. Using a two-sample t-test, a significant positive relationship between the level of adherence and A1C goal attainment was found ( $p=0.025$ ). Patients at A1C goal were more adherent on average (mean 0.49, range 0-2 "yes" responses) than patients who did not reach A1C goal (mean 0.88, range 0-5 "yes" responses). The differences in adherence by BP goal (0.74 if attained goal and 0.66 if did not attain goal,  $p=0.65$ ) or LDL-C goal (0.67 if attained goal and 0.76 if did not attain goal,  $p=0.56$ ) were not significant.

Table 2: Patient-reported knowledge of therapeutic goals and current levels (n=149)			
	LDL-C	BP	A1C
Reported knowing goal	14%	34%	18%
Reported knowing level	10%	39%	14%
Reported understanding what an elevated A1C means			21%

## DISCUSSION

The patient population analyzed was representative of the larger diabetic population within South Carolina, as they were predominately African American with a similar mean age.<sup>12</sup> Of interest, the majority of patients were not at LDL-C, BP, or A1C goal, although most were provided prescriptions to treat these parameters. Of those patients not at A1C or BP goal, only a small percent (4% and 9%, respectively) had not been prescribed medications to lower these values. Low prescribing of cholesterol-lowering medications, while not the focus of the study, was an interesting secondary

finding; 36% of patients not at LDL-C goal had not been prescribed a medication targeted to lower cholesterol. Another study demonstrated more bothersome results; Fuke and colleagues analyzed diabetic patients with and without coronary heart disease to determine the proportion who attained LDL-C goal of  $\leq 100$  mg/dl. The analysis showed that 68.8% of the population was not prescribed lipid-lowering drug therapy, and of that cohort, only 14.7% had attained LDL goal, leaving 85.3% of patients not reaching goal and still not using appropriate medication therapy.<sup>13</sup> Together, these studies highlight the underutilization of LDL-C

lowering therapy and the inappropriately low goal attainment among patients at greatest risk for cardiovascular-related deaths.

Table 3: Correlations between Patient-Reported and Actual Values			
Variable	n	%	Correlation Statistic
<b>Patient-reported knowledge of therapeutic goals</b>			
LDL-C	142	95.3	0.0861
SBP	141	94.6	0.1527
DBP	141	94.6	0.1474
A1C	135	90.6	-0.0304
<b>Patient-reported therapeutic goals</b>			
LDL-C	14	9.4	0.0033
SBP	23	15.4	0.2184
DBP	20	13.4	0.0834
A1C	15	10.1	-0.3570
<b>Patient-reported knowledge of current levels</b>			
LDL-C	140	94.0	0.0635
SBP	137	91.9	-0.0781
DBP	137	91.9	-0.0022
A1C	140	94.0	-0.0880
<b>Patient-reported current levels</b>			
LDL-C	12	8.1	0.0033
SBP	40	26.8	0.4072
DBP	37	24.8	0.4168
A1C	16	10.7	-0.2989

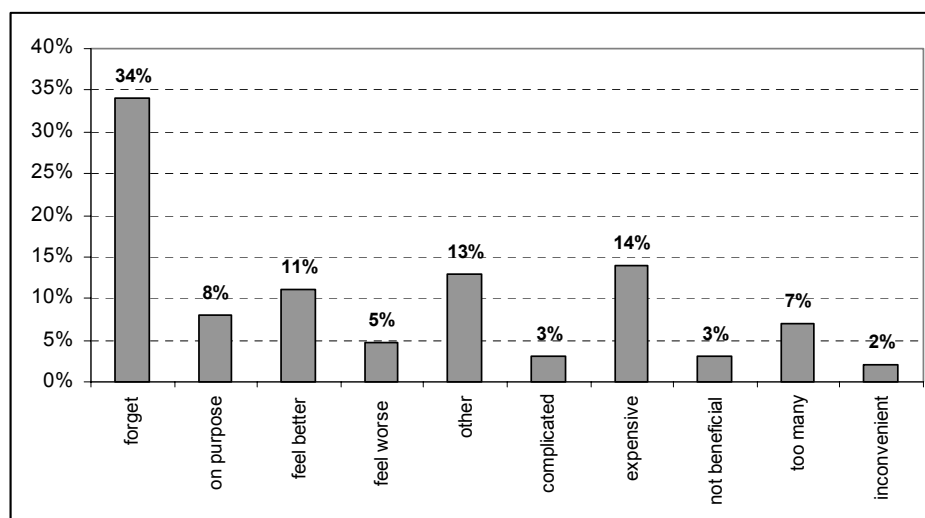


Figure 1. Patient self-reported explanations for missing doses (n=71)

One potential solution to increase appropriate prescribing of cholesterol therapy may be the development of a pharmacist managed cholesterol focused clinic. Since pharmacists are familiar with drug and disease state management, they are ideal clinicians for managing patients with dyslipidemia through such practices.<sup>14-16</sup> Lipid management programs, headed by clinical pharmacists, increase the number of at-risk-patients identified for developing heart disease and allow pharmacists to educate patients about the implications of elevated cholesterol levels and methods to decrease high cholesterol.<sup>17</sup> Studies have found a 26% and 27.7% decrease in LDL-C levels through pharmacist involvement in patients warranting primary and secondary prevention, respectively.<sup>18,19</sup> Cording and colleagues demonstrated that implementation of a pharmacist-managed lipid clinic within a primary care medical clinic helped 77% of patients reach

their LDL-C goal over a course of 12 months.<sup>20</sup> Another potential solution to increase therapeutic goal attainment may include integration of a multidisciplinary team approach to patient care. Patients treated for dyslipidemia through a multidisciplinary team in an outpatient setting were four times more likely to attain their NCEP goal ( $p < 0.001$ ) than those treated via traditional methods.<sup>21</sup> Collectively, implementation of lipid management clinics and/or integration of multidisciplinary health care teams may improve prescribing of cholesterol-lowering medications, goal attainment, and ultimately mortality.

Lack of current value and therapeutic goal knowledge was also alarmingly low. Although between 10 and 39% of patients reported knowledge of therapeutic goals and current values, as low as 8% actually provided documentation of

knowledge. One could interpret this lack of information as a knowledge deficit. It is hence appropriate to conclude that these patients frequently do not know the goals of therapy, and are unaware of their current A1C, LDL-C, or BP values. It is also worth mentioning that only one fifth of patients reported knowing the interpretation of A1C, although this was not demonstrated for verification. Therefore, patients may have not truly understood the meaning of A1C; thus, 20% could be an overestimation.

Interestingly, patients more frequently reported knowledge of BP than A1C and LDL-C goals. This could be attributed to the increased frequency of BP measurements, as it is evaluated at all visits and automated cuffs are often available for use at many pharmacies. Additionally, patients may be more familiar with their BP values and goals because results are immediately available and reported to patients after testing. LDL-C and A1C analysis, by contrast, are less frequently performed, as they require phlebotomy and thus, have greater lag times until results are available.

To increase the frequency of testing and decrease lag time to result obtainment the addition of point-of-care (POC) instruments to test A1C and LDL-C may prove useful. Use of POC tests are expanding rapidly at 12-15% annually.<sup>22</sup> Specifically, ambulatory care clinical pharmacists equipped with a POC test could readily assess lipid and A1C levels, provide results and education to the patient, and make necessary therapeutic changes targeted to patient-specific goals.<sup>23</sup> Studies demonstrate POC testing used by pharmacists improve patient compliance with medication regimens<sup>17</sup>, while others have noted that therapeutic decision-making, goal attainment, and treatment outcomes are enhanced.<sup>24,25</sup> In turn, use of this type of technology by clinical pharmacists may increase dose titration, improve patient knowledge and perceived importance of goal achievement, and facilitate LDL-C and A1C goal attainment.

When comparing self-reported medication adherence to target goal attainment, a significant positive relationship was found between the level of adherence and A1C goal attainment. On the other hand, there appeared to be no relationship between medication adherence and control of BP or LDL-C. A plausible explanation for this discrepancy could be the reliability of measurement and confounding factors. A1C reflects patient control of blood glucose over several months and has very few acute confounders. Conversely, both BP and LDL-C are easily influenced by alterations in weight, diet, and exercise. Additionally, BP readings are more easily affected by acute variables such as stress, pain, caffeine intake, smoking, and variability induced by appropriate measurement techniques. Such confounders, including appropriate method of testing BP, were not controlled during this analysis.

Forgetfulness was the most frequently reported reason for medication non-adherence, followed by medications being too expensive, and patients feeling better. Poor adherence to medications contributes to morbidity, mortality, and increased

health care costs.<sup>26</sup> However, given the need for these patients to take a variety of medications, with different dosage frequencies and numbers of tablets at various times of the day, it is not surprising that non-adherence occurs. Several studies have already demonstrated this point.<sup>27,28</sup>

As healthcare providers, we can help patients overcome some of these obstacles that lead to medication non-adherence. Osterberg and Blaschke advised practitioners to always assess for poor adherence.<sup>26</sup> They recommended providers emphasize the importance of the medication regimen, make the regimen simple, and customize the regimen to the patient's lifestyle. Additionally, we can aid patients in identifying methods to help them remember to take their medication, and thus improve adherence. Per our patient population, this may include simple changes, such as moving the time various medications are administered or using reminders to tie daily activities to medication use. Beyond the use of a standard weekly pill box, more advanced technology could include the use of pill boxes with audible reminders and digital alarm clocks on cellular phones or personal digital assistance devices.<sup>26</sup> Approximately 14% of assessed patients complained of poor adherence due to the expense of medication regimens. As healthcare providers, it is essential to consider the patient's ability to afford prescriptions prior to adding new medications. Likewise, it is important to identify poor medication adherence due to cost. Regimens should be altered to provide therapeutic options at lower cost, such as switching from brand to generic or a generic class equivalent when possible. It is important to advise these patients that there may not be a less expensive alternative in a once-a-day formulation. In this case, cost versus benefit must be assessed. Lastly, practitioners should frequently remind patients that maintenance medications for chronic disease states, such as hypertension, diabetes, and hyperlipidemia, are not to be discontinued when they feel better or once therapeutic goals are reached. The more information and understanding that patients have regarding their disease states and pharmacologic therapies, the more likely they are to adhere to those therapies.<sup>29</sup> The authors, Hsaio and Salmon, strongly believe that patients who are most likely to respond and reach goals are those who are willing to make behavioral changes and take responsibility for their own health care. Therefore, adequate follow-up, motivation and empowerment techniques are increasingly important.

There are limitations to this investigation. Since patients were completing a self-reported survey, recall bias may have induced error. The potential inability to correctly remember reasons for medication non-adherence could potentially skew the data. Secondly, patients were surveyed at varying time points after the diagnosis of diabetes, and the number of interactions with healthcare professionals concerning diabetes management was not assessed. One would anticipate that patients who had been diagnosed with diabetes and had several appointments with a clinical diabetes educator may have greater knowledge and better

control of their disease state than would a newly diagnosed individual. Although the authors cannot assume that these findings could be generalized to the population at large, including those with type 1 diabetes, our patient population does seem to be typical of patients with type 2 diabetes, as they also suffered from hypertension and hyperlipidemia.

## CONCLUSIONS

In addition to providing insight into patients' knowledge of diabetic therapeutic goals, the information gained from this study has several implications for clinical practice. First, it is evident by the data gathered in previous trials, and confirmed by this study, that patients are frequently not reaching therapeutic goals; however, most were provided prescriptions to treat these parameters. Unfortunately, a large proportion of patients who had not attained LDL-C goal still were not prescribed appropriate medications to target

cholesterol. Additionally, patients were frequently unaware of therapeutic goals and almost half were medication non-adherent. Actions may be taken to improve these aspects of patient knowledge, adherence, and goal attainment may include addition of POC testing devices, implementation of pharmacy driven clinics, or functioning within multidisciplinary teams. Regardless of implemented actions, it is imperative that practitioners discuss the importance of medication adherence with every patient at every visit. Together the implementation of these three actions may better help patients achieve therapeutic targets and avoid unnecessary microvascular and macrovascular complications.

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## APPENDIX 1. SURVEY FOR PATIENTS WITH DIABETES

Please answer questions below.

				What is the number?
Do you know your recent LDL (bad) cholesterol number?	Yes	No	Not sure	_____
Do you know the LDL cholesterol number we would like you to reach?	Yes	No	Not sure	_____
Do you know your recent blood pressure?	Yes	No	Not sure	_____
Do you know the blood pressure we would like you to reach?	Yes	No	Not sure	_____
Do you know your recent A1C number?	Yes	No	Not sure	_____
Do you know the A1C number we would like you to reach?	Yes	No	Not sure	_____
Do you understand what an elevated A1C means?	Yes	No	Not sure	_____

### TAKING YOUR MEDICINE

Do you ever forget to take your medicine?	Yes	No
Do you ever miss doses of your medicine on purpose?	Yes	No
If yes, then why?	Yes	No
Do you stop taking your medicine when you are feeling better?	Yes	No
Do you stop taking your medicine when you are feeling worse?	Yes	No
Do you ever not take your medicine for any other reason?	Yes	No
If yes, then why?	Yes	No
Are any of the following reasons why you miss doses of your medicine?		
___ My medicine is too expensive	___ I take too many medicines	
___ My medicines are too complicated	___ My medicines are an inconvenience	
___ I am not sure my medicine is beneficial		
___ Other: _____		

### FOR OFFICE USE ONLY

Chart #: \_\_\_\_\_ Gender: M/F Ethnicity: AA Cauc Hisp Asian Oth A1C: \_\_\_\_\_ (at goal? Y N)  
 Date: \_\_\_\_/\_\_\_\_/\_\_\_\_ Age: \_\_\_\_\_ LDL-C: \_\_\_\_\_ (at goal? Y N) BP: \_\_\_\_/\_\_\_\_ (at goal? Y N)  
 Active medicine for: \_\_\_ BP \_\_\_ LDL-C \_\_\_ oral diabetes med \_\_\_ insulin